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Linguistic features and Automatic Classifiers for identifying Mild Cognitive Impairment and Dementia

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Abstract 6

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Almost 50 million people are living with dementia in 2018 worldwide, and the number will 7 double every 20 years. The effectiveness of existing pharmacologic treatments for the disease 8 is limited to symptoms control, and none of them are able to prevent, reverse or turn off the 9 neurodegenerative process that leads to dementia; therefore, a prompt detection of the "disease 10 signature" is a key problem, in order to develop and test new drugs and to support the manage-11 ment of clinical and domestic context. Recent studies showed that linguistic alterations may be 12 one of the earliest signs of the pathology, years before other neurocognitive deficits become ev-13 ident. Traditional tests fail to identify these slight but noticeable changes; whereas, the analysis 14 of spoken language productions by Natural Language Processing (NLP) techniques can ecologi-15 cally and inexpensively identify minor language modifications in potential patients. 16

This interdisciplinary study aims at quantifying and describing alterations of linguistic fea-17 tures due to cognitive decline and build an automatic system for early diagnosis and screening 18 purpose. To this aim, we enrolled 96 participants: 48 healthy controls and 48 impaired subjects. 19 Of the latter, 32 was diagnosed with Mild Cognitive Impairment and 16 with early Demen-20 tia (eD). Each subject underwent a brief neuropsychological screening, and samples of semi-21 spontaneous speech productions was collected by means of three elicitation tasks. Recorded 22 sessions were orthographically transcribed, PoS tagged and parsed building two different cor-23 pora: in the first we kept the automatic annotations, while in the second the transcripts were 24 manually corrected in order to remove all mistakes. A multidimensional parameter computation 25 was performed on the data, taking into consideration a set of 87 acoustical, rhythmical, morpho-26 27 syntactic and lexical feature as well as some readability indexes and demographic information. After these preparatory steps, some automatic classifiers were trained to distinguish healthy con-28 trols from MCI subjects employing two different algorithms, Support Vector (SVC) and Random 29 Forest Classifiers (RFC). Our system was able to distinguish between controls and MCI sub-30 jects exhibiting high F1 scores, around 75%, thus it seems to be a promising approach for the 31 identification of preclinical stages of dementia. 32

Keywords: Mild Cognitive Impairment, Dementia, Language and speech analyses, NLP 33

techniques, linguistic bio-marker 34

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35 1. Introduction

³⁶ 1.1. Cognitive decline as a growing public health concern

The number of people who are diagnosed with dementia is growing rapidly in western countries: almost 50 million people are living with dementia in 2018 worldwide, and the number will grow to 152 million by 2050. Rising life expectancy is contributing to rapid boosts this number: through meta-analysis of the available evidence, Alzheimer's Disease International Association estimates over 9.9 million new cases of dementia each year, one new case every 3 seconds on average (Prince et al., 2015; Patterson, 2018).

The management of this increased number of individuals at risk of suffering from Cognitive Impairment is a big challenge for health-care systems: whilst the global societal cost of the pathology is barely feasible (US\$ 818 billion, 1.09% of the global Gross Domestic Product), existing medications for the forms of clinically evident dementia, in particular for the Alzheimer's disease (AD), show minimal efficacy both on the cognitive and functional manifestations of this ravaging condition.

However, the neurodegenerative process leading to dementia is thought to begin much earlier 49 than the clinical symptoms: this long "preclinical" or "prodromal" phase, a grey area between 50 normal aging and pathological cognitive functioning, would provide a key opportunity for phar-51 macological treatment development and therapeutic intervention (Calzà et al., 2015; Epelbaum 52 et al., 2017; Ritchie et al., 2017). Customized interventions at early stages of the disease might re-53 duce not only the economic impact of health spending, but also the emotional burden for patients 54 and their caregivers. An adequate and timely risk identification may also allow for the implemen-55 tation of preventive measures such as dietary, lifestyle and neuroprotection precautions, playing 56 an important role in delaying the onset of the pathology. 57

Nevertheless, the problem of diagnosis in cognitive decline and frailty still remains a hot topic: there is an extensive literature and a considerable body of evidence on the possibility of early diagnosis of Alzheimers and other types of dementia, but pre-symptomatic diagnosis raises both theoretical issues and ethical concerns (Calzà et al., 2015).

Individuals with dementia manifest alterations in various cognitive domains: memory, atten-62 63 tion, executive functioning, visuo-spatial skills, perceptual speed and, last but not least, language. Many assessment tools have been proposed over recent years, but the commonest screening in-64 struments (e.g. the "Mini Mental State Examination" (Folstein et al., 1975)) are largely inad-65 equate for detecting early changes in cognition. It would be crucial to have high sensitive and 66 specific psychometric tests, suitable for a low-cost and large-scale use. Several initiatives and 67 studies are in progress (Mortamais et al., 2017), but, at the moment, the role of these traditional 68 instruments is puzzling: although idoneous to detect evident dementia cases, they are much less 69 effective in order to track down the prodromal phase of cognitive frailty, such as the condition of 70 Mild Cognitive Impairment (MCI) (Petersen, 2011). 71

⁷² 1.2. Quantitative linguistic methods and NLP techniques for cognitive frailty screening

Among the cognitive areas that may reveal early signs of decline, language has been subject
 of growing interest, becoming an established topic of research. Considerable evidence is avail able for suggesting that linguistic deficits are present in several neurodegenerative diseases (e.g.
 see Boschi et al. (2017) for a review); that is especially the case with dementia, where language
 disruption is a common finding both at the earliest stages and in full-blown pathology.

Although episodic memory impairment is the main symptom of AD, a progressive language
 disorder is usually found as well; but unlike aphasias, that are due to focal brain damage, verbal

deficits usually occur in the context of multiple cognitive impairments (Forbes-McKay et al., 80 2013). Patients show, among other signs, a decline of lexical semantic knowledge, with word-81 finding problems (i.e. anomia and semantic paraphasias), sentence comprehension deficits, ver-82 bal fluency decrease and low content density (de Lira et al., 2011; Jarrold et al., 2014; Catricalà 83 et al., 2015; Drummond et al., 2015; Fraser et al., 2016). At the phonetic level, low speech rate 84 and an high number of hesitations have also been reported (Hoffmann et al., 2010; Sajjadi et al., 85 2012). Morpho-syntactic processing tends to be relatively preserved in the early course of the 86 disease (Altmann et al., 2001; Cuetos et al., 2007; Sajjadi et al., 2012): nevertheless, a number 87 88 of studies have showed that sentence structure is correct but reduced (Kemper et al., 1993; Ferris and Farlow, 2013; Yancheva et al., 2015; Fraser et al., 2016), and a greater number of inflectional 89 errors in AD patients than in healthy persons has also been observed (Altmann et al., 2001; Cue-90 tos et al., 2007). Deficits affect the pragmatic level too, namely referential/temporal cohesion, 91 coherence and discourse planning (Ripich et al., 2000; Chapman et al., 2002; Carlomagno et al., 92 2005; March et al., 2006; Drummond et al., 2015). With the progression of AD pathology, lin-93 guistic symptoms become pervasive, showing a full breakdown of speech comprehension and 94 verbal production restricted to echolalia and stereotypy (Ferris and Farlow, 2013). 95

To summarise, the progress of the pathology parallels with a progressive simplification of 96 the language productions; this can be considered the first guiding line we followed searching for 97 linguistic features able to distinguish among the various degrees of pathology for Italian speakers. 98 A progressive loss of specific language functions with relative sparing of other cognitive do-99 mains (such as memory of daily events, visual-spatial skills and behavior) marks out Primary 100 Progressive Aphasia (PPA) (Mesulam, 2001, 2003): as a matter of fact, PPA is diagnosed when 101 all major limitations in activities of daily living can be attributed to a language impairment for at 102 least two years after the onset. Three subtypes are currently recognized: non-fluent/agrammatic 103 variant PPA, semantic variant PPA, and logopenic variant PPA, each of which exhibits pecu-104 liar patterns of brain atrophy and linguistic features (Gorno-Tempini et al., 2011). People with 105 non-fluent PPA show major impairments at the phonetical, phonological and syntactic level: 106 107 they usually present agrammatism in language production (i.e. omission of grammatical morphemes), effortful and halting speech with articulatory errors ("apraxia of speech"), disruption 108 of prosody and impaired comprehension of complex sentences (i.e. negative passive and object 109 relative clause) against spared single-word decoding and object knowledge. Severe anomia and 110 single-word comprehension deficits, especially for low-frequency items (es. "zebra" vs the more 111 familiar "horse"), are the core features of semantic variant PPA (also known as "Semantic De-112 mentia"). These symptoms represent the earliest markers of a widespread conceptual knowledge 113 degradation. People suffering from the logopenic PPA present impaired single-word retrieval 114 and sentence repetition deficits. Word-finding problems bring about slow speech rate, but lack of 115 frank agrammatism and preservation of speech articulation help in distinguishing it from other 116 subtypes. 117

