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# Aggregation induced phosphorescence of metal complexes: From principles to applications

Luca Ravotto, Paola Ceroni \*

Department of Chemistry "Giacomo Ciamician" and Interuniversity Center for the Chemical Conversion of Solar Energy (SolarChem), University of Bologna, Via Selmi 2, 40126 Bologna, Italy

## A B S T R A C T

Metal complexes are the prototypes of phosphorescent materials, widely used in a range of optoelectronic and sensing applications. This review reports the most recent and tutorial results in the area of aggregation induced phosphorescence (AIP) of metal complexes, i.e. molecules that are weakly or non-phosphorescent in deaerated fluid solution and whose room temperature phosphorescence is switched on upon aggregation. The examples are divided into two main classes according to the AIP mechanism: (i) rigidification that causes a restriction of intramolecular motions as well as of structural distortion of the phosphorescent excited state and (ii) metallophilic interaction that brings about new electronic transitions compared to the isolated chromophores. The last section is devoted to a special class of molecules and supramolecular systems, in which metal complexation turns on phosphorescence of nearby organic chromophores, so that the metal complex is not directly involved in the phosphorescence process.

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## 1. Introduction

Phosphorescence, the spin-forbidden radiative decay of an electronically excited state, has been known for a long time. In 1941

Lewis and his coworkers first suggested that the long-lived phosphorescence of fluorescein in rigid media derives from the lowest triplet state [1]. The first unequivocal demonstration of the fact that phosphorescence originates from a triplet state was made by Lewis and Calvin in 1945 [2]: they demonstrated that the phosphorescent state of fluorescein in a boric acid glass at room temperature is paramagnetic.

\* Corresponding author.

E-mail address: [paola.ceroni@unibo.it](mailto:paola.ceroni@unibo.it) (P. Ceroni).

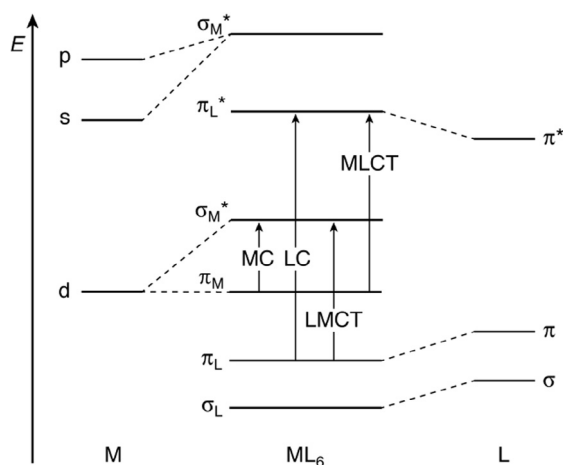
Although the first studies were devoted to organic molecules, phosphorescence is usually regarded as a property of metal complexes because the presence of a heavy metal atom increases the spin-orbit coupling and favors spin-forbidden radiative and non-radiative decays of electronically excited states. Indeed, phosphorescent metal complexes are widely used in technological applications, like organic light-emitting devices (OLED) [3], light-emitting electrochemical cells (LEC) [4], and luminescent sensors [5] of pressure and dioxygen, for example.

Recently, it has emerged a great interest on metal complexes that are weakly or non-phosphorescent in deaerated fluid solution and whose room temperature phosphorescence is switched on either by rigidification of the matrix (e.g. crystallization) or by aggregation and electronic interactions of the molecules among themselves. Some authors distinguish between “aggregation induced phosphorescence” (AIP), referred to a compound that is non-emissive as isolated molecule in solution and emissive in the aggregated form, and “aggregation induced phosphorescence enhancement” (AIPE), for a compound that is weakly emissive as monomer and more emissive as an aggregate. Since the underlying phenomenon is identical in the two cases and the distinction between a non-emissive and a weakly emissive compound is somehow arbitrary, we will describe examples of both classes and we will use the AIP acronym in this review. Many examples of this phenomenon has been studied in the past, for example in the case of metallophilic interactions in Au(I) or Pt(II) metal complexes, but they were not named as aggregation induced phosphorescent molecules, so that it is difficult to have a complete overview of the very vast area of this research. The present review is thus devoted to provide the reader with some basic concepts of photophysics of metal complexes, to discuss the designing principles to get AIP materials and to present recent and most relevant examples from a tutorial point of view.

Before presenting examples and their potential applications, it is worthwhile recalling a few elemental principles of electronic transitions in metal complexes with particular emphasis on phosphorescence and the parameters affecting its efficiency. Interested readers can refer to several books for a deeper discussion [6–9].

## 2. Photophysics of phosphorescent metal complexes

In order to simplify the picture and consider the effect of light excitation, schematic molecular orbital diagrams such as that shown in Fig. 1 for an octahedral complex may be used. Molecular



**Fig. 1.** Schematic molecular orbital diagram for an octahedral complex of a transition metal. The arrows indicate the four types of electronic transitions (only the lowest energy transitions are represented) based on localized MO configurations.

orbitals of metal complexes are classified as centered either on the metal or on the ligand according to their predominant contributions. As a consequence, electronic transitions are defined as follows: *metal-centered* (MC) transitions when an electron is transferred between two metal-centered orbitals, e.g. from  $\pi_M$  orbitals to  $\sigma_M^*$  orbitals; *ligand-centered* (LC) transitions when the involved orbitals are ligand-centered, e.g.  $\pi_L \rightarrow \pi_L^*$ ; *ligand-to-metal charge-transfer* (LMCT) transitions e.g. of type  $\pi_L \rightarrow \pi_M^*$ ; *metal-to-ligand charge-transfer* (MLCT) transitions e.g. of type  $\pi_M \rightarrow \pi_L^*$ . Fig. 1 is just a schematic representation and represents the lowest energy electronic transitions: for example, LMCT can originate from  $\sigma_L \rightarrow \sigma_M^*$  transitions. The relative energy ordering of the resulting excited electronic configurations depends on the nature of metal and ligands. Low energy metal-centered transitions are expected for the first row transition metals, low energy ligand-to-metal charge-transfer transitions are expected when at least one of the ligands is easy to oxidize and the metal is easy to reduce, low energy metal-to-ligand charge-transfer transitions are expected when the metal is easy to oxidize and a ligand is easy to reduce, and low energy ligand centered transitions are expected for aromatic ligands with extended  $\pi$  and  $\pi^*$  orbitals.

If the ground electronic configuration is closed-shell, the ground electronic state is a singlet, usually denoted by  $S_0$ . When an electron is promoted from one of the low-energy occupied molecular orbitals to a high-energy unoccupied orbital, singlet and triplet excited states occur in pairs ( $S_1$  and  $T_1$ ,  $S_2$  and  $T_2$ , etc.). Thus, the lowest excited state is a triplet state ( $T_1$ ), i.e. a state having a different multiplicity from that of the ground state. This is always the case for organic molecules, but, for some transition metal complexes, the ground-electronic configuration contains degenerate orbitals which are not completely filled and, as a consequence of the Hund rule, the ground state has a multiplicity higher than one and intraconfigurational electronic transitions can occur (see e.g., Cr(III) complexes) [6,10].

