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# Comparative study of CPTU and SDMT in liquefaction prone silty sands with ground improvement

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- Abstract: Following the 2012 Emilia-Romagna seismic sequence, widespread liquefaction of silty 5 sands was observed, providing the opportunity to enhance our knowledge of the influence of fines 6 content on seismic hazard and mitigation works. This paper presents the results of a thorough 7 geotechnical investigation performed in connection with full-scale controlled blast tests in Bondeno, 8 9 a small village that suffered liquefaction in 2012. Piezocone (CPTU) and seismic dilatometer (SDMT) tests were performed in natural and improved soils after Rammed Aggregate Pier<sup>®</sup> (RAP) 10 treatment to a depth of 9.5 m to provide accurate soil characterization, to evaluate liquefaction, and 11 to verify the effectiveness of the ground improvement. The combined use of CPTU and DMT data 12 provided reliable estimates of the overconsolidation ratio and at-rest earth pressure coefficient and 13 highlighted the soil improvement in silty sands between 4 and 9 m in depth. Shear wave velocity 14 15 measurements showed a low sensitivity to RAP installation. The treatment effectiveness was also confirmed by the use of the simplified procedures for liquefaction assessment, underlining the 16 important influence of the adopted fines profile, and by the blast-induced liquefaction. CPTU and 17 DMT parameters remained approximately unchanged between the piers after the detonation. 18 Keywords: controlled blasting, in-situ tests, liquefaction assessment, Rammed Aggregate Piers, 19
- 20 silty sands, dense granular columns

### 21 INTRODUCTION

22 During the latest decades several "simplified procedures" for liquefaction assessment have been

23 developed following the earthquakes and related co-seismic effects recorded around the world (e.g.,

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Seed and Idriss 1971, Robertson and Wride 1998, Andrus and Stokoe 2000, Youd et al. 2001, Idriss 24 and Boulanger 2008, Kayen et al. 2013, Boulanger and Idriss 2014, Marchetti 2016, Saye et al. 25 2021). The use of these assessment approaches based on in-situ tests, such as the Standard 26 Penetration Test (SPT), Cone Penetration Test (CPT), and shear wave velocity measurements  $(V_S)$ 27 contemplates the application of a correction factor for the fines content (FC) of the soils susceptible 28 to liquefaction. However, there is considerable uncertainty regarding the influence of non-plastic 29 fines in relation to liquefaction triggering due to the cutoff value of the soil behavior type index  $(I_c)$ 30 and the poor performance of Ic-FC correlations (specifically for CPT). In addition, uncertainty 31 32 exists due to the presence of surface cohesive layers and/or interbedded plastic soils, and due to the 33 assumption that the FC correction factors generally increase with increasing fines but are essentially 34 "capped" at FC = 35% (e.g., Maurer et al. 2015a, Green et al. 2006, Prakash and Puri 2010, Polito and Martin 2001, Kokusho et al. 2012). These uncertainties require further study especially when 35 36 applied to both natural and treated soils.

37 Several ground improvement solutions are available to mitigate the liquefaction hazard posed by 38 clean sands, namely, increasing the soil resistance by densification or reducing the earthquakeinduced excess pore pressures through drainage or reducing the shear strains through reinforcement. 39 40 Vibratory compaction methods are a common and effective form of densification for cohesionless soils (Castro 1969), as proven by extensive research (e.g., D'Appolonia 1954, Mitchell 1981, Baez 41 1995, Adalier and Elgamal 2004, Wissmann et al. 2015, Vautherin et al. 2017 Amoroso et al. 2018). 42 However, their effectiveness decreases as the fines content and plasticity increase (Mitchell 1981). 43 Therefore, other ground improvement techniques, such as vibratory replacement, are often preferred 44 in silty sands or sandy silts to protect the soil against liquefaction by increasing soil density, 45 providing drainage for excess pore water pressures, and increasing the stiffness and shear resistance 46 of the soil (Priebe 1998). Examples of this type of reinforcement include Stone Columns (SC), Soil 47 48 Mixed Columns (SMC), and Rammed Aggregate Piers (RAP). This last approach appears to be a 49 promising solution in sandy silts and silty sands to increase not only the density, but also the lateral stress and shear stiffness, which are often neglected and poorly understood (Smith and Wissmann 50 51 2018, Amoroso et al. 2020).

The at-rest earth pressure coefficient ( $K_0$ ) is a key soil parameter that should be considered with reference to liquefaction mitigation works (Schmertmann 1985, Salgado et al. 1997, Harada et al. 2010). In this respect, in-situ tests have an essential role to play in estimating the horizontal stress in granular soils before and after treatment. As argued by Massarsch et al. (2019), the use of cone penetration test (CPT) and flat dilatometer test (DMT) results could produce improved estimates of  $K_0$ . Moreover, Baldi et al. (1986) and later Hossain and Andrus (2016) proposed a combined CPT- 58 DMT  $K_0$ -interpretation to take into account both the resistance and stress history of the soil, while 59 the use of a CPT-only approach would have been overly affected by arching of stresses around the 60 penetrating sleeve.

The coupling of CPT and DMT tests with down-hole geophysics (i.e., seismic piezocone 61 SCPTU and seismic dilatometer SDMT) provides a more efficient approach to the task of 62 geotechnical site characterization, offering clear opportunities for the economical and optimal 63 collection of the data (Mayne et al. 2009). Therefore, direct push technologies are more relevant for 64 understanding the changes in soil properties following ground improvement (e.g., Schmertmann et 65 66 al. 1986, Jendeby 1992, Balachowski and Kurek 2015, Amoroso et al. 2018, Massarsch and 67 Fellenius 2019), and the time-dependence of the soil properties following artificially induced-68 liquefaction, such as controlled blasting (e.g., Solymar 1984, Ashford et al. 2004, Finno et al. 2016, Amoroso et al. 2017, Passeri et al. 2018). 69

70 This investigation presents in-situ test results from a thorough geotechnical campaign performed before and after Rammed Aggregate Pier (RAP) treatment of a silty sand site in Bondeno (Italy). 71 72 Bondeno is a small village strongly affected by liquefaction following the 2012 Emilia-Romagna seismic sequence where blast liquefaction testing was subsequently performed to understand the 73 74 behavior of the treated soil relative to the untreated soil. The overall details of the research activities can be found in Amoroso et al. (2020) while details regarding the performance of the RAP group 75 are provided by Rollins et al. (2021). This paper is focused on the results of piezocone (CPTU) and 76 77 seismic dilatometer (SDMT) tests performed in natural and treated soils characterized by high nonplastic fines content, before and after blasting. These results provide accurate soil characterization, 78 79 evaluate liquefaction potential, and verify the effectiveness of the ground improvement.

#### 80 THE BONDENO TEST SITE (BTS)

#### 81 Geological and geomorphological setting

The Bondeno Test Site (BTS) is located in the south-eastern portion of the Quaternary alluvial Po Plain, one of the largest and most populous plains in Europe. The area was affected in 2012 by an intense seismic activity linked to the tectonic evolution of the fault-fold structures (Fig. 1a) that form the front of the Apennine chain buried below the plain (e.g., Toscani et al. 2009).

The seismic sequence induced widespread site effects, including liquefaction manifestations, soil fracturing and lateral spreading (Emergeo Working Group 2013). These phenomena occurred mainly along ancient paleochannels (Fig. 1b) of the Po River and other minor rivers of Apennine origin (e.g., Civico et al. 2015, Caputo et al. 2016, Stefani et al. 2018), some of which preserve a strong morphological expression (Fig. 1c). The Quaternary evolution of these river systems led to the formation, in the subsoil, of a complex twist of elongated sandy deposits or paleochannels, laterally confined by clayey deposits accumulated into interfluvial depression (e.g., Amorosi et al.
2016, Stefani et al. 2018). These continental sediments form the subsoil for a few hundred meters.

At BTS the liquefaction hazard is concentrated in a subsurface sandy deposit of a Holocene Po 94 meander (Figs. 1c and 1d). Fig. 1c shows the higher elevations (brownish zones) indicating fluvial 95 ridges bounding the lower, relatively flat interfluvial depression (greenish zones). The meandering 96 course of the paleochannel is built up within the interfluvial depression and supports the 97 identification of the paleochannel axis together with the location of sand boils. The meander base is 98 frequently cut into upper Pleistocene coarse sand, accumulated during syn-glacial times. The 99 100 meander unit geometry has been reconstructed through the analysis of remote sensing data (satellite images and LIDAR) and correlation of subsurface geotechnical investigations (Amoroso et al. 101 102 2020). This meander sand body is partially buried by finer grained levee sediment of historic age.