Language disruption is not a core feature of Dementia with Lewy Bodies (LBD), but quite the opposite (Ash et al., 2011; Grossman et al., 2012; Delbeuck et al., 2013). However, naming and verbal fluency impairment, due to disturbed executive functioning, have been extensively reported. In addition, alterations have been described both at the phonetic (e.g. speech rate, articulation errors) and pragmatic level (e.g. narrative organization, coherence and topic maintenance).

Although there is a lot of empirical evidence about language disruption in AD, PPA and LBD, less knowledge has been accumulated about language disorder in preclinical stages. Reviewing the literature on the topic, verbal impairments in MCI seem to parallel those found in

early/moderate stage Dementia (Taler and Phillips, 2008): deficit are reported for verbal fluency, 127 naming and semantic knowledge, even if pragmatic skills seem to be the most affected; it is also 128 well documented that these discourse alterations (i.e. semantically impoverished discourse that 129 lacks in coherence) may be one of the earliest signs of the pathology, often measurable years 130 before other cognitive deficits become apparent. Some longitudinal retrospective studies have 131 already demonstrated that linguistic features could act as a prodromic marker of cognitive dys-132 functions: for example, the Nun study (Snowdon, 2003), the Iris Murdoch study (Garrard et al., 133 2005) or the Harold Wilson project (Garrard, 2009). The investigation of this domain seems to be 134 promising, both for early diagnosis and dementia large-scale screenings. The traditional evalua-135 tion of the linguistic functions is performed by means of pencil-and-paper or computer-assisted 136 tests: it is usually made up of verbal fluency (semantic and phonemic), visual confrontation nam-137 ing, comprehension, repetition (of words and/or sentences), writing and communicative skill as-138 sessment. However, not only conventional language tests show low sensitivity, but they do not 139 allow to explore many other aspects of language, both at the segmental and suprasegmental level 140 (e.g. prosody and rhythm): albeit sporadic significant differences between the MCI and normal 141 elderly participants have been identified with standardized instrument, their clinical use is still 142 unreliable (Taler and Phillips, 2008; Szatloczki et al., 2015; Filiou et al., 2019). 143

During the last few years, new sophisticated techniques from Natural Language Processing 144 (NLP) have been used to analyse written texts, clinically elicited utterances and spontaneous 145 speech, in order to identify signs of psychiatric or neurological disorders and to extract auto-146 matically derived linguistic features for pathologies recognition, classification and description. 147 Computational methods have been already successfully applied to the study of linguistic cues of 148 cerebral functional disorders, both in the case of language modifications and disruption associ-149 ated with depression (Jiang et al., 2017; Stasak et al., 2019), focal brain lesions (Fergadiotis and 150 Wright, 2011), Parkinson's disease (Benba et al., 2016; Sztahó and Vicsi, 2016; Arias-Vergara 151 et al., 2018; Upadhya et al., 2019) and for detecting dementia prodroms (MCI) (Roark et al., 152 2007, 2011; Satt et al., 2013; Vincze et al., 2016; dos Santos et al., 2017; Matsuda Toledo 153 et al., 2018; Meilán et al., 2018; Tóth et al., 2018; Wang et al., 2019) or the different associ-154 ated pathologies, like Alzheimers Disease (Jarrold et al., 2014; Fraser et al., 2016; Chinaei et al., 155 2017; López-de-Ipiña et al., 2015; Yancheva and Rudzicz, 2016; Sirts et al., 2017), PPA (Fraser 156 et al., 2014) and Fronto-Temporal Dementia (Jarrold et al., 2014). 157

While neuropsychological tests and structured evaluations have a relevant impact on the naturalness of the subjects responses, the analysis of natural spoken language productions could allow to ecologically and almost inexpensively pinpoint language modifications in potential patients even by primary care physicians.

Considering the cited literature globally, there are two main aspects that these works consider trying to face the managed problem:

the introduction of a proper set of linguistic features able to differentiate subjects that could present a pathological situation from controls. In this wide panorama, some studies extract the features manually from speech or written productions, while others try to devise NLP systems able to extract such features automatically. Almost all the cited works apply proper statistical significance test in order to identify the most promising linguistic indicators in correlation with the pathology studies.

having defined a set of relevant features, some of the cited studies try to build automatic
 systems able to identify the pathology. They typically use common Machine Learning
 techniques, such as Support Vector Machines, Neural Networks, K-Nearest Neighbor, etc.,

to build such automatic tools obtaining different classification performances, in terms of
 accuracy or precision/recall/F-score.

175 1.3. Aim of the study

The paper presents a novel system for the identification of cognitive frailty at very early stage 176 by processing spontaneous Italian language productions. The final goal of this project regards 177 the development of an instrument to be used at General Practitioner level, for frequent, low-cost 178 and non-intrusive cognitive decline screening and cognitive status monitoring. In order to devise 179 such a computational system it is necessary to study and compute a large set of linguistic features 180 181 potentially able to distinguish between healthy controls and MCI subjects in a reliable way for the Italian language and Italian speakers. For this reason, a large set of indexes identified as 182 relevant in studies devote to other languages, as well as some linguistic parameters never used 183 for this task or specifically computed for Italian, have been identified and considered into this 184 work, and proper procedures for measuring them starting from spoken productions have been 185 developed. 186

Over recent years, there has been a huge increase in the number of scientific papers on the 187 topic but, at the time of writing, we are not aware of any study specifically devoted to Italian 188 performing a similar kind of automatic analysis. This is not a marginal issue, since typological 189 peculiarities (e.g. morphological structure) may strongly affect the reliability of the features on 190 different languages, limiting the comparability and transferability of results. This pilot study 191 represents the first step in the direction of creating automatic tools able to support practitioners 192 taking the very burdensome, but fundamental, decision if a subject presents real symptoms of 193 cognitive decline, deserving further diagnostic investigation. With respect to previous works 194 (e.g. Beltrami et al. (2018)), this paper represent our very first attempt to move from the theorical 195 linguistic profiling of MCI population to its actual automatic identification. 196

197 2. Material and Methods

¹⁹⁸ 2.1. Corpus design and interview recording

We enrolled 96 participants, 48 healthy controls ("control group", CG) and 48 subjects with cognitive decline ("pathological group", PG). All of them provided informed and written consensus. The sample will be balanced by sex, age (range 50-75) and education (primary school with great intellectual stimulation throughout the life span or junior high school; high school; academic degree). The PG included 48 participants from two outpatient clinical services involved in-care and diagnostic evaluation of cognitive disorders and dementia. It refers to two categories:

- Mild Cognitive Impairment (MCI): it causes cognitive changes that are serious enough to be assessed with neuropsychological assessment, but not so severe to interfere with everyday activities. In order to provide a good balance among clinical phenotypes of the disease, the sample is further divided into:
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- amnestic MCI single domain (a-MCI; 16 subjects): patients who show an isolated memory deficit;
- multiple domain MCI (md-MCI; 16 subjects): in these individuals two or more cognitive abilities are affected (memory can be engaged or not).
- Early Dementia (e-D; 16 subjects): these patients are affected by cognitive deficits which
 partially influence everyday life.

Table 1 summarises the main characteristics of our cohort. Complete information about the subjects sampling and the corpus building can be found in Beltrami et al. (2018).