In the present review, most of the examples will deal with metal complexes featuring a closed-shell configuration and thus the ground state is a singlet ( $S_0$ ) and the lowest excited states are singlet ( $S_1$ ) and triplet states ( $T_1$ ). The phosphorescence quantum yield ( $\Phi_{ph}$ ), i.e. the ratio between the number of emitted photons and the number of absorbed photons, is the product of the efficiency of population of  $T_1$  ( $\eta_{isc}$ ) by inter system crossing from upper lying excited states (e.g.,  $S_1$ ) and the intrinsic efficiency of phosphorescence ( $\eta_{ph}$ ), i.e. the percentage of  $T_1$  deactivating by phosphorescence:

$$\Phi_{ph} = \eta_{isc} \times \eta_{ph} \quad (1)$$

To maximize the phosphorescence quantum yield, we need to maximize  $\eta_{isc}$  and  $\eta_{ph}$ , both processes are spin-forbidden. The spin selection rules of radiative and non-radiative processes are valid to the extent to which spin and orbital function can be separated rigorously. Departures from this approximation are due to spin-orbit coupling, which mix electronic states of different multiplicity and increases as the atomic number of the atoms involved increases (for an atomic species, spin-orbit coupling is proportional to the fourth power of atomic number,  $Z^4$ ). This is the reason why most of the phosphorescent materials are based on metal complexes. The spin-orbit coupling is particularly high for metals belonging to the second and third transition series. The efficiency of the  $S_1 \rightarrow T_1$  intersystem crossing is usually close to unity and the quantum yield of fluorescence is close to zero. In addition, rate constants of both the  $T_1 \rightarrow S_0$  phosphorescence and the  $T_1 \rightarrow S_0$  intersystem crossing are much greater than in organic molecules. As an example, the different amount of spin-orbit coupling is one of the reasons why in a rigid matrix at 77 K (where bimolecular deactivation processes cannot occur) the lifetime of the lowest spin

forbidden excited state of naphthalene is longer than one second, whereas the lifetime of the lowest spin-forbidden excited state of  $[\text{Ru}(\text{bpy})_3]^{2+}$  is in the microsecond time scale.

Spin-orbit coupling is increased when the involved excited states are separated by a low energy difference (energy gap law) [11]. The energy separation between the singlet and triplet states of a given electronic configuration arises from the repulsion experienced by neighboring electrons and corresponds to twice the electron exchange integral [11,12]. When the electronic transition is MLCT or LMCT, the spatial orbital overlap is very limited, so that the singlet-triplet splitting is small. This is the reason why complexes showing low lying CT excited states display high efficiency of population of  $T_1$  excited state ( $\eta_{\text{isc}}$ ).

### 3. Mechanisms of aggregation induced phosphorescence (AIP) in metal complexes

In the present review, we will present examples in which phosphorescence quantum yield is strongly increased upon aggregation, i.e. upon going from isolated metal complexes in solution to aggregates in the solid state or in nanostructures dispersed in solution. The mechanisms of this effect can be divided into two main classes: (i) aggregation causes a rigidification of the metal complex both in the ground- and in the excited states; (ii) aggregation brings metal complexes in close proximity, thus facilitating metal-philic interactions.

The former mechanism can be explained as follows: packing chromophores in a crystalline or amorphous structure leads to a reduction of molecular motions with respect to the individual molecules in solution. The structural rigidity limits vibration (e.g., of long alkyl chains) or rotation (e.g., phenyl rings) of appended subunits and hinders structural distortion of the equilibrium geometry of the phosphorescent excited states. Both of these factors lead to a decrease of the rate of non-radiative processes, which deactivate the phosphorescent excited state and result in an increase of  $\eta_{\text{ph}}$  and thus of  $\Phi_{\text{ph}}$  (Eq. (1)).

It is worth noting that phosphorescence quantum yield in fluid solution is strongly reduced by bimolecular quenching processes. These are particularly important in the case of long-lived excited states, as in the case of phosphorescent excited states. Indeed, the kinetics of the bimolecular quenching process is described by the Stern-Volmer equation in fluid solution:

$$\tau^0/\tau = \Phi^0/\Phi = 1 + k_q\tau^0[Q] \quad (2)$$

where  $\tau^0$  and  $\tau$  (or  $\Phi^0$  and  $\Phi$ ) are the lifetimes (or emission quantum yields) of the chromophore in the absence and presence of the quencher, respectively,  $k_q$  is the quenching constant and  $[Q]$  is the molar concentration of the quencher [6]. For example, dioxygen, which is dissolved in mM concentration in air-equilibrated solutions, does not quench fluorescent excited states that are short-lived (ns timescale), but strongly quenches phosphorescent excited states. From Eq. (2), you can estimate that for a chromophore with  $\tau^0(T_1) = 10 \mu\text{s}$ ,  $[Q] = 1 \text{ mM}$  and  $k_q = 10^9 \text{ M}^{-1} \text{ s}^{-1}$ , the resulting phosphorescence quantum yield is ca. 10 times lower than  $\Phi^0$ .

The formation of aggregated structures limits the diffusion of dioxygen, thus suppressing this quenching pathway and increasing the phosphorescence quantum yield. This mechanism is common to all phosphorescent compounds, particularly for chromophores exhibiting long-lived phosphorescent excited states, and it will not be discussed in the following. To ascertain that this is not the main factor responsible for the observed AIP effect, one should compare phosphorescence quantum yield of the metal complex in deaerated fluid solution and in the aggregated state.

The mechanism based on metallophilic interactions is typical of metal complexes and it will be discussed with reference to the electronic and geometrical properties of representative metal ions.

In addition to the phenomena discussed above, phosphorescence of organic chromophores can be induced upon metal complexations: in this case, the metal complex is not directly responsible of the phosphorescence, but causes a rigidification/interaction of the organic chromophores linked to it. Two representative examples will be illustrated in the last section.

For each mechanism, we report a selection of recent and tutorial examples with a brief description of the most interesting applications. The examples of each class are divided according to the metal ion (e.g. Cu(I), Re(I), Ir(III), Pt(II), Au(I)), presented in order of increasing atomic number. A complete overview on all the metal complexes that exhibit AIP effect is out of the scope of this review: for examples, AIP metal complexes based on Rh(I) [13], Pd(II) [14], Hg(II) [15], Bi(III) [16], Os(II) [17] and Ru(II) [18] are present in the literature.

#### 3.1. Restriction of intramolecular motions and structural distortion

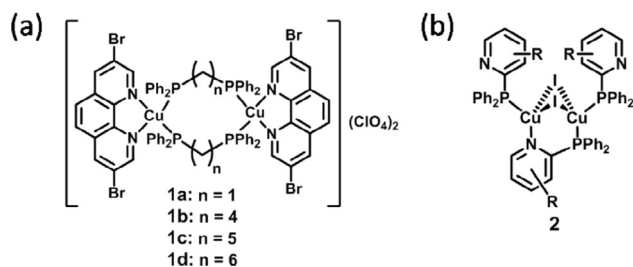
The case of AIP originated from the restriction of intramolecular motions is conceptually the simplest to understand and has been invoked as responsible for the AIP phenomenon in most of organic systems [19–21]. Some of the examples shown below report applications of these complexes for mechano- or vapochromism, i.e. the change of color of the emission upon a mechanical stress (e.g. grinding or milling) or exposure to vapors (e.g. a solvent or a gas), respectively. In both cases, a change of the solid structure is responsible for the change in emission color and the process is reversible.