#### 103 Site investigations

At the BTS the geotechnical campaign was structured in three phases, as detailed in Table 1 and Fig. 2, following the activities of the blast experimental research program, as described in general by Amoroso et al. (2020):

- Phase I consisted of site investigations performed before the treatment (pre-RAP) and before the blast (pre-blast). Boreholes with SPTs and disturbed soil sampling, CPTUs, and SDMTs were executed up to a maximum depth of 20 m in two relatively small circular areas (10 m-diameters at 20 m-spacing) associated with the blast experiment, one for testing the natural soil (Natural Panel, NP) and one for testing the improved soil (Improved Panel, IP). The aims were to verify the subsoil homogeneity, and to provide detailed geotechnical characterization and liquefaction assessment of the two blast panels;
- Phase II included site investigations carried out approximately one month after the pier 114 installation (post-RAP) and before the blast (pre-blast) within the IP. The treatment consisted of 115 a 4 × 4 quadrangular grid (2 m center-to-center spacing) of RAP columns, each 9.5 m long and 116 with a final diameter of 0.5 m (area replacement ratio equal to 5%). Details regarding the 117 construction methodology itself are reported in Saftner et al. (2018). Each CPTU, Medusa DMT 118 (automated dilatometer test, see Marchetti et al. 2019) and SDMT test was performed up to a 119 120 maximum depth of 15 m at the exact center between of four RAPs (see Fig. 2) to verify the effectiveness of the ground improvement technique especially regarding liquefaction; 121
- Phase III comprised three different site campaigns executed soon after the blast-induced liquefaction (post-blast June), then approximately two and three months after the controlled blasting (post-blast July and September) within the IP and NP. Details regarding the blast experiment are summarized in the next section. CPTU, Medusa DMT and SDMT tests were

carried out to a maximum depth of 15 m, and for the IP, the in-situ tests were located at the
exact center between four RAPs (see Fig. 2). The goal of this phase was to study the timedependence of soil properties following artificially induced-liquefaction both in the natural and
treated soils.

#### 130 Blast test experiment

To provide a direct comparison of ground performance with and without RAP treatment, blast 131 liquefaction testing (Ashford et al. 2004) was performed at each test panel. A total of 16 explosive 132 charges (8 of 0.5 kg at 3.5 m and 8 of 2.0 kg charges at 6.5 m in depth) were detonated sequentially 133 134 at one second intervals around the periphery of two 10 m-diameter circles at each test panel. This blasting sequence induced liquefaction (excess pore pressure ratio  $R_u = 100\%$ , where  $R_u$  is the ratio 135 between the excess pore pressure and the effective vertical stress) to a depth of 11 m in the NP, but 136 only induced  $R_{\mu}$  values of about 75% in the IP (Rollins et al. 2021). Ground surface settlement at 137 138 the center of the NP was 10 cm, but only 4 cm in the IP. Based on profilometer measurements, settlement within the treated zone (0 to 9.5 m) was reduced by 75% in the IP relative to the NP 139 140 (Pesci et al. 2022). Several large sand boils developed within the NP after blasting and produced considerable sand ejecta, while sand boils only developed outside the treated area in the IP 141 (Amoroso et al. 2020). Thus, based on the metrics of excess pore pressure, settlement, and ejecta, 142 the RAP group installation improved the site considerably. 143

#### 144 SITE CHARACTERIZATION

#### 145 First phase: initial conditions of natural ground

During the pre-RAP and pre-blast phase a borehole (S01), along with six SPTs, was carried out within the IP and 20 disturbed soil samples were extracted. The borehole log revealed the presence of a silty clay (CL) crust in the upper 3.5 m with an average plasticity index (*PI*) of 20%, followed by a non-plastic silty sand with *FC* typically in the range 25-35% and therefore classified as SM (Table 2), according to the Unified Soil Classification System, USCS (ASTM D2487-11 2011, ASTM D2488-09 2009).

A selection of grain size distribution curves determined on the soil samples is provided in Fig. 152 153 3a, whereas the SPT blow counts  $N_{SPT}$  recorded in silty sands are plotted in Fig. 3b, together with values of fines content obtained from sieve analysis. It is worth observing that results from particle 154 size analysis are in good agreement with the high FC detected for the non-plastic silty-sandy 155 deposits previously investigated in the liquefied areas after the 2012 Emilia-Romagna seismic 156 sequence (e.g., Porcino and Diano 2016, Facciorusso et al. 2016, Fontana et al. 2019). SPT blow 157 counts  $(N_{SPT})$  turned out to be quite low between 3.5 and 6.0 m in depth, while increasing at greater 158 159 depth. This preliminary information suggests a higher susceptibility to liquefaction in the upper part 160 of the SM layer.

Fig. 4a shows the profiles of the corrected cone resistance,  $q_t$ , sleeve friction,  $f_s$ , and pore pressure, u, obtained from two representative piezocone tests, namely CPTU11 and CPTU01, both carried out in the pre-RAP phase and located in the natural panel and in that to be treated, respectively. As detailed in the following, classification results and CPTU-based estimates of several relevant geotechnical parameters are also shown in Fig. 4a.

The comparative analysis of the  $q_t$ ,  $f_s$  and u profiles reveals substantial agreement between the 166 piezocone measurements collected in the two panels, thus indicating negligible horizontal spatial 167 variability in the stratigraphic conditions of the whole test site. In particular, the interpretation of 168 piezocone data in terms of the well-known classification framework by Robertson (2009), 169 170 expressed as soil behavior type index  $(I_c)$ , shows that most of the soils between 1.5 and 3.3 m in depth belong to the domains of silty clay/clayey silts. Compared to the natural panel, a slightly 171 172 higher occurrence of silty sediments is observed in the panel selected for treatment. The underlying sediments, from 3.3 to 20 m, can be classified in both panels as predominantly silty sands. The  $I_c$ 173 174 profiles computed from CPTU01 and CPTU11 are almost identical and generally oscillate about an average value equal to 1.75±0.10 and 1.77±0.12, respectively. However, the analysis of the pore 175 pressure profile in the NP seems to suggest the presence of an interbedded layer of dilative silts at 176 177 approximately 12.5-13.5 m in depth, not identified by the  $I_c$ . The latter is actually based only on the stress-normalized cone resistance  $Q_t$  and friction ratio  $F_r$ . 178

It is also worth observing that the application of a number of well-known CPTU-based empirical correlations (Robertson and Wride 1998, Suzuki et al. 1998, Boulanger and Idriss 2014), all dependent in different ways on the  $I_c$ , typically results in significant underestimation of the fines content, compared to the values obtained from grain size analysis. Indeed, as shown in Fig. 4a, good agreement with the average profile of laboratory *FC* is observed for the Boulanger and Idriss (2014) correlation for cohesive layer only.

Sands detected from 3.3 to 20 m in depth turn out to be in a medium-dense state. Most of the 185 computed values of the relative density  $D_R$ , obtained by applying the correlation of Jamiolkowski et 186 al. (2001) to the CPTU data, oscillate in the range of 50 to 60%. This outcome is also confirmed by 187 discrete SPT-based predictions (Skempton 1986), which have been superimposed on the CPTU-188 based  $D_R$  profiles reported in Fig. 4a. According to the correlation developed by Robertson (2010), 189 190 the corresponding state parameter  $\psi$  (as defined by Been and Jefferies 1985) has been found to be negative, on average close to -0.1 (thus theoretically suggesting dilative behavior at large strains), 191 with minor differences over the whole thickness of the sandy layer. However, it is well-known that 192 193 the determination of the in-situ soil state from cone penetration measurements actually involves solving an inverse problem based on various independent geotechnical variables (Jefferies and Been 2006), hence the estimates of  $\psi$  provided by simplified relationships, such as those reported in Fig. 4a, must be seen as an approximation of the actual in-situ soil state and should therefore be considered relatively uncertain.

The profile of the one-dimensional constrained modulus M, obtained by applying the empirical 198 199 correlation developed by Robertson (2009) in the framework of a so-called unified approach, shows minor variations in the shallow silty clays and then increases with depth (approximately linearly) in 200 201 the underlying sands. These estimates cannot be compared for validation with any oedometer test 202 result, nor with compressibility parameters back-calculated from 1D field settlements. However, as will be discussed subsequently, the M profile plotted in Fig. 4a turns out to be in a rather good 203 agreement with that computed from SDMT measurements. At the same time, it should be 204 mentioned that the application of other well-known CPTU-based correlations, either devised for 205 sands (e.g., Lunne and Christophersen 1983) or for silty sediments (e.g., Senneset et al. 1988), 206 resulted in predictions of M equal to about half the values provided by Robertson's unified 207 approach. 208

With regards to the soil shear strength of the sands, predictions of the effective peak friction angle  $\phi'$  provided by the Kulhawy and Mayne (1990) correlation appear to be in good agreement with those computed from SPT blow counts. The profile exhibits minor variability from 3.3 to 20 m in depth, with  $\phi'$  estimates being on average equal to  $37.7^{\circ}\pm1.0^{\circ}$  in the natural panel and  $38.0^{\circ}\pm0.9^{\circ}$ in the panel to be treated.