Table 1: Description of the cohort: inclusion criteria (i.e. MMSE Raw score (Folstein et al., 1975; Measso et al., 1993) and MoCA (Nasreddine et al., 2005; Conti et al., 2015)), age and education. No age differences were observed between the subgroups (non-parametric Kruskal-Wallis tests with Dunns multiple comparison, p > 0.05); on the contrary, the level of education of the eD group is significantly lower than Healty Controls (p-value = 0.0171).

	Healty Controls	MCI subjects	eD subjects
inclusion criteria	$\begin{array}{l} MMSE \geq 24; MoCA \geq 18\\ No neurological patholo-\\ gies, sensory impairment or\\ intellectual disability\\ No \ familiarity \ with \ demen-\\ tia \end{array}$	MMSE ≥ 18 No problem in activities of daily living	MMSE ≥ 18 Need of support for one or more activities of daily liv- ing
age	61.60 ± 6.93	64.34 ± 7.33	66.38 ± 6.70
education	13.00 ± 3.92	11.28 ± 4.35	9.38 ± 4.01 *

All the participants of the CG and PG were requested to complete the anamnestic interview 217 (including anagraphic data, information about occupation/retirement, children, familiarity with 218 neurodegenerative pathologies, clinical history and pharmacotherapy). While CG only went 219 through the conventional cognitive battery, all the PG participants underwent a complete neu-220 ropsychological evaluation (comprehensive of a clinical interview, an assessment of cognitive, 221 emotional and behavioral features, a self care abilities analysis and an interview with a family 222 member, whenever possible), a neurological assessment and other medical examinations planned 223 in the diagnostic work-up. The cognitive battery was composed of those tests which are most 224 used in the clinical practice to assess cognitive decline (Velayudhan et al., 2014; Tsoi et al., 225 2015), with an Italian standardization and short administration time. 226

After the traditional neuropsychological assessment, we recorded the semi-spontaneous speech of the subjects during the execution of three mnemonic/linguistic tasks, elicited by these input sentences:

• Could you please describe this picture? (the picture illustrated a living room with some characters carrying out certain actions (Ciurli et al., 1996)); Task "*FIGURE*";

- Could you please describe your typical working day?; Task "WORK";
- Could you please describe the last dream you remember?; Task "DREAM".

Speech samples have been recorded in a quiet room with an Olympus Linear PCM Recorder LS-5 (in WAV files; 44.1KHz, 16 bit) placed on a table in front of the subject.

236 2.2. Corpus transcription and annotation

From the digital recordings of the subjects' interviews we realized two distinct corpora. The first is a Manually Checked Corpus (MCC) built by either fully manual or semi-automatic techniques: in both cases the data in the corpus, from the speech transcriptions to the whole set of linguistic annotations, are completely reliable and manually checked at any level. The second corpus is an Automatically Annotated Corpus (AAC), meaning that all the steps, speech transcriptions and linguistic annotations, have been obtained by an automatic procedure applying

different speech and NLP tools and not corrected in any way. The reasons for building such dif-243 ferent resources are twofold: first we used MCC in order to investigate the relationships between 244 linguistic features and the different subjects groups, in order to clarify if it is possible to define a 245 set of features enabling a sufficiently reliable distinction between them by using automatic clas-246 sifiers; for this preliminary stage we preferred to base our conclusions on reliable data, manually 247 checked. Then we used the findings of the first stage and applied the same procedures on data 248 annotated by using automatic tools, in order to get an idea about the decrease in performance 249 exhibited by a fully automatic system. 250

²⁵¹ Unfortunately, only 92 sessions over 96 have been processed due to recording quality prob-²⁵² lems, mainly excessive noises. These speech samples, collected from 4 control subjects, have ²⁵³ been excluded from the analysis.

In current literature we can find a lot of studies demonstrating that it is easy to reliably identify subjects presenting a recognised stage of dementia from healthy controls (e.g. (Lópezde-Ipiña et al., 2013; Jarrold et al., 2014; López-de-Ipiña et al., 2015; Fraser et al., 2016; Sirts et al., 2017), but this is not useful, because once the clinical symptoms have been identified with certainty it is often too late to intervene in a proper way to contain the illness.

In this study we are mainly interested in developing proper procedures for the automatic identification of the early stages of the pathology, thus we will concentrate our analyses on the discrimination between control and MCI groups; therefore, our ultimate sample will be composed of 44 controls and 32 MCI subjects. Even if the results presented in this paper are mainly devoted to control/MCI distinction, all the interviews from the 92 subjects have been completely processed and annotated.

265 2.2.1. Manually Checked Corpus - MCC

The speech samples have been manually transcribed by using *Transcriber*², a free tool for helping scholars to transcribe speech dialogues keeping track of different turns or the various linguistic phenomena in the audio samples (see Fig. 1 for an example of transcriptions with the cited tool). Output files are exported in an XML format with temporal alignment of the text to the signal and Unicode UTF-8 character encoding. The operating procedure is compliant with the annotation guidelines of the project, available to the transcribers.

The reference unit for the analysis of the speech flow is the utterance, defined by using prag-272 matic and prosodic (mainly intonational) criteria as "the linguistic counterpart to the speech act", 273 the minimal linguistic entity that is pragmatically interpretable (Austin, 1962). The identification 274 is performed through the perception and the detection of prosodic breaks (Cresti and Moneglia, 275 2018) acoustically correlating with F0 reset, final lengthening, drop in intensity, pause and initial 276 rush in the subsequent prosodic unit (Cruttenden, 1986; Hirst and Di Cristo, 1998; Sorianello, 277 2006). One or more utterances performed without interruptions by a single speaker make up a 278 "dialogic turn". 279

Orthographic transcription follows the conventions of written Standard Italian; in order to dispel any spelling doubts, the annotators referred to the "GRADIT" dictionary (De Mauro, 1999). During the transcription process a set of paralinguistic and extralinguistic phenomena (such as empty or filled pauses, disfluences, lapsus, hesitations/statterings, laughs, coughs, throat clearing sounds or noises) has been annotated as well. All labels were clearly marked in order to allow an easy removal of the annotations from the corpus and the reversion to the raw data

²http://trans.sourceforge.net

• •	Transcriber 1.5.2	
	report - lavoro	1
Sog_	01	11
•	[NOISE]	Ш
) b	bene , mi alzo [p="0.734"] circa alle sei e mezza , faccio colazione , mi lavo . [p="0.694"]	11
) e	eeh[-eeh="0.379"] alle sette e mezzo esco e in macchina vado a scuola . [p="0.626"] [inspiration="1.152"]	
() c	cinque ore di lezione , tutti i giorni . [p="0.596"]	
) t	torno a casa . [p="1.543"]	
() п С	mmh[-mmm="0.648"] pranzo [p="0.728"] e[:="0.287"] il pomeriggio mi riposo[:="0.430"] , preparo le lezioni per il giorno dopo , correggo i compiti . [inspiration="0.827"]	I
() e	e[:="1.509"] niente .	
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Figure 1: Orthographic transcription of a short speech sample with the Transcriber software. From the top: text editor for speech turn annotation and segmentation; button bar for signal playback; waveform; segmentation lines (synchronized with the signal).

(Leech, 2005). The duration of the verbal and non-linguistic events (in ms.) has been annotated
 too, gauging their temporal extension on the spectrogram by using the Praat speech processing
 tool³ (Boersma, 2001) (see Fig. 2).

Table 2 shows means and standard deviations for the lengths of the texts uttered by controls and MCI subjects.

Table 2: Text length, in tokens, produced on the three tasks by healthy and MCI subjects, shown as mean (μ) and standard deviation (σ).