##### 3.1.1. Cu(I) complexes

An intrinsic drawback of phosphorescent metal complexes is related to the high cost and low abundance of some metals, which limit their application in many fields. Indeed, the best candidates as phosphorescent materials contain rare metal ions of the second and third rows of the periodic table since spin-orbit coupling has a strong dependence on the atomic number, as previously mentioned. A notable exception is represented by Cu(I) complexes [22], which are low-cost alternatives [23–25] and can form a rich variety of multinuclear clusters.

Cu(I) is a  $d^{10}$  metal ion, so that its complexes do not exhibit low-energy MC transitions. Due to the high tendency of Cu(I) to be oxidized to Cu(II), mononuclear Cu(I) complexes are characterized by lowest-energy MLCT electronic transitions, as long as empty  $\pi$  orbitals are easily accessible in the ligands. The formal oxidation to Cu(II) in the excited state leads to a structural distortion that modifies the tetrahedral coordination towards a more flattened geometry. As a consequence, an exciplex can be formed by binding a coordinating solvent molecule as fifth ligand: the exciplex decays non-radiatively to the ground state and dissociates back to the initial state [26]. Clearly, any factor which can limit the formation of the exciplex, i.e. increase of the structural rigidity and prevention of encounter with coordinating molecules, is able to increase the luminescence quantum yield, a situation that is particularly favorable for the AIP phenomenon.

Cu(I) complexes containing 1,10-phenanthroline (phen) derivatives and bis[2-(diphenylphosphino)-phenyl]ether displays high emission quantum yields in deaerated dichloromethane solution ( $\Phi = 0.16$ ), compared to the prototype  $[\text{Cu}(\text{phen})_2]^+$  complex ( $\Phi = 10^{-3}$ – $10^{-4}$ ), from the long lived MLCT excited state ( $\tau = 16 \mu\text{s}$ ) [29]. The drawback of this family of complexes is that equilibrium between the homoleptic and the heteroleptic complexes is often observed in solution, as a result of the exceptionally high thermodynamic stability of the corresponding homoleptic



**Fig. 2.** Formula of (a) binuclear diimine-diphosphino Cu(I) complexes [27] and (b) a series of dinuclear Cu(I) complexes of general formula  $\text{Cu}_2\text{I}_2\text{L}_3$  [28].

$[\text{Cu}(\text{phen})_2]^+$  complexes. To overcome this problem, binuclear complexes with diphosphine ligands bridging the two metal centers have been recently investigated [27]. The series of dinuclear phenanthroline-diphosphine complexes **1a–1d** shown in Fig. 2a is stable towards dissociation for concentration above  $2.5 \times 10^{-5}$  M in air-equilibrated dichloromethane solution. All compounds are nearly non-emissive in solution and strongly phosphorescent in the solid state. This observation encouraged the authors to investigate their AIP behavior in a series of DCM/hexane solutions with different volumetric fractions. Emission colors spanning from green to yellow and red are obtained for the different complexes in their aggregated forms. In freshly-prepared solution with an hexane volumetric fraction superior to 90% compound **1a** forms yellow-emissive amorphous aggregates, which turn into glittery green emissive crystals after 24 hours, followed by a 2-min sonication. The authors attributed the AIP effect to a restriction of molecular motions, with a clear dependence of the solid-state structure on the emission properties of the complexes.

Copper-halide clusters are another family of Cu(I) based multinuclear structures that display phosphorescence and have been largely investigated [30]. The series of dinuclear  $\text{Cu}_2\text{I}_2\text{L}_3$  complexes (**2** in Fig. 2b, L = pyridyl ligand) displays remarkable AIP properties [28]. In deoxygenated dichloromethane solution, complexes with R = alkyl are weakly phosphorescent ( $\Phi = 2\%$ ) with an emission centered at 600 nm. The emission quantum yield increases to 30–50% when the complexes are deposited as neat-film or dispersed in PMMA matrix, with a blue-shift of the emission maximum to about 550 nm. A further shift to 500–520 nm occurs in solid powders, with emission quantum yields reaching values as high as 96%. In accordance with the MLCT nature of the electronic transition, predicted also by theoretical calculations, the tuning of the energy of LUMO orbital centered on the ligand by introduction of substituents and expansion of the aromatic system yields powders with emission colors that span the full visible range, with high emission quantum yields. As previously discussed, for CT transitions, the singlet-triplet energy gap is very low, so that the upper-lying  $S_1$  state can be thermally populated from the lower-lying  $T_1$

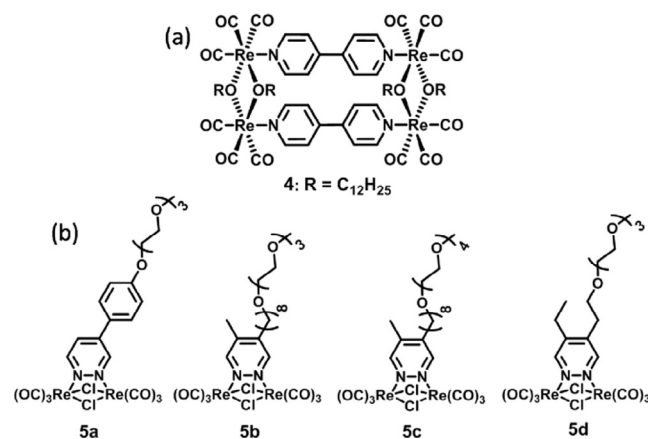
state, producing the so-called thermally activated delayed fluorescence (TADF). This phenomenon was first reported in the case of the prototypical Cu(I) bisphenanthroline complexes [31] and is now particularly important in view of their applications in OLED devices [32]: it allows all excitons generated by charge recombination (25%  $S_1$ , 75%  $T_1$ ) to emit from the  $S_1$  state.

The tetranuclear  $\text{Cu}_4\text{I}_4$  cubane cluster **3** (Fig. 3a) bearing triphenylphosphine ligands functionalized with cholesterol [33] can gelate from various solvents upon cooling hot solutions. The gel is green emissive and the emission can be used to probe the gelation kinetics of the system. The typical thermochromic properties of  $\text{Cu}_4\text{I}_4$  clusters are displayed by the gel: a “low-energy” (LE) green ( $\lambda_{\text{max}} = 535$  nm) emission at 290 K, due to a triplet cluster-centered transition (a combination of iodine to copper and metal-centered transitions, the term cluster centered emphasizes the fact that is essentially independent of the nature of the ligand L) is progressively substituted by an “high-energy” (HE) blue ( $\lambda_{\text{max}} = 435$  nm) emission upon cooling the gel to 110 K, originating from a cluster-to-ligand CT state. This phenomenon is known to originate from a pronounced effect of the matrix rigidity on the non-radiative transitions that populate the triplet cluster-centered state.