214 Fig. 4b summarizes the results obtained from SDMT in natural soils, in terms of profiles with depth both of measured parameters, namely, the corrected DMT pressure readings  $p_0$ ,  $p_1$ ,  $p_2$  and the 215 216 shear wave velocity  $V_S$ , along with parameters obtained from the usual DMT interpretation (Marchetti 1980, Marchetti et al. 2001), namely, the material index  $I_D$  (indicating soil type), the 217 218 pore pressure index  $U_D$  (related to soil permeability), the horizontal stress index  $K_D$  (related to stress history), the constrained modulus M, the friction angle  $\phi$ ' in sand, as well as the small strain shear 219 modulus  $G_0$  obtained as  $G_0 = \rho V_s^2$  (where  $\rho$  is the soil density, derived from the unit weight  $\gamma$ 220 estimated from DMT). 221

The values of  $p_0$  and  $p_1$  measured in the NP and IP (pre-RAP), as well as the derived parameters, are generally similar or slightly variable, reflecting a general consistency of the soil properties across the test area, as also observed from CPTU measurements. Below a depth of about 3.4 m,  $p_2$  closely approximates the in-situ equilibrium pore pressure  $u_0$  and accordingly  $U_D \approx 0$ , indicating fully drained response, while in the upper soil layer  $p_2 > u_0$  and  $U_D > 0$ , indicating undrained response and excess pore pressure induced by blade penetration (Marchetti et al. 2001). The interpretation of SDMT results, in particular  $I_D$  and  $U_D$ , are consistent with CPTU and borehole data, and identify an upper silty clay to clayey silt unit extending to a depth of about 3.4 m from the ground surface, underlain by silty sand down to a depth of about 12.6 m, followed by sandy silt at depths between about 12.6 and 13.4 m, and then silty sand down to the maximum investigated depth of 15 m.

Below a shallow "crust" (more pronounced in the IP), the different  $K_D$  values in the sandy units (paleochannel of the Po River from 3.4 to 12.6 m, glacial braided Po River deposits below 13.4 m) may be related to their different geologic depositional environment. In contrast, the  $V_S$  increases consistently with the effective vertical stress in all soil units.

The values of  $\phi'$  estimated from SDMT in sand (Marchetti 1997) are broadly in agreement with the values obtained from the CPTU. The values of *M* estimated from the SDMT (Marchetti 1980), similar to those derived from the CPTU (Robertson 2009, Fig. 4a), indicate higher compressibility of the upper cohesive unit, while the sands below 3.4 m in depth are significantly less compressible. While *M* refers to stiffness at "working strain" level (Marchetti et al. 2008), *G*<sub>0</sub>, corresponding to stiffness at very small strains, increases gradually with depth, without sharp contrasts between different soil units.

Fig. 5 shows the stratigraphic arrangement of the subsoil beneath the test site area along a North-South cross-section, as deduced by the combined interpretation of borehole logs, SPT, CPTU, Medusa DMT and SDMT described above, all carried out before the RAP installation. Apart from a 0.8 m thick topsoil layer (CH, according to USCS), the following well-defined stratigraphic units, also reflecting their sedimentological framework, could be identified:

• a layer of silty clays (CL, according to USCS), from 0.8 to about 3.3-3.5 m in depth;

a predominantly silty sand unit, approximately 9 m thick, attributable to Holocene alluvial deposits of the Po River paleochannel. Samples recovered from this unit can be generally classified as SM, having a *FC* typically in the range 25-35% (see Table 2). Thin layers of coarser sediments have been occasionally found;

• a thin layer of sandy silt (ML), from 11.8-12.6 to 13.0-13.4 m in depth (interfluvial deposits);

sands-silty sands (SP-SM) of the late Pleistocene epoch (namely, glacial braided Po River deposits), detected below 13.0-13.4 m in depth.

In this stratigraphic section, the groundwater table (GWT) is located at approximately 0.5 m from the ground surface, being governed by the water level in a nearby channel.

As evident from Fig. 5, the whole set of site investigations did not provide any significant evidence of horizontal spatial variability in the stratigraphic arrangement of the entire study area. Accordingly, the subsoil of the two panels appears to be fully comparable, and thus perfectly suitable for analyzing the different responses of treated and untreated soils both during the blast testand some months after the liquefaction experiment.

#### 264 Second phase: post-RAP treatment

Fig. 6 provides a comparison between field soil properties before and after RAP installation in the IP, in terms of both CPTU and SDMT profiles.

267 As regards the piezocone profiles, the increase in the  $q_t$  values after column construction 268 appears to be particularly noticeable ( $q_t = 13.10 \pm 1.76$  MPa versus 9.54  $\pm 1.37$  MPa before installation) from 6 to 8.5 m in depth, and relatively moderate from 4 to 6 m. Negligible changes in 269 270 the  $q_t$  profile can be observed in the silty sands below the base of the piers. Obviously, these changes in  $q_t$  affect the computed estimates of the geotechnical parameters reported in Fig. 6, 271 namely  $M, \psi, D_R$  and  $\phi'$ , as discussed below. The RAP treatment did not produce any improvement 272 in the cohesive upper 4 m of the profile. This is consistent with experience in cohesive soils using 273 274 other vibratory ground improvement techniques (Mitchell 1981).

275 The effect of RAP installation is evidently reflected by the increase in  $K_D$  (on average 48-53%), and even more in M from SDMT (80-87%), at depths between 4 and 9 m (Fig. 6, Table 3). The 276 corresponding average increase in  $q_t$  is 30-35%. These results point to a significant increase in 277 horizontal stress and stiffness resulting from pier installation, in agreement with previous 278 279 observations (Saftner et al. 2018). In fact, the horizontal stress strongly influences both  $K_D$  and Mestimated from the DMT using the Marchetti (1980) correlation, which incorporates  $K_D$ . The 280 increase in M estimated from the CPTU is less pronounced, thus suggesting a lower sensitivity of  $q_t$ 281 to an increase in horizontal stress. Between 6 and 8.5 m in depth, the pier installation increased  $D_R$ 282 by an average of 10%, corresponding to a variation in the state parameter  $\psi$  of approximately -0.05 283 284 (more dilative), as deduced from CPTU measurements. Despite the uncertainties surrounding the computation of  $\psi$ , already mentioned, the computed trend is consistent with the increased density of 285 286 the sand induced by RAP installation. As in the case of the CPTU, the SDMT did not any show significant improvement between 0 and 4 m in depth. 287

The observed results are in line with previous comparisons of pre- vs. post- CPTs and DMTs executed for monitoring ground improvement (e.g., Schmertmann et al. 1986, Jendeby 1992), since the RAP installation produced an average increase in *M* from DMT after treatment approximately 2.5 times the corresponding increase in cone penetration resistance  $q_c$ .

The decrease in  $K_D$  observed in the upper crust may be due in part to the construction of an overlying working platform, but also to the RAP installation under low confining stress and to seasonal variations in water content caused by the fluctuation of the GWT from 1.5 m (February 2018) to 0.5 m (March 2018), as reported in Table 1. No improvement was detected in the silty sands below the toe of piers, unlike RAP case histories in clean sands studied in New Zealand (e.g.,
Wissmann et al. 2015, Vautherin et al. 2017).

To investigate further the variation of M before and after treatment in relation to data sources 298 and computation methods, Fig. 7 shows a comparison between profiles of M computed by applying 299 different empirical correlations (Robertson 2009, Lunne and Christophersen 1983, Senneset et al. 300 1988) to CPTU measurements, and estimates of M obtained from the SDMT (Marchetti 1980), in 301 both natural and treated soils (IP pre- and post-RAP). For useful comparison, Fig. 7 also includes a 302 few approximate values of M inferred from the SDMT-based small strain shear modulus  $G_0$ , 303 assuming a conventional decay of  $G/G_0$  at "working strain" level, namely  $G/G_0 = 0.4$  (Gajo and 304 Muir Wood 1999), together with a Poisson's ratio v = 0.2. In natural soils, M from the DMT is 305 306 similar to M estimated from the CPTU when the Robertson (2009) correlation is adopted, while the *M* values provided by the alternative approaches (Lunne and Christophersen 1983, Senneset et al. 307 308 1988) turn out to be significantly lower. In treated soils, as mentioned, M values from the DMT 309 show a more significant increment with respect to CPTU, thus confirming that the DMT is more 310 sensitive to stiffness variations as a reasonable consequence of the increase of horizontal stress and therefore of mean stress - produced by pier installation. 311

312 The combined interpretation of CPTU and DMT data provided information on the stress history and the state parameter in sand, in both the natural and treated soils as shown in Fig. 8. Filtering the 313 data for  $I_D \ge 1.8$  and  $I_c \le 2.6$ , in the sandy layers the ratio  $M/q_t$  (with M estimated from DMT) is 314 shown in Fig. 8. The average values of  $M/q_t$  are about 7-10 in natural soil and 13-14 in treated soil 315 (Table 3). These values are in line with the available experience from field observations before and 316 after compaction of sand fills, reported by Marchetti et al. (2001) and Marchetti and Monaco 317 (2018), which show an increase in the ratio M from DMT to  $q_c$  from CPTU of between 5-10 before 318 319 compaction to between 12-24 after compaction. The finding that compaction increases both M from DMT and  $q_c$ , but M at a faster rate, suggested the potential use of the ratio M from DMT/ $q_c$ , as a 320 broad indicator of "equivalent" OCR in sands. 321

The in-situ earth pressure coefficient  $K_0$  was estimated using correlations proposed by Baldi et al. (1986), based on both DMT and CPT data, and by Hossain and Andrus (2016), which require as an additional input also *OCR* (in this case evaluated according to Monaco et al. 2014). In the upper silty clay layer *OCR* and  $K_0$  were estimated from the DMT (Marchetti 1980).

The *OCR*s of about 1-2 estimated in the natural soil, excluding the shallow "crust", indicate that the deposit is normally consolidated or slightly overconsolidated, with  $K_0 \approx 0.5$ -0.7. As an effect of the RAP installation, the "equivalent" *OCR* increased to about 3-3.5 and  $K_0$  to about 0.9-1. The values of  $K_0$  estimated according to Hossain and Andrus (2016) are lower than those estimated according to Baldi et al. (1986). The increase of  $M/q_t$ , *OCR* and  $K_0$  after treatment was more pronounced at depths between 7 and 9 m (Table 3).