Task	Healty Subjects MCI subjects	
FIGURE WORK DREAM	$ \begin{array}{l} \mu = 184.75, \ \sigma = 113.60 \\ \mu = 286.32, \ \sigma = 220.58 \\ \mu = 135.07, \ \sigma = 100.72 \end{array} $	$ \begin{array}{c} \mu = 121.91, \sigma = 52.63 \\ \mu = 238.66, \sigma = 216.06 \\ \mu = 94.97, \sigma = 66.96 \end{array} $

After the automatic tokenization of the transcription, the corpus has been enriched by adding 291 linguistic information at the lexical and morphosyntactic levels: all the utterances have been 292 automatically PoS-tagged, lemmatized and syntactically parsed with the dependency model used 293 by the Turin University Linguistic Environment TULE⁴ (Lesmo, 2007), based on the TUT -294 Turin University TreeBank tagset (Bosco et al., 2000). Given that parser performance gets worse 295 with transcripts, even more with pathological language, we decided to rely on carefully checked 296 linguistic information, at least for MCC. To this end, all the annotations have been manually 297 checked by one linguist, in order to remove the errors introduced by the automatic tagging. 298 The revision has been made by using the Dependency Grammar Annotator - DGA opensource 299 software⁵ for an easy visualisation and correction of TULE mistakes at any level (see Fig. 3). 300

³http://www.fon.hum.uva.nl/praat/

⁴https://github.com/alexmazzei/TULE

⁵http://medialab.di.unipi.it/Project/QA/Parser/DgAnnotator/



Figure 2: Measuring the length of linguistic and extra-linguistic events with Praat.

301 2.2.2. Automatically Annotated Corpus - AAC

This second version of our dataset has been processed by using completely automatic procedures, both for obtaining the initial transcriptions of the interviews and for inserting the same linguistic annotation we described before for MCC.

We produced the speech transcriptions of the interviews by leveraging the Google Cloud Automatic Speech Recognition (ASR) system, well trained for Italian and able to produce reliable transcriptions for non pathological language.

In order to have an idea about the actual performance of this tool when applied to pathological language, we ran an evaluation experiment comparing the automatically-derived transcriptions with the manual counterparts: we obtained a Word Error rate (WER) of 27.78%. Considering the nature of our speech samples this WER can be seen as rather acceptable.

With regard to the insertion of linguistic annotations, mainly part-of-speech tagging, lemmatisation and dependency parsing, we relied on the same tool used for MCC, namely the TULE parser, we described in the previous section. Unfortunately, it is not possible running a simple evaluation to get a broad idea about the amount of errors also for these linguistic annotations, due to the inevitable misalignments between the transcriptions that do not allow PoS-tagging and parsing evaluations with standardised procedures.

318 2.3. Linguistic Features

A multidimensional parameter analysis has been performed on the two corpora: the algorithms conduct a quantitative analysis of spoken texts, computing rhythmic, acoustic, lexical, morpho-syntactic and syntactic features. Both linguistic/stylometric indexes proposed in the literature and some new parameters have been tested, for a total of 87 variables. Age and Cognitive Reserve (CR), namely the ability to optimize and maximize performance through the recruitment



Figure 3: Dependency graph as shown by DGA and full utterance annotation in CoNLL-U format (lemmas, Part-of-Speech and dependency relations).

of brain networks and/or compensation by alternative cognitive strategies (Nucci et al., 2012), are 324 among the most important risk factors for Mild Cognitive Impairment (Mazzeo et al., 2019). So 325 far, CR has been estimated by extremely heterogeneous methods and proxy measures: years of 326 education, occupation, intelligence (IQ), leisure activity. In particular, the scientific community 327 agrees on the role played by education in the cognitive decline both in normal aging and degen-328 erative disease: even if its effect is rather difficult to isolate from other protective factors (e.g. 329 socioeconomic status, quality of the social environment, awareness of health risks), scholarity 330 have a huge influence on adult lifestyles. Nevertheless, scientific evidence for CR correction is 331 lacking. Since information on age and education are available in our clinical dataset, they have 332 been added to the input variables of the classifiers, as "demographic features". 333

Tables from 3 to 8 outline the complete list and the description of the features considered in this study.

Table 3: Acoustic Features

Feature	Description	References
Silence segments du- ration	Silence segments of the signal identified using a voice activity detector (VAD). Mean (SPE_SILMEAN), median (SPE_SILMEDIAN) and Std. Deviation (SPE_SILSD) were taken into account.	Satt et al. (2013)
Speech segments du- ration	Speech segments of a signal identified using a voice ac- tivity detector (VAD). Mean (SPE_SPEMEAN), median (SPE_SPEMEDIAN) and Std. Deviation (SPE_SPESD) were taken into account.	Satt et al. (2013)

Temporal regularity of voiced segments	The measure captures the temporal structure of the voiced seg- ments, providing information on the rate of change in the dif- ferent spectrum bands. To calculate the temporal regularity of voiced segment durations, we used the sequence of the dura- tion values, and calculated the real cepstrum of the sequence (SPE_TRVSD).	Satt et al. (2013)
Verbal Rate	The number of words in the sample divided by the Total Locu- tion Time (i.e. speech time including pauses) (SPE_VR). #words/TLT	Singh et al. (2001); Roark et al. (2011)
Transformed Phona- tion Rate	The arcsine of the square root of the Phonation Rate. $arcsin \sqrt{PR}$ Where PR is the phonation rate PR = TPT/TLT TPT: total phonation time (i.e. speech time without pauses) TLT: total locution time (i.e. speech time including pauses). The arcsin transformation (or angular transformation) provides a normally distributed measure within each participant group (SPE_TPR).	Singh et al. (2001); Roark et al. (2011)
Standardized Phona- tion Time	The number of words in the sample divided by the total phona- tion time (i.e. speech time excluding pauses) (SPE_SPT). #words/TPT	Singh et al. (2001); Roark et al. (2011)
Standardized Pause Rate	The number of words in the sample divided by pauses (SPE_SPR). #words/#pauses	Singh et al. (2001); Roark et al. (2011)
Root Mean Square energy	Physically, energy is a measure of how much signal exists at any one time, and it is used in continuous speech to de- tect voiced sounds, which have higher intrinsic energy than unvoiced segments. The energy of a signal is typically cal- culated by windowing the signal at a particular time, squar- ing the samples and taking the average. The square root of this result is the engineering quantity known as the root-mean square (RMS) value. Mean (SPE_RMSEM) and Std. Devia- tion (SPE_RMSESD) of the measures were taken into account.	López-de-Ipiña et al. (2013)
Pitch	Pitch is the main acoustic correlate of tone and intonation, and the perceptual correlate of frequency; as a matter of fact, it depends on the number of vibrations per second produced by the vocal cords. Mean (SPE_PITCHM) and Std. Deviation (SPE_PITCHSD) were taken into account.	López-de-Ipiña et al. (2013)
Spectral Centroid	The measure captures the perceptual brightness of a sound. It is obtained by evaluating the centre of gravity of the spec- trum using the Fourier transforms frequency and magnitude information. Mean (SPE_SPCENTRM) and Std. Deviation (SPE_SPCENTRSD) were taken into account.	López-de-Ipiña et al. (2013)

Higuchi Fractal Di- mension	The feature describes the "complexity" of the signal. The algorithm measures fractal dimension (i.e. self-similarity, namely identical/similar structures repeating over a pattern) of discrete time sequences directly from time series. Mean (SPE_HFractDM) and Std. Deviation (SPE_HFractDSD) were taken into account.	López-de-Ipiña et al. (2013)
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Table 4: Demographic features

Feature	Description	References
Age	Subject's age (NPT_AGE).	-
Scholarity	Subject's number of years at school (NPT_SCHOOL).	-

Table 5: Readability Features

Feature	Description	References
Text readability	It is a set of four readability features as computed by the READ-IT readability assessment tool: it computes a lexical- based index of readability (REA_BASE), a morpho-syntactic readability index (REA_MOSYN), a syntactic readability in- dex (REA_SYNTAX) and a combination of the previous ones (REA_ALL).	Dell'Orletta et al. (2011)

Table 6: Rhytmic Features

Feature	Description	References
Percentage of vocalic intervals	The proportion of vocalic intervals within the utterance, that is, the sum of vocalic intervals divided by the total duration of the utterance ($RHY_{\%}V$).	Ramus et al. (1999)
Std. deviation of vo- calic and consonantal interval durations	The standard deviation of the duration of vocalic and consonantal intervals within each utterance, noted as ΔV (RHY_DeltaV) and ΔC (RHY_DeltaC).	Ramus et al. (1999)