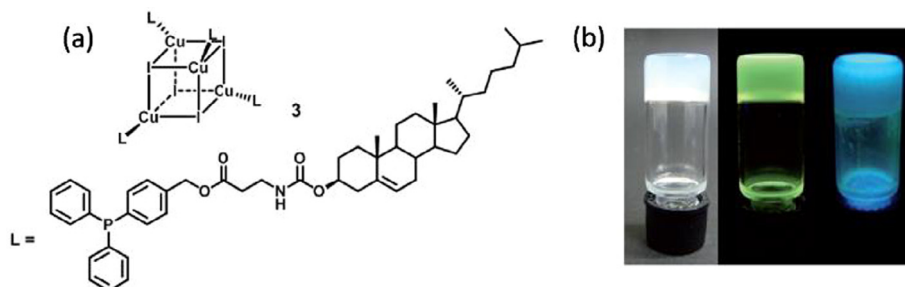
### 3.1.2. Re(I) complexes

One of the first example of phosphorescence enhancement by rigidification is based on Re(I) tricarbonyl complexes with pyridine ligands, a widely investigated class of luminophores [34–38].

The alkoxy-bridged Re(I) molecular rectangle **4** (Fig. 4a) is very weakly emissive ( $\Phi = 3.9 \times 10^{-4}$ ) in deoxygenated  $\text{CH}_3\text{CN}$ . Upon addition of water, aggregates are formed with concomitant



**Fig. 4.** Formula of (a) a tetranuclear Re(I) complex [39] and (b) a series of dinuclear Re(I) complexes [40].



**Fig. 3.** (a) Molecular structure of a  $\text{Cu}_4\text{I}_4$  cubane cluster and (b) images of the gels under different conditions: room temperature under ambient light (left), room temperature under UV light (center) and after immersion in liquid nitrogen under UV light (right). Copyright © 2013 WILEY-VCH Verlag GmbH & Co. KGaA, Weinheim. Adapted by permission of John Wiley & Sons, Inc.



increase of the phosphorescence quantum yield ( $\Phi = 6.5 \times 10^{-3}$  in deoxygenated  $\text{H}_2\text{O}/\text{CH}_3\text{CN}$  9:1(v/v)) and of the corresponding lifetime (from 11 to 212 ns): these two parameters are dependent on the alkyl chain length and the AIP effect was attributed to the reduced mobility of the molecules in the aggregates.

Dinuclear Re(I) complexes functionalized with glycolic chains **5a–d** (Fig. 4b) are amphiphilic molecules weakly phosphorescent in air-equilibrated dioxane solutions ( $\Phi = 0.02\text{--}0.04$ ,  $\tau = 0.44\text{--}0.61 \mu\text{s}$ ) [40]. Upon addition of a large amount of water (>8:2  $\text{H}_2\text{O}$ :dioxane (v/v)), spherical aggregates are formed, as shown by DLS and STEM measurements, and a circa 10 times enhancement of both emission quantum yield and lifetime is observed. The luminescence enhancement is not only caused by reduced mobility in the aggregates, but also by shielding of the inner chromophoric units from dioxygen quenching, as demonstrated by the negligible increase in emission quantum yields and lifetimes upon deoxygenation.

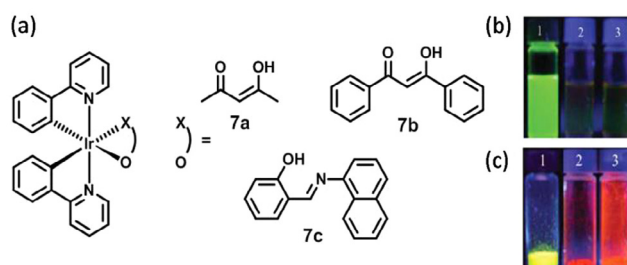
The importance of the solid-state structure in tuning the emission properties of metal complexes is clearly demonstrated by the behavior of the Re(I) dinuclear complex reported in Fig. 5 [41]. Complex **6** displays a circa ten times increase in the quantum yield going from solution to crystalline state, which was attributed to a restriction of intramolecular motions of the  $\text{Me}_3\text{Si}$  groups. Moreover, it can be crystallized in two different polymorphs with distinct photophysical properties: the monoclinic form emits green light ( $\lambda_{\text{max}} = 534 \text{ nm}$ ) and the orthorhombic form yellow light ( $\lambda_{\text{max}} = 570 \text{ nm}$ , Fig. 5b and c). The origin of this behavior was ascribed by the authors to the differences in packing density and relative orientation of the molecular dipoles, that creates a different local environment for the emissive molecules. Structural distortion was ruled out by X-ray analysis. This further demonstrates the sensitivity of the AIP properties to the solid-state packing.

### 3.1.3. 3Ir(III) complexes

Due to their rich and tunable photophysical properties, cyclometalated Ir(III) complexes attracted an enormous interest [42–45], in particular towards their application in optoelectronic devices [46], and consequently they are among the most investigated complexes in terms of their AIP properties.

One of the first report of Ir(III) complexes showing aggregation phosphorescence enhancement was based on complexes **7a–c** (Fig. 6), which have different phosphorescent properties depending on the ancillary ligand [47].

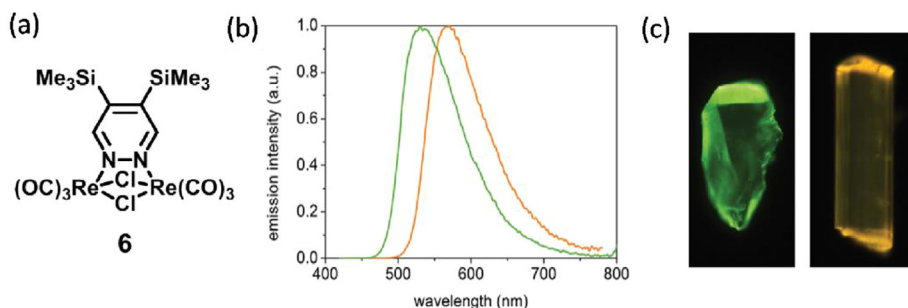
Complex **7a** displays phosphorescence from the  $^3\text{MLCT}$  state both in solution and in the solid state, while **7b** and **7c** are orange-red emitting in the solid state and not luminescent in solution. For complexes **7b** and **7c** dissolved in solution, the lowest triplet excited state is reported to be LC on the ancillary ligand. By a