An approximate estimate of the in-situ state parameter  $\psi$  in sand from DMT was obtained 332 according to Yu (2004), with  $K_0$  determined by both Baldi et al. (1986) and Hossain and Andrus 333 (2016) methods. Fig. 8 shows that the input  $K_0$  has a large influence on the calculated values of  $\psi$ , 334 335 with an apparent contradiction versus the expected trend. In fact, the higher  $K_0$  (i.e., higher OCR) estimated according to Baldi et al. (1986) should involve lower negative values of  $\psi$  compared to 336 those obtained using  $K_0$  from Hossain and Andrus (2016), while the opposite is observed in Fig. 8. 337 On the other hand, the reduction of  $\psi$  after treatment found using both  $K_0$  methods is consistent 338 with the corresponding increase of OCR and  $K_0$  before and after treatment. However, the computed 339 values turn out to be significantly different from those obtained from CPTU data interpretation. 340

#### 341 Third phase: post-blast conditions

Fig. 9 summarizes the results obtained from CPTU and SDMT pre- and post-blast. In the NP (Fig. 9a) the pre-blast data refer to natural soil, while in the IP (Fig. 9b) both to natural and treated soils. In both panels the post-blast data were collected immediately after the blast (June 2018), about one month later (July 2018), and about three months later (September 2018).

With regards to the piezocone tests in the NP, comparison between the pre-blast test (CPTU11) 346 347 and that performed a few days after blasting (CPTU11ter) does not reveal any significant changes in soil response, in terms of  $q_t$  and the relevant parameters  $M, \psi, D_R, \phi'$ . In addition, in spite of a 348 somewhat horizontal spatial variability detected in tests conducted some months after the 349 experiment (CPTU11quater and CPTU11quintus), only a slight increase in  $q_t$  can be observed from 350 approximately 6.7 to 8.8 m. Consequently, little variation in M,  $\psi$ ,  $D_R$  and  $\phi$ ' can be noticed within 351 this depth interval. With respect to the CPTU tests in the IP, field measurements collected soon after 352 and some months after the blast experiment show properties very similar to those observed in the 353 post-RAP test (CPTU01bis). As a result, relevant changes in the predicted soil parameters cannot be 354 355 clearly recognized from tests during the third-phase.

In natural soil (NP) the parameters  $K_D$  and M from DMT show an increase greater than 100% 356 soon after the blast at depths between about 6 and 9 m, that can be related to the blast-induced 357 settlements measured by the profilometer in the same depth interval (Rollins et al. 2021). However, 358 these parameters remain unchanged at greater depths. An increase in these properties is also 359 360 observed in the upper silty clay layer. In the following three months of observation,  $K_D$  and M from DMT do not exhibit any significant time-dependent gain or reduction overall, apart from local 361 362 variations. In the treated soil (IP), the variation of  $K_D$  and M from DMT before and after the blast is 363 much lower, possibly as a consequence of the effectiveness of the piers.

In both natural and treated soils  $V_s$  does not show changes before and after blasting, as previously found by Passeri et al. (2018) in another controlled blasting test performed in the natural silty sand of Emilia-Romagna.

#### 367 LIQUEFACTION ASSESSMENT

Liquefaction assessment was performed in pre-blast natural (NS) and treated (TS) soils to verify the effectiveness of the RAP piers. The simplified procedure by Seed and Idriss (1971) has been applied to SPT, CPTU, DMT and  $V_s$  data, giving emphasis to the use of different in-situ test methods to provide a more reliable estimation as recommended by many authors (e.g., Robertson and Wride 1998, Youd and Idriss 2001, Idriss and Boulanger 2004). In particular, the cyclic resistance ratio at  $M_w = 7.5$  (*CRR*<sub>7.5</sub>) was evaluated by:

- the corrected SPT blow count  $(N_1)_{60}$  obtained from Youd et al. (2001), Idriss and Boulanger (2008) and Boulanger and Idriss (2014) SPT-approaches and based on measured hammer energy;
- the normalized overburden corrected cone tip resistance q<sub>c1N</sub> calculated from Robertson and
   Wride (1998), Idriss and Boulanger (2008) and Boulanger and Idriss (2014) CPTU-methods;
- the horizontal stress index  $K_D$  estimated from Monaco et al. (2005), Tsai et al. (2009), Robertson (2013) and Marchetti (2016) DMT-methods;
- the combination of  $q_{cIN}$  and  $K_D$  parameters into Marchetti (2016) CPTU-DMT correlation;
- the overburden stress corrected shear wave velocity  $V_{SI}$  in the Andrus and Stokoe (2000) and 383 Kayen et al. (2013)  $V_S$ -based procedures.

To screen out "clay-like" soils, a threshold was set at  $I_c \leq 2.6$  for CPT data and at  $I_D \geq 1.0$  for 384 385 DMT and  $V_S$  measurements, considering the non-plastic behavior of the silty sands, as provided by the Atterberg limits (Table 2). Due to the nature of the analyzed soil deposits, the application of a 386 387 correction factor for the fines content was also contemplated for the liquefaction susceptibility: for SPT, CPTU and  $V_S$  methods the FC profile obtained from laboratory tests (namely "FC<sub>Lab</sub>") was 388 used (see Fig. 4a), while for DMT approaches, no FC corrections are available yet. Moreover, only 389 for CPTU, liquefaction assessment was carried out also referring to the FC estimation of their own 390 391 methods (see Fig. 4a; please note that the average curve from Suzuki et al. 1998 is the FC correlation used for the method by Idriss and Boulanger 2008 and that the fitting parameter  $C_{FC}$  was 392 393 assumed equal to the default and average value,  $C_{FC} = 0.0$ , for Boulanger and Idriss 2014).

The cyclic stress ratio at  $M_w = 7.5$  (*CSR*<sub>7.5</sub>) was evaluated using two different seismic inputs:

• 2012 Emilia-Romagna earthquake: the epicenter of the main shock occurred on the 20<sup>th</sup> May 2012 was the closer at BTS, generating liquefaction evidences (Pizzi and Scisciani 2012) and recording a moment magnitude  $M_w = 5.9$  (<u>http://terremoti.ingv.it/en</u>) and a peak ground acceleration  $a_{max} = 0.29$ g (http://shakemap.rm.ingv.it/shake/index.html). The ShakeMaps were produced by the Istituto Nazionale di Geofisica e Vulcanologia and were previously used for liquefaction studies in the Emilia-Romagna area (Facciorusso et al. 2015, Santucci de Magistris et al. 2014);

• design earthquake: according to the ongoing seismic microzonation study of the Bondeno municipality and to the Italian Building Code (2018), the ground motion for a return period of 404 475 years corresponds to  $M_w = 6.14$  and  $a_{max} = 0.22$ g.

Moreover, for SPT, CPTU and  $V_S$  methods the magnitude scaling factor (*MSF*) and the shear stress reduction coefficient ( $r_d$ ) were evaluated according to the respective formulas provided by each method, while DMT approaches referred to the correlations by Idriss and Boulanger (2008). Finally, the GWT was assumed equal to 0.5 m, considering the most safe value estimated by CPTU and SDMT during the site investigations (Table 1).

Figs. 10 and 11 provide the results of the liquefaction analysis for natural (NS) and treated (TS) soils, respectively, using the 2012 Emilia-Romagna earthquake: the profiles of the liquefaction safety factor ( $FS_{liq}$ ) and liquefaction potential index (*LPI*) according to Iwasaki et al. (1982) are shown for all the in-situ test methods, while the liquefaction induced vertical settlements (*S*) are plotted only for CPTU using Zhang et al. (2002). The main findings are listed below:

the main liquefiable layer was confined approximately between 3.4 and 5.6 m according to most
of the SPT and CPT methods (Figs. 10a and 10b), while it was limited on average from 3 to 4 m
for DMT and V<sub>S</sub> data due to the high values of K<sub>D</sub> and V<sub>S</sub> at greater depths (Figs. 10c and 10d);

- for the natural soil the LPI was generally  $\leq 5$  identifying a low liquefaction risk (Fig. 10), 418 although the 2012 earthquake generated sand boils covering an area of about 4 to 6 meters 419 420 length and 1.5 meters width. The lowest LPI values were obtained from (1) all the DMT and CPTU-DMT procedures, probably due to the smaller thickness detected for the liquefiable layer, 421 422 (2) the SPT-approaches by Youd et al. (2001) and Idriss and Boulanger (2008) and (3) the CPTU-correlation by Idriss and Boulanger (2008) applying the laboratory FC profile. On the 423 424 contrary, Boulanger and Idriss (2014) provided high and very high liquefaction risk for CPTUbased methods (assuming  $C_{FC} = 0.0$ ), respectively; 425
- pre-RAP CPTU liquefaction analyses results were very sensitive to the non-plastic fines contents (Fig. 10b), confirming evidences already available in the international literature (e.g., Maurer et al. 2015a, Green et al. 2006, Prakash and Puri 2010, Polito and Martin 2001, Kokusho et al. 2012): the use of the *FC* profile from lab testing dramatically reduced (≈ 70-80%) *LPI* and *S* estimated using a "blind" *FC* profile (i.e., the *FC* profile suggested by the various empirical methods applied without the availability of soil sampling). Consequently,