Pairwise Variabil- ity Index, raw and normalized	This rhythm metric takes into account the temporal succession of the vocalic and consonantal intervals instead of joining all the values and calculating the standard deviation. It is based on a pairwise comparison of the durations of either two vocalic (RHY_VnPVI) or consonantal (RHY_CrPVI) intervals, there- fore expressing the level of variability in consecutive measure- ments. Raw Pairwise Variability Index (rPVI): $rPVI = \left[\sum_{k=1}^{m-1} d_k - d_{k+1} / (m-1)\right]$	Grabe and Low (2002)	
	text and d is the duration of the interval. Normalised Pairwise Variability Index (nPVI):		
	$nPVI = 100 \cdot \left[\sum_{k=1}^{m-1} \left \frac{d_k - d_{k+1}}{(d_k - d_{k+1})/2} \right / (m-1) \right]$		
Variation coefficient for ΔV and ΔC .	A variation coefficient (<i>varco</i>) is a value describing relative variation. <i>Varco</i> ΔC (RHY_VarcoC) is calculated as the per- centage of the ΔC of the average duration of intervals (<i>meanC</i>); analogously, <i>Varco</i> ΔV (RHY_VarcoV) is calculated as the per- centage of the ΔV of the average duration of intervals (<i>meanV</i>).	Delwo (2006)	
	$Varco\Delta C = \Delta C \cdot 100/meanC$		
	$Varco\Delta V = \Delta V \cdot 100/meanV$		

Table 7: Lexical Features

Feature	Description	References
Content Density	The ratio of open-class words to closed-class words. The mea- sure is calculated over Part of Speech tags, where open-class words are nouns, verbs, adjectives, adverbs; the rest are con- sidered closed-class words (LEX_ContDens). <i>ContentDensity = OCW/CCW</i>	Roark et al. (2011)
Part-of-Speech rate	This class of features investigates the average rate of occur- rence for each part-of-speech (PoS) category: Adjectives, Ad- verbs, Articles, Conjunctions, Interjections, Nouns, Numerals, Prepositions, Pronouns, Verbs (LEX_PoS_*). <i>e.g.</i> : #Adjectives/#words	Holmes and Singh (1996); Bucks et al. (2000)
Reference Rate to Re- ality	The ratio of the total number of nouns to the total number of verbs (LEX_RefRReal). RefRReal = #Nouns/#Verbs	(Vigorelli, 2004)

Personal, Spatial and Temporal Deixis rate	The feature probes the rate of deictic expressions in the spoken text (i.e. linguistic elements that point to the time, place, or situation in which a speaker is speaking; in other words, their denotational meaning varies depending on extralinguistic context). The main types of deixis are: (a) Person deixis (e.g. I, you, we, me, mine, yours) (LEX_PDEIXIS); (b) Place deixis (e.g. here, there, this, that) (LEX_SDEIXIS); (c) Time deixis (e.g. now, today, tomorrow, soon) (LEX_TDEIXIS). <i>e.g.</i> : #PersonDeixis/#words	March et al. (2006); Cantos-Gòmez (2009)
Relative pronouns and negative adverbs rate	The rate of Relative Pronouns (e.g. who, whose) (LEX_RPRO) and Negative Adverbs (e.g. not, neither) (LEX_NEGADV) in the spoken text.	
Lexical Richness: Type-Token Ratio, W - Brunets Index and R - Honors Statistic	This class of measures quantifies the richness of vocabu- lary/lexical diversity. <i>TTR, Type-Tokes Ratio</i> : the ratio of the number of different words (vocabulary - V) to the total text length. TTR is de- pendent on the text size: it is bigger when texts are small and decreases as the texts get larger (LEX_TTR). <i>W, Brunets Index</i> : it quantifies lexical richness without being sensitive to text length. It is calculated according to the follow- ing equation: $W =_N V^{(165)}$ where N is the total text length and V is the total vocabulary used by the participant. This measure generally varies be- tween 10 and 20. The lower the value, the richer the speech (LEX_BrunetW). <i>R, Honoré's Statistic</i> : calculates lexical richness by highlight- ing the proportion of words that are used only once with ref- erence to the total number of words in the text: the larger the number of words used by a speaker that occur only once (hapax legomena), the richer the lexicon. $\frac{R = 100 logN}{(1 - V1/V)}$ where V1 is the words spoken only once, V is the total vocab- ulary used and N is the total text length. High value of R sug- gests a rich vocabulary used by the speaker (LEX_HonoreR).	Holmes and Singh (1996); Brunet (1978); Honoré (1979)
Action Verbs rate	The metric probes the rate of action verbs (i.e. verbs referring to physical action, like to put, to run, to eat) in the spoken text (LEX_ACTVRB).	Gagliardi (2014)
Frequency-of-use tag- ging	Mean frequency-of-use weight among words extracted from the De Mauro's frequency list (LEX_DM_F).	De Mauro (2000)
Propositional Idea Density	Idea density is the number of expressed propositions (i.e. dis- tinct facts or notions contained in a text) divided by the num- ber of words. It is a measure of the extent to which the speaker is making assertions (or asking questions) rather than just re- ferring to entities. In this feature, propositions correspond to verbs, adjectives, adverbs, prepositions, and conjunctions. Nouns are not considered to be propositions, as the main verb and all its arguments count as one proposition (LEX_IDEAD).	Snowdon et al. (1996); Roark et al. (2011)
Mean Number of words in utterances	Mean number of words in the speech utterances (LEX_NW).	

Table 8: Syntactic Features

Feature	Description	References
Number of dependent elements linked to the noun	The feature explores Noun Phrase complexity, counting the number of dependent elements linked to the head (e.g. Adjectives, Relative clauses). Mean (SYN_NPLENM) and Std. Deviation (SYN_NPLENSD) were taken into account.	
Global Dependency Distance	Given the memory overhead of long distance dependen- cies, the feature quantifies the difficulty in syntactic pro- cessing. Mean (SYN_GRAPHDISTM) and Std. Deviation (SYN_GRAPHDISTSD) were taken into account.	Roark et al. (2007, 2011)
Syntactic complexity	Syntactic complexity is established by counting the linguis- tic tokens that can be considered to telltale signs of increased grammatical subordinateness and embeddedness, such as: sub- ordinating conjunctions (e.g. because, since, as, when, that, etc.), WH-pronouns (e.g. who, whose, whom, which), verb forms, both finite and non-finite, noun phrases. Because subordinators and WH-pronouns are the most straightforward indicators of increased embedded- ness (and thus of high complexity), these features are weighted more heavily than verbal forms and noun phrases (SYN_ISynCompl).	Szmrecsányi (2004)
	$\frac{(2^{2}\cos \beta + 2^{2} \operatorname{pron} + \operatorname{hours} + \operatorname{veros})}{\#\operatorname{word}}$	
Syntactic embedded- ness: maximum depth of the structure	Syntactic complexity is also assessed by evaluating the embeddedness, i.e. the maximum depth of the structure. Mean (SYN_MAXDEPTHM) and Std. Deviation (SYN_MAXDEPTHSD) were taken into account.	
Utterance length	Mean Length of utterance corresponds to the average num- ber of words for utterance. It is calculated by counting the number of words in each utterance divided by the total num- ber of utterances. Mean (SYN_SLENM) and Std. Deviation (SYN_SLENSD) were taken into account.	

336 2.4. Feature extraction and data processing

With regard to the parameters derived from the speech acoustics, we used the SSVAD v1.0 337 Voice Activity Detector proposed by (Yu and Mak, 2011)⁶, especially developed for interview 338 speech, to segment the recordings and identify speech vs non-speech regions. Those segmenta-339 tions were fundamental for computing some acoustic features like silence and speech segments 340 duration. We relied also on a forced alignment system we developed by using the Kaldi-DNN-341 ASR package⁷ trained on the APASCI Italian Corpus⁸: the forced aligner enabled us to obtain 342 the temporally aligned phonetic transcriptions needed to compute the rhythmic features listed in 343 Table 6. 344

All input features have been z-score normalised: for any feature X we computed its mean (μ_X) and standard deviation (σ_X) across the dataset and applied the formula $Z_X = X - \mu_X / \sigma_X$. This is a standard procedure for compacting the data features around zero helping the ML classifiers to

⁶http://bioinfo.eie.polyu.edu.hk/ssvad/ssvad.htm

⁷http://kaldi-asr.org

⁸http://catalogue.elra.info/en-us/repository/browse/ELRA-S0039/

achieve better performance. In a previous work (Beltrami et al., 2018) we carefully analysed the
 correlations between all the linguistic features previously described, computed on the MCC, and
 the neuropsychological test taken to define the gold standard and to produce the final subjects
 classifications.