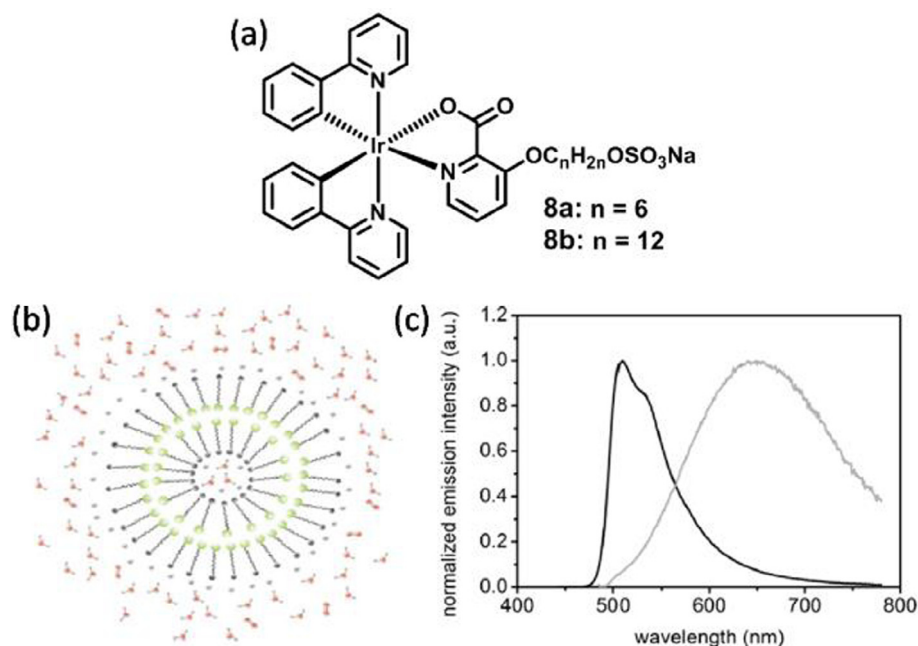
**Fig. 6.** (a) Formula of cyclometalated Ir(III) complexes containing different ancillary ligands. Luminescence of **7a** (1), **7b** (2) and **7c** (3) in  $\text{CH}_2\text{Cl}_2$  solution (b) and solid state (c) ( $\lambda_{\text{ex}} = 365 \text{ nm}$ ). Adapted from Ref. [47] with permission from The Royal Society of Chemistry.

combined experimental and theoretical approach based on X-ray crystallography and DFT calculations,  $\pi\text{--}\pi$  interactions between adjacent phenylpyridyl (ppy) ligands were suggested to be responsible of the formation of a new  $^3\text{MLCT}$  state at lower energies, from which emission takes place. Similar behavior was observed for Ir(III) complexes featuring  $\beta$ -diketonate ligands and the authors interpreted the results as in the case of complexes **7b–c** [48]. These reports fostered a debate: restriction of intramolecular rotation around the N-aryl bond was proposed as an alternative explanation [49] since phosphorescence of similar complexes was observed not only in the solid state, but also when the complex was embedded in a polymer matrix at very low concentration. On the other hand, the authors of the first paper reported that even complexes with iminic ligands in which the phenyl ring could not rotate due to covalent bonding exhibited AIP properties, thus rejecting the thesis of restriction of intermolecular motion [50]. A detailed photophysical, computational and crystallographic investigation of a series of similar complexes  $[\text{Ir}(\text{C}^{\wedge}\text{N})_2(\text{N}^{\wedge}\text{O})]$  featuring two phenylpyridine ligands and an ancillary ligand coordinated by N and O atoms [51] showed that neither the first hypothesis of ligand  $\pi\text{--}\pi$  interactions, nor the second hypothesis of restriction of N-aryl bond rotation can explain all the experimental data. The authors suggested that the main cause of AIP is a distortion of the bonding of the six-membered chelate ring of the ancillary ligand to the metal atom on the basis of a combined experimental and computational study. This example illustrates the complexity of the AIP phenomenon and the possible interplay of different mechanisms.

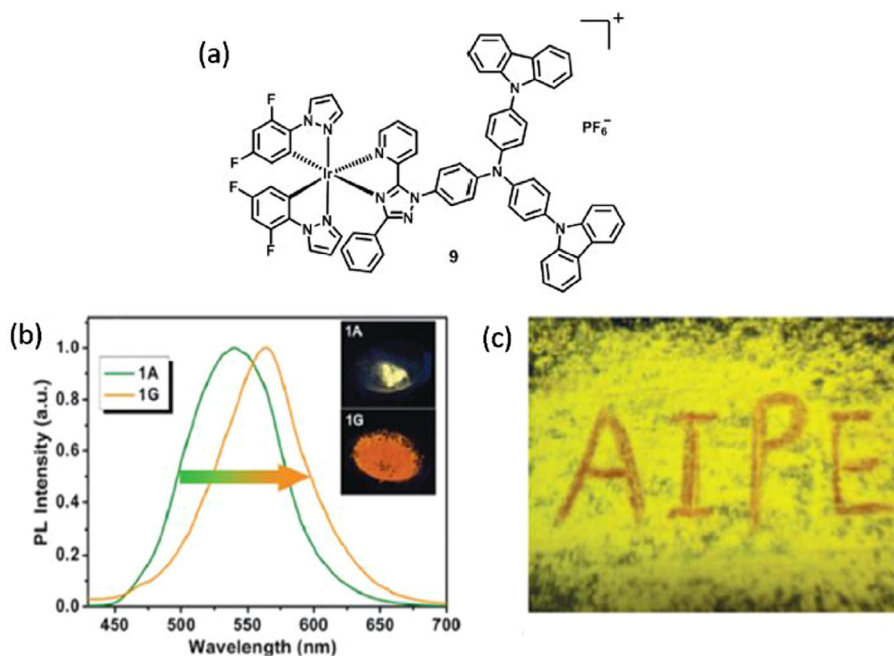
Neutral cyclometalated Ir(III) complexes **8a–b** (Fig. 7), in which the ancillary ligand is functionalized with anionic surfactants, form spherical bilayer structures when dissolved in mM concentration in aqueous solution [52]: the anionic chains (blue parts in Fig. 7b) align towards the aqueous phase and the hydrophobic complexes are localized in the apolar phase (green spheres in Fig. 7b). Interestingly, the emission properties change from a structured green



**Fig. 5.** (a) Formula of a Re(I) complex, displaying different emission spectra (b) in its monoclinic (green trace) and orthorhombic (orange) forms as single crystals, upon excitation at  $\lambda = 400 \text{ nm}$ . (c) Side view images of the monoclinic (left) and orthorhombic (right) crystals under UV light. Adapted with permission from Ref. [41]. Copyright 2010 American Chemical Society.



**Fig. 7.** (a) Formula of Ir(III) complexes bearing an ancillary ligand functionalized with anionic surfactants. (b) A schematic picture of the self-assembled structure of the amphiphilic complexes in water. (c) Normalized emission spectra of complex 8a  $1.8 \times 10^{-3}$  M (black trace) and  $1.0 \times 10^{-5}$  M (gray trace) in air-equilibrated  $\text{H}_2\text{O}$ . Adapted from Ref. [52] with permission from The Royal Society of Chemistry.



**Fig. 8.** (a) Formula of an Ir(III) complex appended with a carbazole dendron. (b) Emission spectra of complex 9 in its crystalline (1A) and amorphous (1G) forms. (c) Luminescence (under UV light) of the crystalline powder 1A cast on filter paper: the letters “AIPE” were written with a spatula. Adapted from Ref. [53] with permission from The Royal Society of Chemistry.

emission for the isolated complexes dissolved in water at  $1 \times 10^{-5}$  M concentration (black line in Fig. 7c) to a broad orange-red emission for the aggregated complexes in the bilayer structure (concentration:  $1 \times 10^{-3}$  M, grey line in Fig. 7c).