- the use of these laboratory data produced an underestimated result for Idriss and Boulanger
  (2008) and a more realistic liquefaction evaluation for Boulanger and Idriss (2014);
- 434 for the post-RAP susceptibility assessment, the CPTU highlighted the effectiveness of the liquefaction mitigation treatment, showing a reduction of the LPI and S from 40 to 60% (Fig. 435 11a). In contrast, the decrease was not evident for DMT data (Fig. 11b), where, despite the 436 consistent increase of the  $K_D$  and M values and of the CPTU-DMT combined parameters due to 437 the piers (Figs. 6, 7 and 8, Table 3), the thin liquefiable layer between 3 and 4 m maintained a 438 similar potential before and after treatment. The  $V_S$  measurements also did not provide a LPI 439 decrease (Fig. 11c) that can be attributed to the absence of a significant increase in the shear 440 441 wave velocity along the RAP length (Fig. 6, Table 3).
- Following the above considerations, Table 4 shows liquefaction severity indices obtained for 442 both the seismic inputs referring only to the CPTU data: beside the LPI and S already introduced, 443 the Ishihara inspired liquefaction potential index (LPI<sub>ish</sub>) according to Maurer et al. (2015b), and the 444 liquefaction severity number (LSN) according to van Ballegooy et al. (2014) are reported. For the 445 calculation of the LPI<sub>ish</sub> the non-liquefiable crust was assumed to have a thickness of approximately 446 3.4 m, as provided by the CPTU profiles. All the indices evidenced a marked reduction comparing 447 pre-RAP and post-RAP results, and an important influence of the adopted FC profile, as already 448 emphasized. However, the LPI<sub>ish</sub> and LSN values strongly underestimated the 2012 liquefaction 449 evidences, while the LPI and S appeared to be closer to predicting what actually happened in 450 451 Emilia-Romagna although still a little low. The design earthquake results underlined a similar trend when compared with the liquefaction indices obtained using the 2012 seismic input, even though 452 453 the absolute values were smaller.

#### 454 CONCLUSIONS

455 At the BTS a comprehensive comparative study based on CPTU and SDMT testing was carried 456 out at a liquefaction-prone silty sand site improved by Rammed Aggregate Piers and subjected to 457 controlled blasting. The main outcomes are summarized, as follows:

- CPTU and SDMT tests revealed a good agreement in the geotechnical characterization of the site, detecting homogenous soil properties in both the natural and improved panels. The use of both CPTU and DMT provided better estimates of soil properties in sandy layers (e.g. *OCR*, *K*<sub>0</sub>), that are usually not determinable by the use of a single type of in-situ test;
- the comparison of the in-situ tests performed pre-blast in natural and treated soils highlighted the effectiveness of the RAP treatment between 4 and 9 m in depth within silty sands. The increases in the DMT parameters following treatment were more pronounced relative to those obtained from the CPTU data (e.g.,  $K_D$  increased  $\approx 48-53\%$ , *M* increased  $\approx 80-87\%$ ,  $q_t$  increased

 $\approx$  30-35%), thus suggesting a higher sensitivity of DMT to the increase in horizontal stress. On 466 the contrary, the  $V_S$  measurements showed a very low sensitivity to the ground improvement. 467 Moreover, the combined use of CPTU and DMT tests showed a significant increase of  $M/q_t$  and 468  $K_0$  after treatment, supporting the use of the piers to increase the lateral soil stress and mitigate 469 liquefaction; 470

- the controlled blasting induced, soon after the detonation, an increase greater than 100% for  $K_D$ 471 472 and M in the deeper silty sand layer (6-9 m in depth) of the natural panel, that remained constant with time. No time-dependency was observed in the improved panel, where CPTU and DMT 473 parameters maintained the same pre-blast values confirming the effectiveness of the piers 474 relative to liquefaction. Lastly in this case, the  $V_S$  measurements did not indicate any significant 475 change between pre- and post-blast results in either the natural or treated soils; 476
- the liquefaction assessments by different geotechnical and geophysical tests provided broad 477 agreement in detecting the 2012 liquefied layer, although DMT- and  $V_{S}$ -based methods 478 479 suggested a low liquefaction risk for the natural soil. Comparing pre-RAP and post-RAP results, all the liquefaction severity indices evidenced a marked reduction as a result of RAP treatment 480 481 and an important influence of the adopted FC profile. However, the LPI<sub>ish</sub> and LSN values strongly underestimated the 2012 liquefaction evidences, while the LPI and S appeared to 482 provide a better prediction - although still a little low - of what actually happened in Emilia-483 484 Romagna;

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- further studies are required to investigate the mechanisms that reduced liquefaction-induced settlements around the piers by using both advanced laboratory tests and numerical modeling.
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#### 488 DATA AVAILABILITY STATEMENT

Some or all data, models, or code that support the findings of this study are available from the 489 490 corresponding author upon reasonable request. These data include in-situ and laboratory test results.

491

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#### Tables

**Table 1.** List of the in-situ tests associated with the different phases of the BTS experimental program: phase I is pre-RAP and pre-blast; phase II is post-RAP and pre-blast; phase III is post-blast. Ground water table (GWT) from each test is indicated.

Phase	Period	Location	Borehole	CPTU test	DMT-SDMT test	GWT from CPTU test (m)	GWT from DMT test (m)
Ι	February 2018	IP	S01	CPTU01	SDMT01	1.50	1.50
Ι	February 2018	NP	S11	CPTU11	-	1.50	-
Ι	March 2018	IP	-	CPTU02	MEDUSA DMT01	0.50	0.50
Ι	April 2018	NP	-	CPTU12	SDMT11	0.80	0.80
Ι	April 2018	Between IP-NP	-	-	MEDUSA DMT11	-	0.80
II	April 2018	IP	-	-	MEDUSA DMT01bis	-	0.80
II	April 2018	IP	-	CPTU01bis	SDMT01bis	0.85	0.80
III	June 2018	IP	-	CPTU01ter	SDMT01ter	0.70	0.70
III	June 2018	NP	-	CPTU11ter	SDMT11ter	0.80	0.70
III	July 2018	IP	-	CPTU01quater	SDMT01quater	0.60	0.80
III	July 2018	NP	-	CPTU11quater	SDMT11quater	0.64	0.80
III	September 2018	IP	-	CPTU01quintus	SDMT01quintus	0.90	0.43
III	September 2018	NP	-	CPTU11quintus	MEDUSA DMT11quintus	0.90	2.00
III	September 2018	NP	-	-	SDMT11quintus	-	-

Table 2. Index properties of the analyzed samples related to USCS soil classification.

Panel	Depth (m)	FC (%)	PI (%)	<i>C</i> <sub>U</sub> (-)	<i>C</i> <sub>C</sub> (-)	USCS classification
natural panel (NP)	3.30-3.50	88.24	17.6	-	-	Silty clay (CL)*
natural panel (NP)	3.60-3.80	22.64	non-plastic	-	-	Silty sand (SM)*
natural panel (NP)	4.30-4.50	40.79	non-plastic	-	-	Silty sand (SM)*
natural panel (NP)	5.50-5.70	22.44	non-plastic	-	-	Silty sand (SM)*
natural panel (NP)	6.80-7.00	26.02	non-plastic	-	-	Silty sand (SM)*
natural panel (NP)	7.00-7.10	30.81	non-plastic	-	-	Silty sand (SM)*
improved panel (IP)	2.15-2.30	82.02	22.1	-	-	Silty clay (CL)*
improved panel (IP)	2.80-3.00	92.63	21.6	-	-	Silty clay (CL)*
improved panel (IP)	3.30-3.50	75.25	-	-	-	Silty clay (CL)*
improved panel (IP)	4.35-4.50	28.24	non-plastic	-	-	Silty sand (SM)*
improved panel (IP)	4.50-4.95	20.50	non-plastic	-	-	Silty sand (SM)*
improved panel (IP)	5.45-5.60	20.45	non-plastic	17.23	4.11	Silty sand (SM)
improved panel (IP)	5.60-6.05	35.71	non-plastic	-	-	Silty sand (SM)*
improved panel (IP)	6.25-6.45	31.38	non-plastic	39.30	1.09	Silty sand (SM)
improved panel (IP)	7.40-7.50	37.70	non-plastic	57.04	1.04	Silty sand (SM)
improved panel (IP)	8.85-9.00	26.03	non-plastic	20.92	1.46	Silty sand (SM)
improved panel (IP)	9.80-10.00	4.77	non-plastic	2.56	1.32	Poorly graded sand (SP)
improved panel (IP)	10.35-10.55	40.94	non-plastic	-	-	Silty sand (SM)*
improved panel (IP)	11.80-12.00	28.38	non-plastic	-	-	Silty sand (SM)*
improved panel (IP)	12.40-12.60	1.40	non-plastic	2.27	1.15	Poorly graded sand (SP)