We performed also a statistical analysis by computing the significance for each feature by 352 using the Kolmogorov-Smirnov non-parametric test. We chose such kind of hypothesis testing 353 technique, compared with the t-test or the Wilcoxon-Mann-Whitney test, because of the small 354 size of our corpus. Table 9 outlines the different levels of significance for the considered linguistic 355 features. Acoustic features (SPE_*), directly derived from the recordings, play a central role in 356 distinguishing the two classes of subjects (CG vs PG), being almost all highly significant. With 357 regard to lexical (LEX_*) and syntactic (SYN_*) features, some of them are very significant and 358 thus interesting, while the other families of indexes (rhythmic - RHY_*, readability - REA_* 359 and demographic - NPT_*) seem not so relevant, or only slightly relevant, for supporting the 360 classification process. 361

Table 9: Linguistic features considered in this study (see Tables 3-8 for descriptions and abbreviations): means and standard deviations of their normalised values, distinguishing between controls and MCI subjects, and statistical significance at the Komolgorov-Smirnov (KS) test (*: 0.01<p-value<0.05, **: 0.001<p-value<0.01, ***: p-value<0.001).

Feature Type	Feature	MCI (PG)		Controls (CG)		KS	
		μ	σ	μ	σ	p-value	Signif.
Acoustic	SPE_SILMEAN	0.34	1.20	-0.25	0.73	< 0.001	***
	SPE_SILMEDIAN	0.26	1.02	-0.19	0.95	< 0.001	***
	SPE_SILSD	0.26	1.00	-0.19	0.96	< 0.001	***
	SPE_SPEMEAN	-0.49	0.68	0.36	1.05	< 0.001	***
	SPE_SPEMEDIAN	-0.41	0.70	0.30	1.08	< 0.001	***
	SPE_SPESD	-0.44	0.55	0.32	1.12	< 0.001	***
	SPE_TRVSD	0.01	0.96	-0.00	1.03	0.666	
	SPE_VR	-0.20	1.16	0.14	0.84	0.005	**
	SPE_TPR	-0.50	1.07	0.36	0.77	< 0.001	***
	SPE_SPT	0.35	1.38	-0.25	0.45	< 0.001	***
	SPE_SPR	-0.41	0.72	0.30	1.07	< 0.001	***
	SPE_RMSEM	-0.24	0.96	0.18	1.00	0.015	*
	SPE_RMSESD	0.05	1.06	-0.04	0.96	0.428	
	SPE_PITCHM	0.16	1.00	-0.11	0.99	0.137	
	SPE_PITCHSD	0.13	1.06	-0.10	0.94	0.407	
	SPE_SPCENTRM	0.36	1.21	-0.26	0.71	< 0.001	***
	SPE_SPCENTRSD	0.11	1.19	-0.08	0.83	0.232	
	SPE_HFractDM	0.40	0.95	-0.29	0.93	< 0.001	***
	SPE_HFractDSD	-0.23	1.05	0.17	0.93	< 0.001	***
Demographic	NPT_AGE	0.19	1.02	-0.14	0.96	0.015	*
	NPT_SCHOOL	-0.24	1.03	0.17	0.94	0.036	*
Readability	REA_BASE	-0.29	1.25	0.21	0.71	0.017	*
	REA_MOSYN	-0.17	1.03	0.12	0.96	0.013	*
	REA_SYNTAX	-0.20	1.10	0.15	0.90	0.074	
	REA_ALL	-0.23	1.14	0.17	0.85	0.066	
Rhytmic	RHY_%V	-0.03	1.11	0.02	0.91	0.470	
	RHY_DeltaV	-0.15	1.06	0.11	0.94	0.133	
	RHY_DeltaC	-0.14	1.06	0.10	0.95	0.137	
	RHY_VnPVI	-0.14	1.22	0.10	0.79	0.091	
	RHY_CrPVI	-0.12	1.08	0.09	0.94	0.105	
	RHY_VarcoV	-0.14	1.08	0.10	0.93	0.407	

	RHY_VarcoC	-0.15	1.11	0.11	0.90	0.120	
Lexical	LEX_ContDens	-0.17	1.09	0.12	0.91	0.003	*
	LEX_PoS_ADJ	-0.30	1.01	0.22	0.93	0.002	*
	LEX_PoS_ADV	-0.03	1.07	0.02	0.95	0.825	
	LEX_PoS_ART	0.04	1.04	-0.03	0.97	0.835	
	LEX_PoS_CONJ	-0.20	1.01	0.14	0.97	0.116	
	LEX_PoS_NOUN	-0.05	1.09	0.03	0.93	0.407	
	LEX_PoS_NUM	-0.00	1.01	0.00	1.00	0.989	
	LEX_PoS_PHRAS	0.19	1.42	-0.14	0.48	0.428	
	LEX_PoS_PREDET	0.04	1.25	-0.03	0.77	0.907	
	LEX_PoS_PREP	-0.14	1.03	0.10	0.97	0.124	
	LEX_PoS_PRON	0.11	1.08	-0.08	0.93	0.378	
	LEX_PoS_VERB	0.07	1.15	-0.05	0.88	0.082	
	LEX_RefRReal	-0.01	1.07	0.01	0.95	0.232	
	LEX_PDEIXIS	0.07	1.14	-0.05	0.88	0.407	
	LEX_SDEIXIS	-0.07	0.94	0.05	1.04	0.771	
	LEX_TDEIXIS	0.09	1.15	-0.06	0.88	0.525	
	LEX_RPRO	0.04	1.17	-0.03	0.86	0.736	
	LEX_NEGADV	0.15	1.19	-0.11	0.82	0.267	
	LEX_TTR	0.21	1.05	-0.15	0.93	0.040	*
	LEX_BrunetW	-0.27	1.09	0.20	0.88	0.028	*
	LEX_HonoreR	-0.00	1.13	0.00	0.90	0.536	
	LEX_ACTVRB	0.08	1.07	-0.06	0.94	0.307	
	LEX_DM_F	-0.08	1.08	0.06	0.94	0.491	
	LEX_IDEAD	-0.16	1.07	0.12	0.93	0.137	
	LEX_NW	-0.18	0.92	0.13	1.04	0.003	**
Syntactic	SYN_NPLENM	-0.15	1.00	0.11	0.99	0.417	
	SYN_NPLENSD	-0.14	1.10	0.10	0.91	0.267	
	SYN_GRAPHDISTM	-0.34	0.99	0.25	0.94	< 0.001	***
	SYN_GRAPHDISTSD	0.04	1.07	-0.03	0.95	0.882	
	SYN_ISynCompl	-0.01	1.21	0.01	0.82	0.315	
	SYN_MAXDEPTHM	-0.26	0.79	0.19	1.09	0.002	**
	SYN_MAXDEPTHSD	-0.06	1.06	0.05	0.96	0.049	*
	SYN_SLENM	-0.31	0.83	0.23	1.06	< 0.001	***
	SYN_SLENSD	-0.15	1.09	0.11	0.91	< 0.001	***

Before describing the classification experiments and the obtained results we have to briefly discuss an important point. Most of the works in the relevant literature tend to study a group of linguistic features from a statistical point of view, and then build the automatic classifier by only using the significant feature or reducing the number of considered features by applying feature selection/dimension reduction techniques (such as Minimum Redundancy Maximum Relevance). This is usually done on the same dataset used for training the classifier introducing, in our opinion, a bias on classifiers' evaluation.