AIP behavior was reported for a series of cationic cyclometalated Ir(III) complexes functionalized with groups possessing high rotational (such as carbazole dendrons and phenyl rings [53,54]) or vibrational mobility (alkyl chains [55]). For example, complex 9 is very weakly emissive in organic solvents and brightly phosphores-

cent in the solid state or upon aggregation in  $\text{CH}_3\text{CN}/\text{H}_2\text{O}$  mixtures. Interestingly, the compound exhibits a yellow emission (with a spectral profile similar to the isolated molecule in solution) in its crystalline state and an orange emission (with a spectral profile similar to the aggregates in solution) in its amorphous state, obtained upon grinding (see Fig. 8). This mechanochromic effect highlights the importance of the solid state morphology on the luminescence properties and the utility of this phenomenon to elucidate the structure of aggregates in solution by comparison with



solid state, which can be easily investigated with standard structural characterization techniques such as X-ray crystallography.

Cationic non-cyclometalated Ir(III) complex **10** (Fig. 9) [56] is very weakly emissive in solvents such as dichloromethane or ethyl acetate, with an identical spectral shape irrespectively of the nature of the counterions. Upon aggregation in ethyl acetate/hexane mixtures and in the crystalline solid state, complexes **10**(BF<sub>4</sub>) and **10**(PF<sub>6</sub>) exhibit a bluish and more vibrationally structured emission compared to the greenish emission of **10**(Cl) and **10**(N(CN)<sub>2</sub>) (Fig. 9b). Furthermore, the green emissive crystalline **10**(Cl) can be turned into an amorphous yellow emissive form upon exposure to CH<sub>2</sub>Cl<sub>2</sub> or CHCl<sub>3</sub> vapors (vapochromism), elucidating the importance of the structure in controlling the photophysical properties. A computational investigation evidenced that the phosphorescent MLCT excited state has a considerably distorted geometry with respect to the ground state (with a decrease of the P-Ir-P angle of about 20°). The reduced possibility of rearrangement of the molecular geometry in the closely packed structure of the solid state is the most plausible origin of the phosphorescence enhancement and it is supported by the crystallographic study evidencing interactions between the triphenylphosphine ligands on neighboring molecules.

### 3.1.4. Pt(II) complexes

Pt(II) complexes [57] usually display square planar geometry, so that the d<sub>x<sup>2</sup>-y<sup>2</sup></sub> orbital is strongly antibonding. For Pt(II) complexes with simple ligands, like halogens, amines, the lowest energy electronic transition is metal-centered, so that the d<sub>x<sup>2</sup>-y<sup>2</sup></sub> orbital is populated through absorption of light: the molecule thus undergoes a significant distortion upon formation of the excited state and Pt – ligand bond lengths increase. This means that the lowest excited state is strongly distorted compared to the ground-state, so that the rate constant of non-radiative decay is large, while the radiative decay rate constant is typically small for Laporte-forbidden d-d transitions. Thus, Pt(II) complexes with low-lying MC states are generally non-emissive. The introduction of ligands containing  $\pi$  orbitals gives rise to novel LC and MLCT states. The relative energies of MLCT, LC and MC states (which can be thermally accessible from lower-lying states) controls the photophysics of the complex [58–62]. Population of <sup>3</sup>MLCT states often implies a structural distortion of the complex geometry. In the solid state or at low temperatures, distortion of molecules is inhibited to some extent, and this contributes to the AIP effect, as reported in the examples below.

Restricted structural distortion, as well as reduction of oxygen quenching, are the main cause of phosphorescence enhancement for the series of cyclometalated Pt(II) complexes with iminic ancillary ligands **11a–f** (Fig. 10a) [63]. They are non-emissive in solution, but strongly emissive in the solid state and upon

aggregation in THF/H<sub>2</sub>O mixtures. TDDFT calculations suggested that a strong structural distortion occurs upon population of the T<sub>1</sub> excited state in solution, leading to an efficient non-radiative deactivation, as expected also from the high mobility of the phenyl and alkyl substituents on the iminic nitrogen. In the aggregated state, the restriction of the structural distortion leads to a change in the nature of the excited state, with the participation of orbitals on the cyclometalated ligand (Fig. 10b).

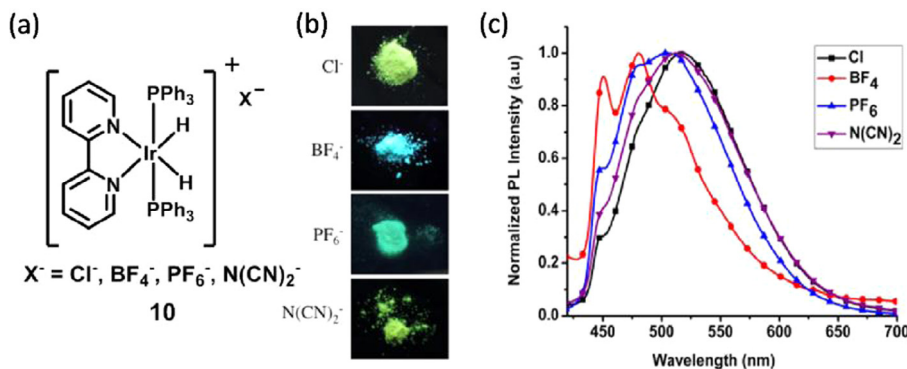
The cis-Pt(II) complex **12** (Fig. 11a) is not luminescent in deaerated solution of organic solvents, and strongly luminescent in rigid matrix at 77 K [64]. Moreover, complex **12** is vapoluminescent: the crystals obtained from toluene solution are very weakly luminescent and exposure to CH<sub>3</sub>OH vapors switches on a luminescence visible to the naked eye under UV excitation (Fig. 11b). This vapoluminescence effect cannot be attributed to a disruption of intermolecular Pt...Pt interactions since the single crystal X-ray diffraction analysis of complex cis-**12** crystallized from toluene, cis-**12**·C<sub>6</sub>H<sub>5</sub>CH<sub>3</sub>, revealed a molecular structure almost identical to that found in cis-**12**·2CH<sub>3</sub>OH·CH<sub>3</sub>CN, with a minimum Pt...Pt intermolecular distance of 5.9267(2) Å and 8.9268(2) Å, respectively. In the solid state structure of cis-**12**·2CH<sub>3</sub>OH·CH<sub>3</sub>CN, a CH<sub>3</sub>-OH molecule forms a hydrogen bond with each exo-cyclic nitrogen atoms of the complex. The more rigid structure, which prevents strong distortion also in the excited state geometry, explains the increase of emission quantum yield, because deactivation by non-radiative decays is slowed down.