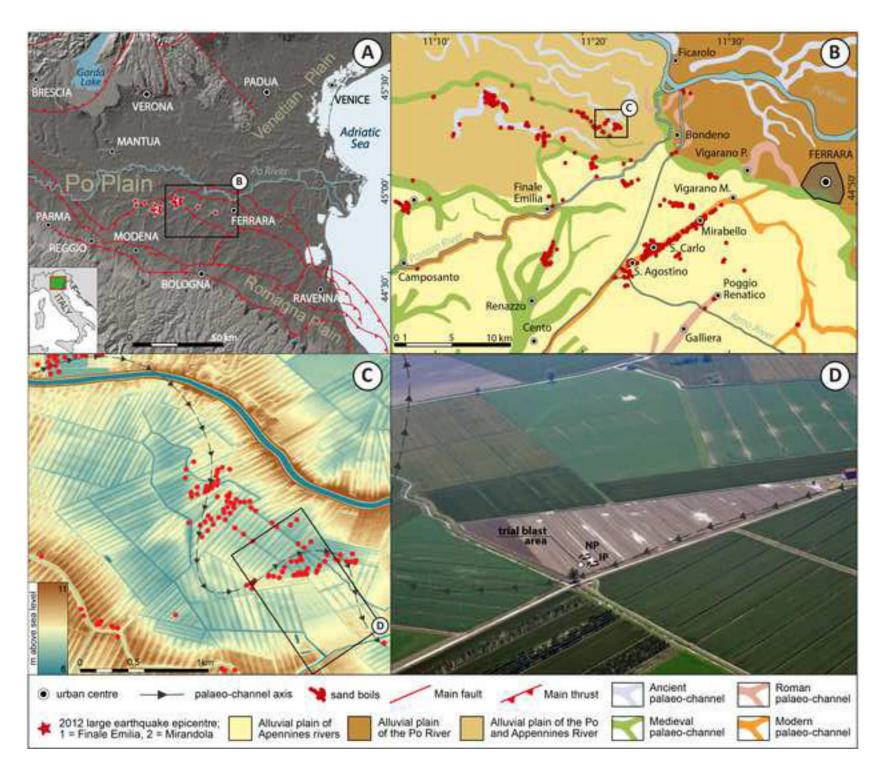
Notes: FC is the fines content; PI is the plasticity index;  $C_U$  is the coefficient of uniformity;  $C_C$  is the coefficient of gradation; \* refers to USCS visual manual procedure.

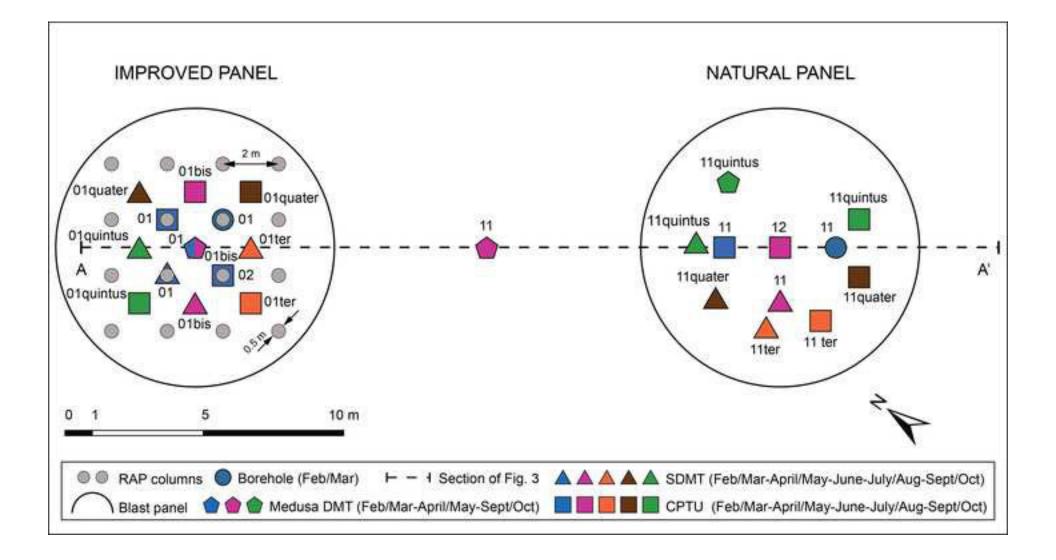
**Table 3.** Average geotechnical parameters estimated by CPTU and SDMT in natural (NS) and treated (TS) soils. The percentage in brackets represents the increase of the average parameters that was due to the improvement and is equal to the difference between the TS and NS parameters divided by the NS parameter multiplied by 100%.

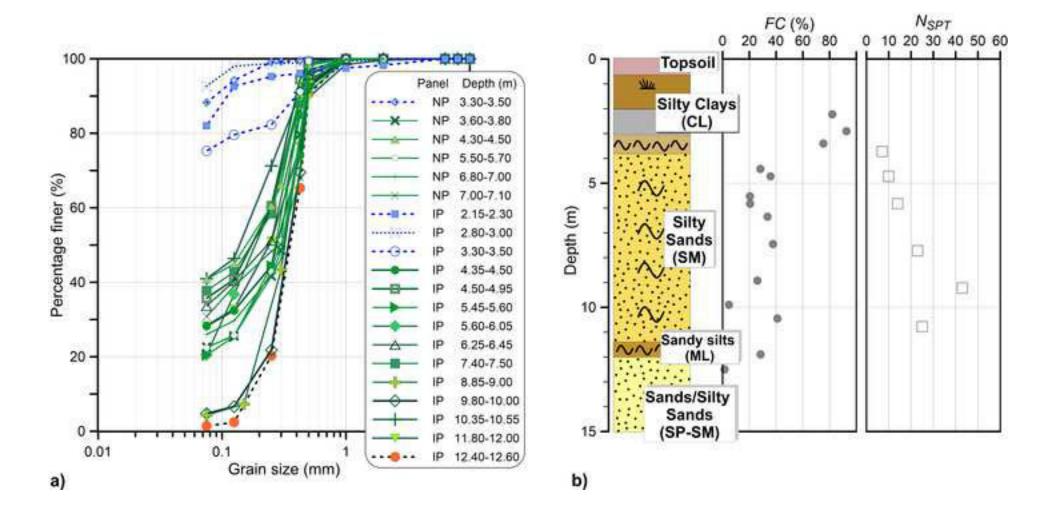
z (m)	Soil	CPTU		DI	МТ		SDMT		
		<i>q</i> t (MPa)	D <sub>R</sub> (%)	<i>КD</i> (-)	M (MPa)	<i>M/q</i> <sup>t</sup> (-)	<i>OCR</i> (-)	<i>Ко</i> (-)	Vs (m/s)
	NS	7.10	53.91	8.45	70.96	10.39	2.11	0.70	154
4.0-7.0	TS	9.21 (30%)	60.87 (13%)	12.49 (48%)	128.31 (80%)	13.43 (29%)	3.18 (51%)	0.91 (29%)	179 (16%)
7.0-9.0	NS	9.96	58.36	8.48	94.91	7.42	1.11	0.51	181
	TS	13.44 (35%)	66.28 (14%)	12.98 (53%)	177.16 (87%)	14.37 (94%)	3.53 (218%)	0.99 (93%)	178 (-2%)

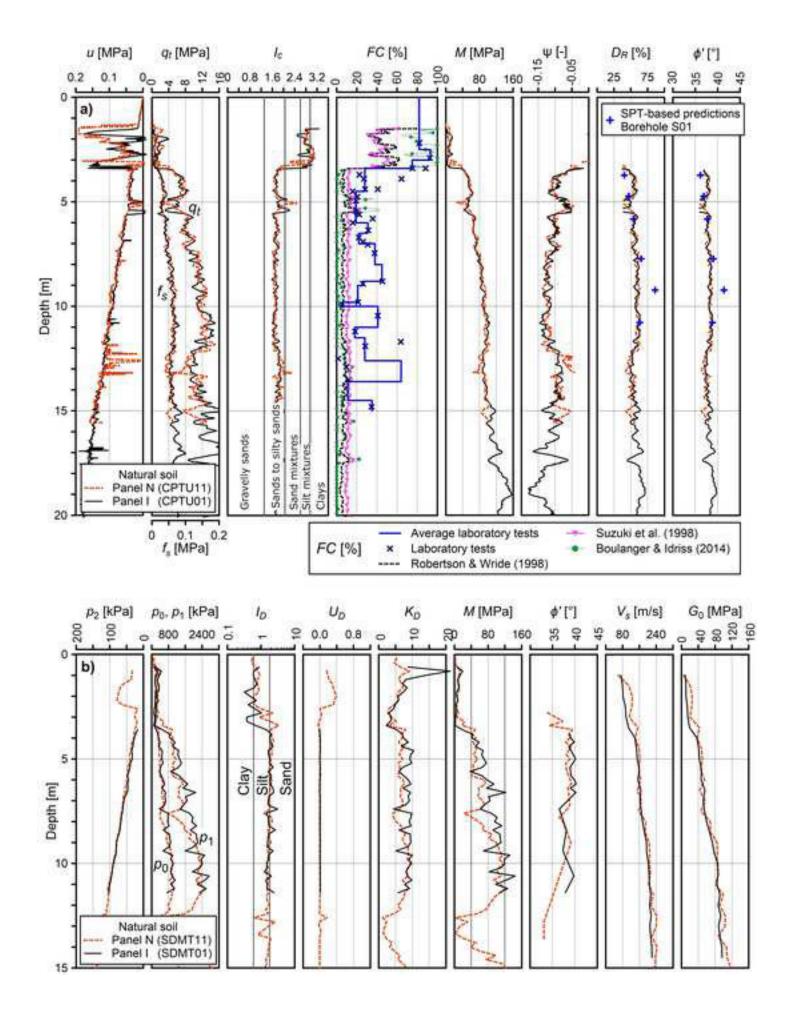
**Table 4**. Comparison of liquefaction severity indices obtained from CPTU in pre-blast natural (NS) and treated (TS) soils for both the ground motions. The percentage in brackets represents the variation of the average parameters that was due to the improvement and is equal to the difference between the TS and NS parameters divided by the NS parameter multiplied by 100%.