In this work we did not apply any feature selection method and did not select the feature 369 looking at their statistical significance (we made only some experiments reported in Section 3 370 for comparison purpose). We built our classifiers by using the whole set of listed features and let 371 the automatic classifiers the task of identifying which complex combination of features achieve 372 the best results. In this way we were certain not to introduce any kind of bias into the evaluation 373 procedure, and thus to be able to trust the system's performance as a good approximation of its 374 generalisation abilities on new unseen data. In our opinion, given the relatively small size of the 375 dataset, this is the safest way to design experiments not affected by any bias. 376

377 2.5. Automatic Classifiers

As stated in previous sections, the long-term goal of this project is the construction of an automatic system able to help the practitioner in screening the linguistic status all her/his patients in order to identify early signs of cognitive frailty and, in particular, MCI states. Designing proper subjects classifiers based on their speech productions in solving very simple and specific tasks could be a viable solution.

As a pilot study we followed this idea experimenting around the construction of such a classifier by using all the considered linguistic features as the input for some Machine Learning (ML) technique and training the system accordingly.

In the deep learning era the use of Deep Neural Networks (DNN) seems an obvious choice 386 when building any kind of automatic classifier, but this is not the case: DNNs classifier training 387 requires a large amount of annotated data that is not available, in general, when working with 388 this kind of studies and certainly not available in our study. When data is scarce, other techniques 389 proved to be equally useful allowing for the construction of reliable classifiers even if trained with 390 small amounts of data. We experimented with two different supervised models implemented in 391 the scikit-learn⁹ python package: C-Support Vector Classifiers (SVC) (Cortes and Vapnik, 392 1995) and Random Forest Classifiers (RFC) (Tin Kam Ho, 1998). For a detailed description of 393 these common ML techniques see also Flach (2012). 394

SVCs are machine learning techniques that, given a set of training examples each marked as belonging to one or the other of two categories, builds a model that assigns examples to one category or the other dividing the categories by a gap that is as wide as possible. New examples are then mapped into that same space and predicted to belong to a category based on the side of the gap on which they fall. In addition to performing linear classification, SVCs can efficiently perform a non-linear classification using what is called the "kernel trick", implicitly mapping their inputs into high-dimensional feature spaces.

402 RFCs is an ensemble learning method for classification that operates by constructing a set
 403 of decision trees at training time and outputting the class that is the mode of the classes of the
 404 individual trees, correcting the decision trees' habit of overfitting to their training set.

For our experiments we employed a Nested Leave One-Speaker-Out Cross Validation (NLOSOCV) (Krstajic et al., 2014) both for model selection, by optimising the hyperparameters over the validation set, and for model assessment evaluating the system on the test set. Given the limited size of the dataset we chose the "leave one-speaker out" solution to maximise the amount of data in the training set averaging the results obtained from the different folds.

The performance achieved by the system will be evaluated in terms of macro-averaged F1score. Given the complexity of the whole evaluation procedure and the influence of the starting random point for each training/validation/test procedure on the evaluation results, we ran 10 different NLOSOCV procedures for any result computing mean and standard deviation of the F1-scores over the 10 runs.

With regard to the systems developed using C-Support Vector Classifiers we used RBF Kernels optimising the model parameters with a grid search, directly embedded into the NLOSOCV procedure, in the intervals $\gamma \in [0.0005, 1.0]$ and $C \in [0.0001, 100]$ where γ is the parameter of the RBF kernel and *C* is the SVC penalty parameter of the regularisation term. Random Forest Classifiers need only to determine a single parameter n_{trees} representing the number of trees in the forest; we optimised this parameter in the interval $n_{trees} \in [5, 100]$ during the NLOSOCV procedure.

⁹https://scikit-learn.org

422 **3. Results and discussion**

Table 10 outlines the results obtained by the different classifiers on the two corpora, MCC and AAC, expressed as macro-averaged F1-score, for all tasks together (first column) and for any single task.

Table 10: Means (μ) and standard deviations (σ) of the automatic classifiers results (macroaveraged F1-score) over 10 runs. In boldface the best result for each method/corpus/task combination.

Method (Corpus)	All Tasks	Task FIGURE	Task WORK	Task DREAM	
	μ σ	$\mu \sigma$	$\mid \mu \mid \sigma$	μ σ	
RFC (AAC)	0.6887 0.0194	0.6487 0.0144	0.6842 0.0213	0.6831 0.0249	
SVC (AAC)	0.7045 0.0185	0.5952 0.0380	0.6417 0.0302	0.6269 0.0197	
RFC (MCC)	0.7030 0.0191	0.6628 0.0489	0.6828 0.0310	0.7146 0.0365	
SVC (MCC)	0.7445 0.0164	0.6187 0.0322	0.6856 0.0196	0.6706 0.0326	

The first observation we can make by looking at the results regards the good performance of the classifiers when trained on manually checked data (MCC) and all tasks. F1-scores well above 74% seems very encouraging and certainly far from a random classification (50%). The performance on single tasks drops considerably. In our opinion, there are two possible explanations for this fact:

 this is a clear indication of data scarcity when trying to devise automatic classifiers trained and evaluated using a limited amount of linguistic examples. Each subject produced a single recording for each linguistic task, thus the classifier for any single task is trained by using one-third of the data available for training the classifier working on all tasks. This is a typical behaviour for ML techniques when trained with scarce data;

- the three tasks have been properly designed to involve different cognitive skills: in addition 436 to stimulating the semi-spontaneous verbal production of the subjects, allowing the sub-437 sequent linguistic analysis, they allow to evaluate the possible breakdown of the memory 438 functions. As a matter of fact, in all three tasks it is essential to remember what you are saying (working memory), what you have already referred to (episodic memory) or what 440 you are going to tell (prospective memory); moreover, the completion of the task requires 441 the knowledge of the lexemes used, including semantic and lexical information (semantic 442 memory), and the ability to recall, upon request, personal memories referring to a more 443 or less remote past (autobiographical, recent and remote episodic memory). Obviously 444 all the tasks involve all the memory systems, however the involvement has different "per-445 centages". For example, FIGURE impacts a great deal on semantic memory, but does not 446 involve autobiographical episodic memory.
- ⁴⁴⁸ If the different tasks provide different contributions to describe the subject's state, then it ⁴⁴⁹ does not sound so strange that the classifier using the complete "picture" working on all ⁴⁵⁰ data is able to identify the different subjects with better performance.

For single tasks, RFCs exhibit the best performance in all combinations except one, suggesting that this ML technique is less influenced by data scarcity with respect to SVCs. On the contrary, SVCs are consistently better when applied to the whole datasets including all tasks. It seems relevant to note the small difference in performance exhibited by the fully automatic procedure for deriving all the features in an automatic way (70.5%) with respect to the classifier results when trained on manually checked data (74.5%). Considering the goal of the project discussed in the previous sections, this seems the most promising result, suggesting that we can automatically extract all the features needed to classify a new subject in a reliable way from raw interview recordings.

In spite of the growing body of research on the topic, a good practice for reporting research 460 has not yet been established for this specific task. As already noticed by Gosztolya et al. (2019) 461 the choice of evaluation metric is not a clear-cut issue for this task: thus, a strict comparison 462 among systems is not easy to draw. Moreover, previous works have already exploited different 463 machine learning techniques and train-test configurations, and experiments have been conducted 464 on a limited number of languages (i.e. mainly English, Swedish, Spanish, French, Hungarian), 465 making it difficult to contrast different cohorts. Results have been reported variously in the exist-466 ing literature. ROC (Receiver Operating Characteristics) curve is quite common among clinicians 467 as a performance measurement for classification problem at various thresholds settings; it plots 468 sensitivity versus specificity across a range of values for the power to predict a dichotomous out-469 come. Some relevant paper in the field reports the Area Under the Curve (AUC) and the Equal 470 Error Rate (EER), which are two metrics derived from the ROC: they correspond, respectively, to 471 the area subtended by the curve and the point where the false positive rate and the false negative 472 rate are equal, namely the intersection of the ROC curve with the straight line of 45 degrees. 473

Some classical Information Retrieval metrics are also widespread in the literature: "accuracy" (i.e. the number of correct predicted samples over the total number of samples), "precision" (i.e. the fraction of relevant samples among the retrieved samples) and "recall" (i.e. the fraction of the total amount of relevant samples actually retrieved). These last two scores are usually aggregated in the "F-measure" (or "F1-score"), which corresponds to their harmonic mean. However, accuracy alone is often reported; this can be misleading, especially in the presence of class-imbalance.