### 3.2. Metallophilic interactions

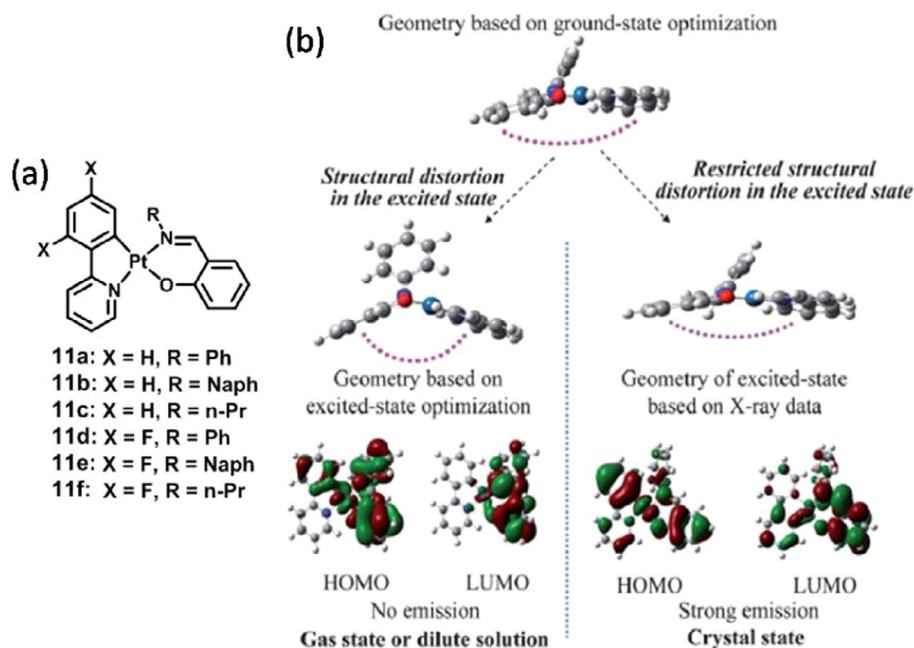
The most investigated mechanism of AIP in planar d<sup>8</sup> (e.g. Pt(II) and Pd(II)) and d<sup>10</sup> (e.g. Au(I), Ag(I), Cu(I)) metal complexes is based on metallophilic interactions [13,65].

#### 3.2.1. Pt(II) complexes

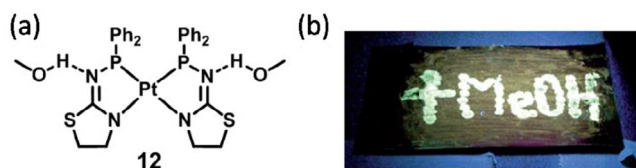
The square planar geometry favors interactions of Pt(II) complexes with other identical molecules or with molecules of different type, such as the solvent. The so-called “metallophilic interaction” leads to the orbital diagram shown in Fig. 12. The d<sub>z<sup>2</sup></sub> orbital, perpendicular to the plane of the molecule, can interact with the d<sub>z<sup>2</sup></sub> orbitals of adjacent molecules when the intermolecular Pt-Pt distance is of the order 3.0–3.5 Å to form weakly bonding and antibonding d<sub>σ</sub> and d<sub>σ</sub><sup>\*</sup> molecular orbitals (Fig. 12). As a result of such interactions, the highest occupied metal-based molecular orbital is raised in energy compared to that of the isolated molecules, so that the corresponding electronic transitions are shifted to lower energies. If these interactions are quite strong, a switch of the nature of the lowest-energy excited state can occur; for example, in Fig. 12, from LC ( $\pi$ - $\pi^*$ ) in the isolated monomers to



**Fig. 9.** (a) Formula of a cationic Ir(III) complex with different counterions. (b) Pictures under UV light irradiation of crystals of complex **10** with different counterions and (c) corresponding emission spectra ( $\lambda_{\text{exc}} = 385$  nm). Adapted with permission from Ref. [56]. Copyright 2016 American Chemical Society.



**Fig. 10.** (a) Formula of a cyclometalated Pt(II) complex. (b) Calculated geometries and molecular orbitals of complex 11a in different states. Adapted from Ref. [63] with permission from The Royal Society of Chemistry.

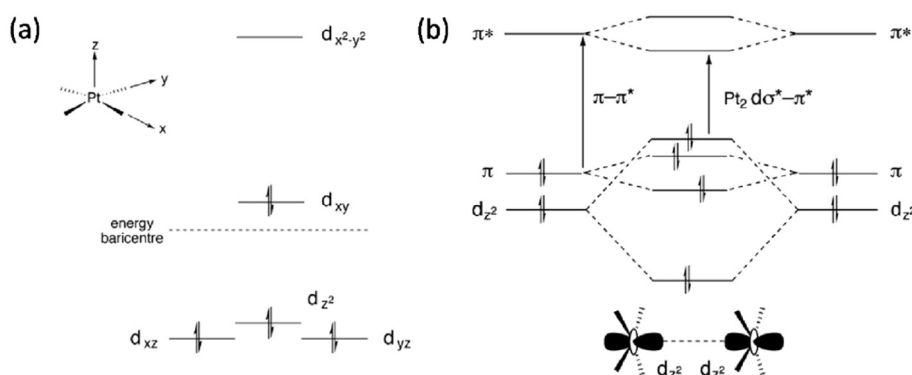


**Fig. 11.** (a) Formula of a Pt(II) complex displaying vapoluminescence. (b) Photograph of an aluminum foil covered by a thin layer of the dry complex cis-12 under UV light. The emission is restricted to areas in which the layer was treated with drops of CH<sub>3</sub>OH [64]. Copyright © 2007 WILEY-VCH Verlag GmbH & Co. KGaA, Weinheim. Adapted by permission of John Wiley & Sons, Inc.

MMLCT (metal-metal to ligand charge transfer,  $d\sigma^* \rightarrow \pi^*$ ) in the presence of such interactions. The energy of this electronic transition can be modulated by tuning the metal distances: shorter M-M distances correspond to lower energy transitions and vice versa. Moreover, for complexes with planar, conjugated aromatic ligands,  $\pi$ - $\pi$  interactions between the ligands of adjacent molecules are also possible, as schematically presented in Fig. 12.

Such metallophilic interactions in Pt(II) complexes are known since the '70s [66,67], but they have recently attracted an increasing attention in the field of luminescent materials [68].

The very simple complex [Pt(tpy)Cl]<sup>+</sup> features a tridentate terpyridine ligand that imposes a planar geometry. However, the bite angle of terpyridine is not ideal for Pt(II) ions: the ligand field is reduced, and the d-d excited states that promote thermally activated non-radiative decay, as discussed in Section 3.1.4, are lowered in energy. Therefore, irrespective of the counterion, the [Pt(tpy)Cl]<sup>+</sup> cation is essentially non-emissive in fluid solution at room-temperature, while in dilute (<10  $\mu$ M) glassy solution at 77 K, it displays a structured LC phosphorescence with maximum at 465 nm. At higher concentrations in frozen glasses, a broad, weakly structured band centered at 600 nm, and an unstructured band at 720 nm are observed. These have been assigned to aggregates, involving  $\pi$ - $\pi$  and metal-metal interactions (<sup>3</sup>MMLCT excited state), respectively [58]. Furthermore, the complex is emissive also in the solid state: the energy of the electronic transition is strongly influenced by the identity of the counteranion, as exem-



**Fig. 12.** (a) Simplified orbital diagram of d orbitals for Pt(II) square planar complexes. (b) Simplified molecular orbital (MO) diagram of two interacting square-planar platinum(II) complexes, showing the intermolecular  $d_{z^2}$  orbital overlap in the ground-state and its influence on the energy of the MO levels. Adapted from Ref. [69] with permission. Copyright © 2007, Springer-Verlag Berlin Heidelberg.

plified by the dramatic difference between the  $\text{SbF}_6^-$  and  $\text{CF}_3\text{SO}_3^-$  salts [70].