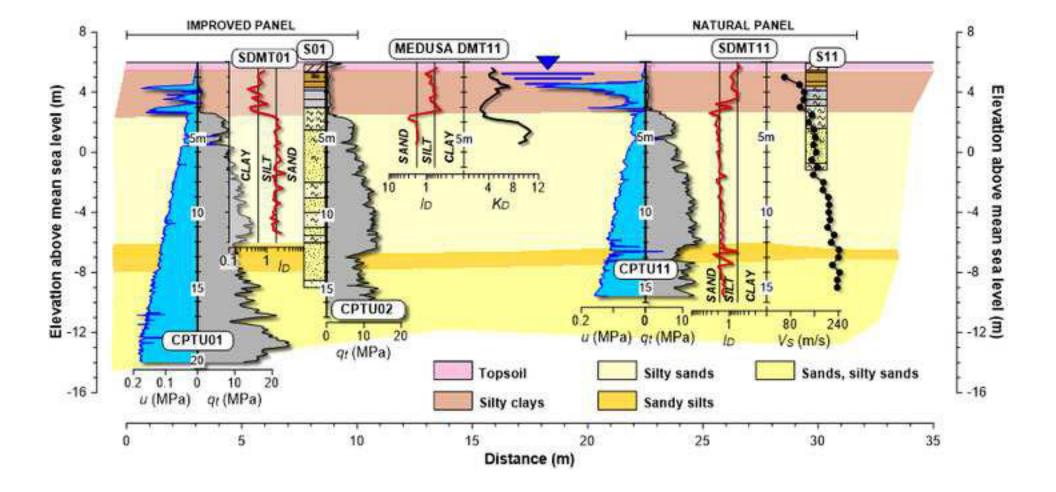
			$M_w = 5.9, P$		$M_w = 6.14, PGA = 0.22g$				
Method	Soil	LPI	LPIish	LSN	S (cm)	LPI	LPIish	LSN	S (cm)
Robertson and	NS	4.020	1.416	13.027	6.485	1.695	0.106	8.352	3.977
Wride (1998)	TS	1.573	0.401	5.711	2.284	0.903	0.026	4.207	1.591
white (1998)		(-61%)	(-72%)	(-56%)	(-65%)	(-47%)	(-75%)	(-50%)	(-60%)
Idriss and	NS	4.616	0.802	11.653	6.133	1.145	0.178	6.101	3.086
Boulanger (2008)	TS	1.925	0.408	6.464	2.910	0.737	0.000	3.321	1.404
Doulanger (2008)	15	(-58%)	(-49%)	(-45%)	(-53%)	(-36%)	(-100%)	(-46%)	(-55%)
Idriss and	NS	1.411	0.668	3.480	1.678	0.701	0.262	2.224	1.077
Boulanger (2008)	TS	0.925	0.243	2.245	0.995	0.399	0.000	1.335	0.626
with FC <sub>Lab</sub>		(-34%)	(-64%)	(-35%)	(-41%)	(-43%)	(-100%)	(-40%)	(-42%)
Boulanger and	NS	18.700	11.664	24.034	13.809	12.570	6.289	22.166	12.446
Idriss (2014)	TS	10.668	5.088	16.440	8.388	5.637	1.726	12.756	6.213
Iunss (2014)		(-43%)	(-56%)	(-32%)	(-39%)	(-55%)	(-72%)	(-42%)	(-50%)
Boulanger and	NS	4.295	2.299	7.694	3.750	2.439	1.030	5.417	2.631
Idriss (2014)	TS	2.434	0.939	4.560	2.083	1.103	0.482	2.965	1.367
with FC <sub>Lab</sub>	15	(-43%)	(-58%)	(-41%)	(-44%)	(-55%)	(-53%)	(-45%)	(-48%)