Given this unfolding scenario, in order to discuss our results, we conduct a brief review of
related works exploiting linguistic biomarker for MCI automatic detection in various languages.
Published studies that included the following have been considered for eligibility: i) employment
of machine learning classifier ii) confronting healthy subject and MCI patients for screening purpose iii) published in English iv) no restrictions on the language spoken by the enrolled subjects.
Instead, studies have been excluded if: i) cohorts included early or frankly dementia patients ii)
results have been reported as AUC, EER and accuracy alone.

Reference	Language	ML Method	Best results
Vincze et al. (2016)	Hungarian	SVC	F1 = 68.9%
Asgari et al. (2017)	English	SVC	Acc = 0.76; Sens = 0.53 Spec = 0.88; F1=71.7%*
Tóth et al. (2018)	Hungarian	RFC (Automatic) SVC (Manual)	F1 = 76.0% F1 = 75.0%
Themistocleous et al. (2018)	Swedish	DNN	F1 = 65.8%*
Gosztolya et al. (2019)	Hungarian	SVC	F1 = 78.3%

Table 11: Previous works for MCI detection directly comparable to this study. F1 values marked with a * have been computed by us on the basis of the other values provided by the authors in their paper.

Fraser et al. (2019a)	Swedish	SVC	Acc = 0.69; Sens = 0.54 Spec = 0.84; F1=68.3%*
Fraser et al. (2019b)	English	SVC	Acc = 0.63; Sens = 0.53 Spec = 0.74; F1=62.8%*
	Swedish		Acc = 0.72; Sens = 0.77 Spec = 0.67; F1=71.9%*
This study	Italian	SVC (Automatic) SVC (Manual)	F1 = 70.5% F1 = 74.5%

Table 11 summarises the selected papers: we inserted only the best result, when building 488 489 a classifier for distinguishing controls from MCI subjects, and the associated ML system for brevity. Most of the cited works in Table 11 performed a lot of experiments with different set-490 tings: in particular, the very best results claimed by the respective authors were based on systems 491 trained by using only the significant (or the N-most significant) features. As discussed before, in 492 our opinion this is not the most suitable way to evaluate system performance, because this pro-493 cedure introduces a bias, artificially inflating the final results. As a matter of fact, the selection 494 of the statistically significant features has been done by examining the entire dataset of subjects' 495 productions, considering also the data that has been used in the subsequent system test phase. 496 Even if we did the statistical analysis of the linguistic features we considered in this study, all the 497 experiments presented here used the whole set of available features, avoiding the introduction 498 of any bias in the evaluation phase. For this reason in Table 11 we report only the best results 499 obtained in the other studies without any feature selection, allowing for a fair comparison with 500 our setting. 501

Making specific reference to the cited studies, from Gosztolya et al. (2019) we extracted the 502 results on 2 classes without feature selection; in Fraser et al. (2019a) and Fraser et al. (2019b) 503 we took into consideration the results on two-class discrimination exploiting linguistic features 504 alone and not considering non-ecological settings like eye tracking or the reading aloud task; the 505 study from Tóth et al. (2018) follows similar procedure as the one we are presenting, but, even if 506 it sounds very surprising that the fully automatic system is able to achieve better results then the 507 algorithm trained on manually checked data, it is very interesting seeing such good performance 508 for a completely automatic procedure. With regard to the work of Themistocleous et al. (2018) 509 we averaged the F1-score reported in the paper for each fold to obtain a single value. 510

Given these premises and considerations, we can say that our results on Italian are in line, or better, with the state-of-the-art for other languages presenting, on average, a F1-score around 75%. In order to compare our results with the works from Fraser et al. (2019a), Fraser et al. (2019b) and Asgari et al. (2017) we computed the macro-averaged F1 score from the data on their papers (accuracy, sensitivity and specificity) thus we can safely compare our F1-score with their results.

As a general observation, it is interesting to note that none of the most recent studies in this field makes use of DNN, confirming our observation that for small datasets it is better to use traditional ML techniques. The only exception making use of DNN, the paper from Themistocleous et al. (2018), did not produce results near the state-of-the-art reinforcing our choice not to use neural networks for this kind of task.

In order to disentangle the real contribution of the different feature families, we tested a set of classifier by using a single group of features. We made these experiments by using SVCs, the technique exhibiting the best general results in the experiments discussed before. Table 12 shows the results for any group of features considered in this study as well as the results obtained using

only the significant features from Table 9. Acoustic and Syntactic features confirm their good 526 ability to distinguish MCI subjects from controls, as already evidenced by statistical significance, 527 but it is interesting to note that, despite the fact that no rhythmic feature resulted significant, all 528 of them, when taken together, are able to bring some contribution to the classification process. 529 Actually, 5 over 7 rhythmic features are not so far from significance threshold. By looking at 530 the results provided by the classifiers based only on the significant features, well below from the 531 best ones obtained using all features, we can observe that, even if the contribution of significant 532 features is certainly relevant to sustain classifier performance, also non significant features are 533 able to bring useful contributions to the system improving the performance by various points. 534 In our opinion, this could be another argument in favour of not to build classifiers using only 535 significant features and, instead, use the full set of available features, avoiding any kind of bias 536 in the experiments and taking advantage of the contributions, even partial, of less significant, or 537 non significant but in any case relevant, features. 538

Corpus	Feature set	All Tasks		
		μ	σ	
AAC	Acoustic	0.5972	0.0366	
	Demographic	0.3888	0.0239	
	Readability	0.3577	0.0273	
	Rhythmic	0.5228	0.0355	
	Lexical	0.4960	0.0628	
	Syntactic	0.6014	0.0319	
	ALL	0.7045	0.0185	
AAC	Significant	0.6662	0.0391	
MCC	Acoustic	0.5847	0.0392	
	Demographic	0.3888	0.0239	
	Readability	0.4968	0.0456	
	Rhythmic	0.5713	0.0555	
	Lexical	0.4570	0.0437	
	Syntactic	0.5990	0.0607	
	ALL	0.7445	0.0164	
MCC	Significant	0.7126	0.0150	

Table 12: Means (μ) and standard deviations (σ) of the automatic classifiers results (macroaveraged F1-score) over 10 runs for the different feature families considering the SVC technique.

As a final comment, it should be pointed out that a complete language-specific profiling of 539 pathological verbal productions by means of computational techniques, despite its time-consuming 540 nature, is an essential preliminary step for the implementation of a valid, reliable dementia 541 screening instrument. From a linguistic point of view, typological differences (e.g. at the acous-542 tical, morphological, syntactic and lexical level) might strongly limit the extension of the results, 543 hindering the spread of similar tools in different geographical areas. Most of the studies focused 544 on English, just as it is supposed to be. Therefore, the relevance of a wide range of variants 545 should be tested from time to time, especially on less-described languages. In this respect, we 546 hope that the number of studies on this topic will continue to grow. 547

548 4. Conclusion

This study presented a novel system for the detection of Mild Cognitive Impairment conditions in Italian, by examining subjects' productions during three spontaneous speech tasks.

We created a complex set of algorithms for the automatic extraction of several linguistic 551 features, from the acoustic, rhythmic, lexical, syntactic and readability domains; then we build 552 some ML classifiers, with the aim of discriminating healthy controls from MCI subjects. This 553 system was able to perform the task exhibiting a macro-averaged F1-score around 75%, the 554 state-of-the-art performance for more studied languages; this is a very encouraging result for our 555 project. Examining the results obtained in this pilot study, we can reliably claim that the former 556 dream of building tools helping for a massive screening of cognitive impairments directly by 557 practitioners can be a true reality in the next few years. 558

As far as we know, this is the first study on Italian language examining a large set of linguistic features for building automatic classifiers identifying mild cognitive impairments from healthy controls.

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