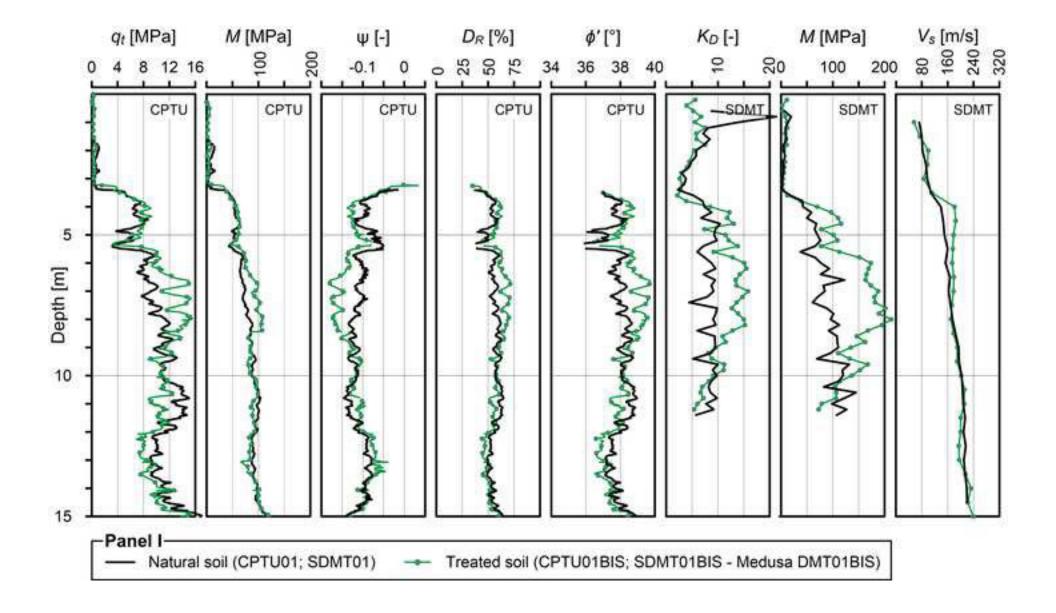


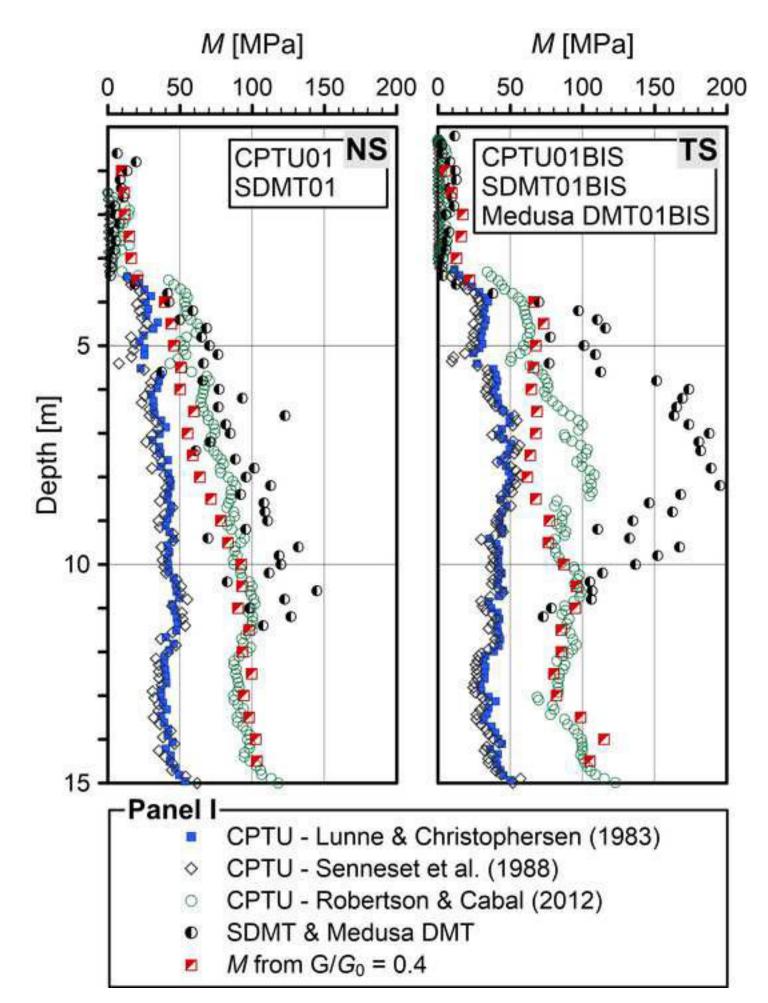


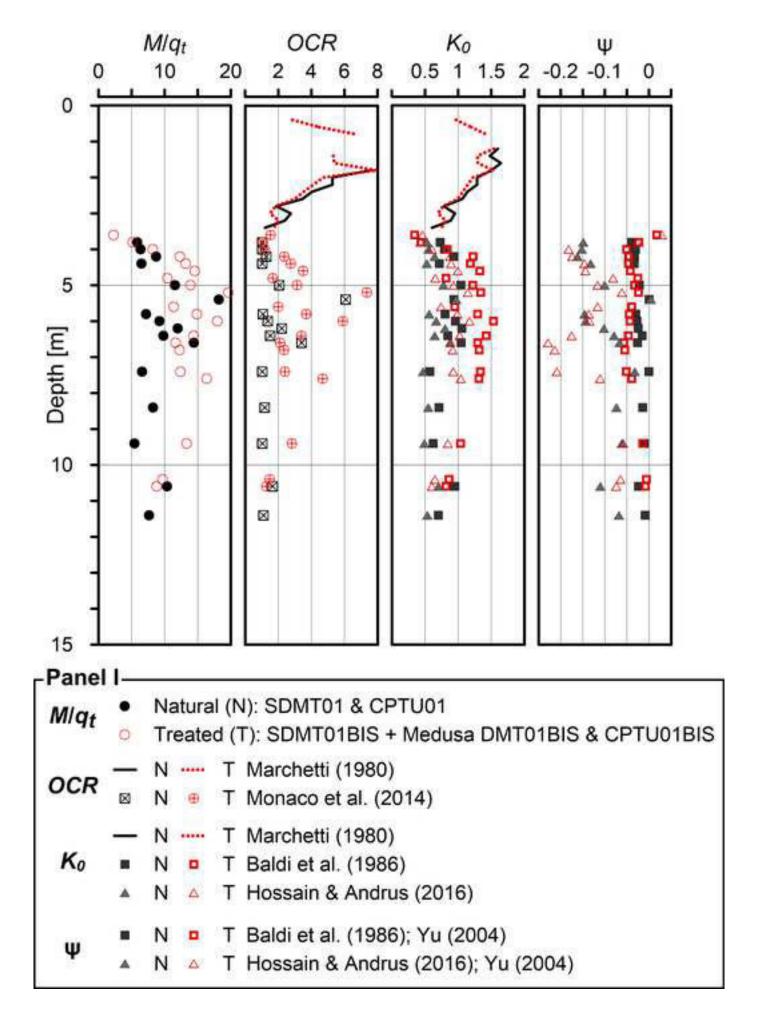


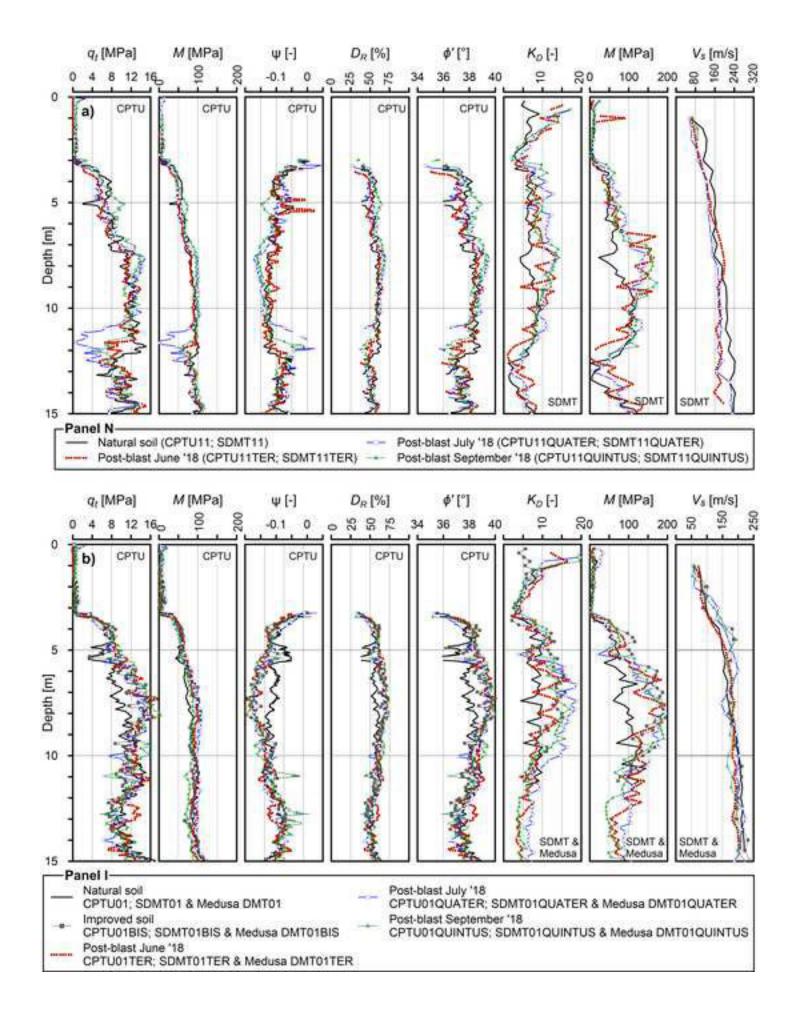


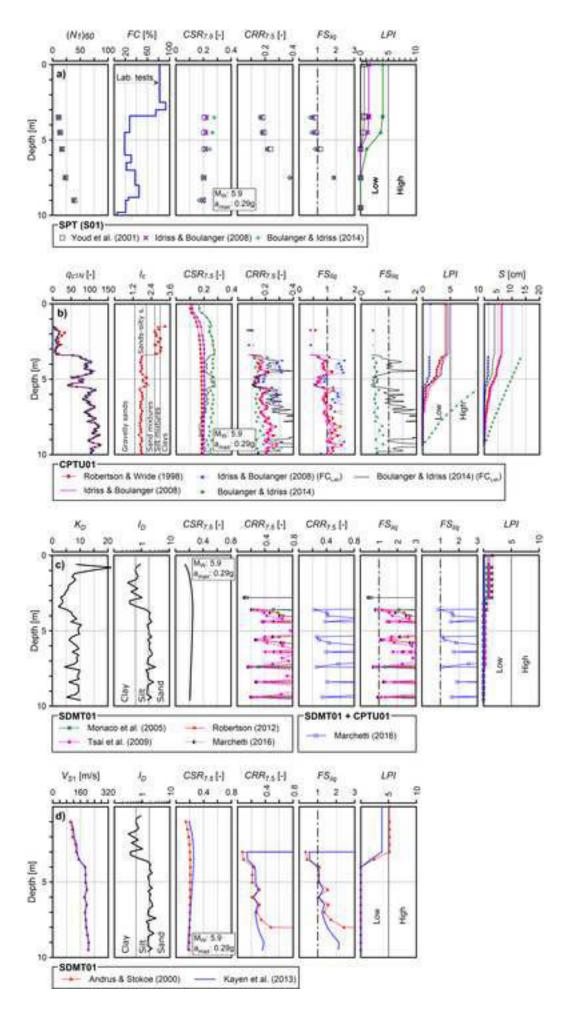


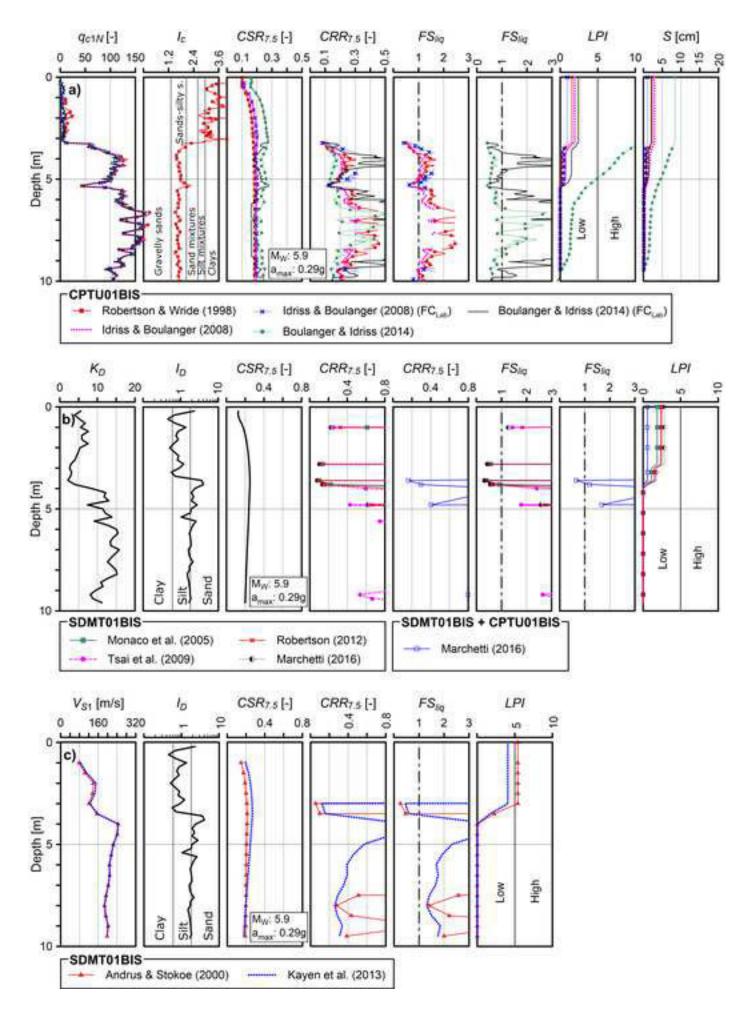












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