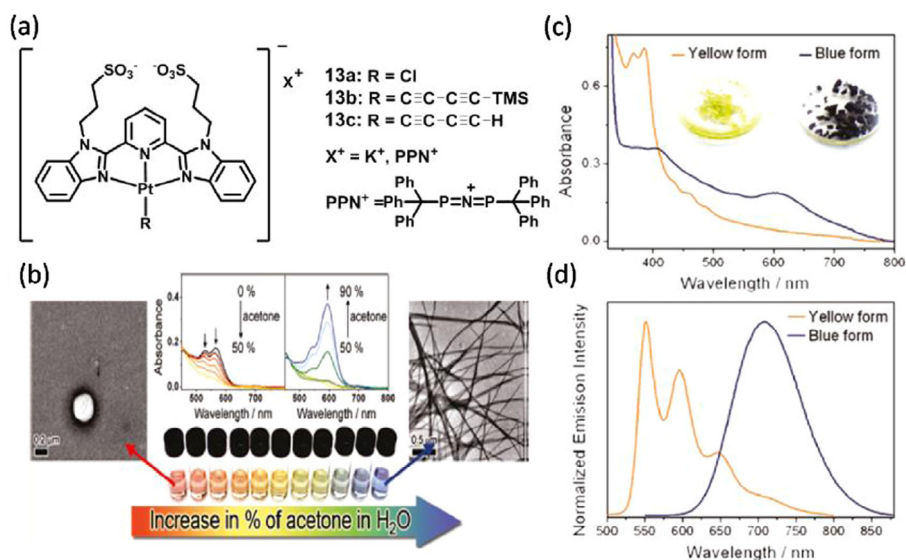
Metallophilic interactions were reported also for Pt(II) complexes containing the tridentate analogue 2,6-bis(benzimidazol-2-yl)pyridine both in concentrated solutions [61] and in the solid state [72]. In particular, the series of amphiphilic complexes **13a–c** (Fig. 13a) exhibits a peculiar aggregation behavior with a rich and diversified photophysics [71]. The potassium salt of complex **13a** can be isolated in two different solid state forms: a yellow powder, which shows a structured green emission (orange line in Fig. 13d) similar to that of the isolated complex in solution, and a blue powder, in which a new band is observed both in absorption (with maximum around 600 nm, blue line in Fig. 13b) and in emission (with maximum around 700 nm, blue line in Fig. 13b). The behavior exhibited by the blue powder is consistent with metallophilic interactions. Similar photophysical properties were observed in water solution due to the formation of aggregates, which can be reversibly disassembled through temperature increase, as demonstrated by a progressive disappearance and blue-shift of the MMLCT absorption and emission bands, as a consequence of the elongation of the average Pt–Pt distances. The same behavior was observed upon addition of acetone to the aqueous solution containing the aggregates: the emission intensity reaches a minimum for acetone fractions between 50 and 70%. Increasing the fraction of acetone up to 100% unexpectedly gave rise to a strong recovery of the emission intensity, with spectral features indicating a closer packing of the emissive complexes, which was attributed to the formation of elongated fibers, as observed by TEM/SEM microscopy. The strong color change of the solution (Fig. 13b), which passes from red in pure water to blue in pure acetone, is a clear demonstration of the sensitivity of metallophilic interactions to different aggregation states based only on the average M–M distance.

This remarkable property has been exploited for the luminescence-based detection and imaging of metastable kinetically trapped aggregation morphologies [73].

The amphiphilic Pt(II) complex **14** (Fig. 14a) is weakly emissive in dioxane solution ( $\Phi = 0.01$ ,  $\tau = 2.6$  ns), but upon flash injection in water forms brightly orange emissive spherical aggregates

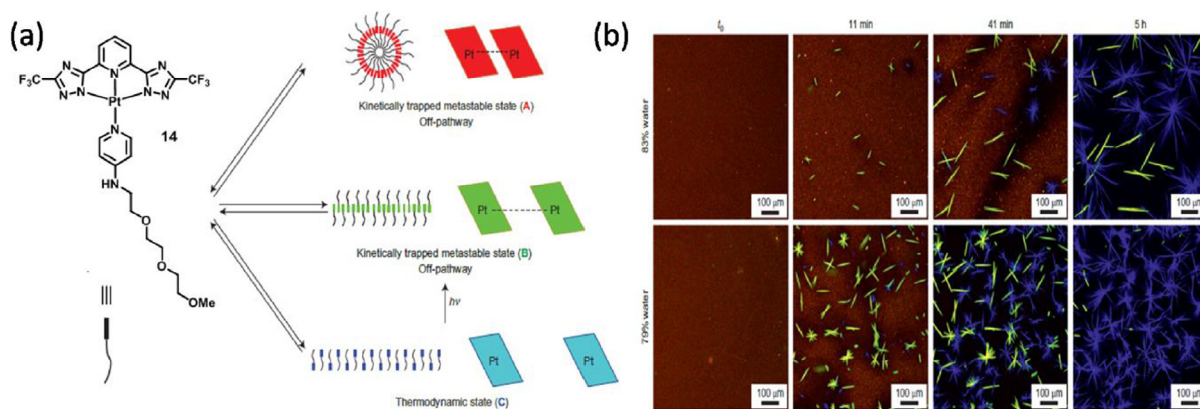
( $\Phi = 0.84$ ,  $\tau = 646$  ns), as a result of strong metallophilic interactions. This form is metastable and evolves over time into thermodynamically stable fibers. The fibers have a blue emission identical in shape and energy to that exhibited by the isolated complex in solution (monomer), but a higher quantum yield ( $\Phi = 0.20$ ,  $\tau = 1.08$   $\mu\text{s}$ ), due to the restriction of molecular motion and reduced quenching by dioxygen. A third intermediate structure was observed in the transformation process and it has a green emission due to weaker Pt–Pt interactions with respect to the orange emissive one. Remarkably, AIP was exploited to determine the nucleation and elongation kinetics for the formation of the blue emissive fibers and to follow the orange to blue transformation process by phosphorescence microscopy, that allowed to visualize directly the relationship between the emission color and the structure of the aggregates, further confirming the utility of the AIP phenomenon in the investigation of complex aggregation behaviors.

Pt(II) complexes possessing chiral ligands display aggregation induced circularly polarized luminescence (AICPL) [74]. The chiral monomers **15a–b** (Fig. 15) are weakly emissive in  $\text{CHCl}_3$  or toluene in their monomeric forms ( $\Phi \approx 0.025$ ) and show a strong solvent-dependent aggregation behavior. Upon increasing the concentration of a  $\text{CHCl}_3$  solution, a non-helical assembly featuring Pt–Pt interactions is formed. A new  $^3\text{MMLCT}$  emission band is visible, but the overall emission quantum yield decrease upon aggregation due to competing quenching phenomena. On the contrary, in toluene helical structures are formed, featuring an enhancement of the emission quantum yield and an elongation of the phosphorescence lifetime attributed to the restriction of molecular motion, since no M–M interactions are observed. Interestingly, these structures are able to emit circularly polarized light with high dissymmetry factors due to their chiral assembly, thus showing the importance of AIP in the field of CPL emission. By slow evaporation of the solvents, two different solid forms are obtained that retain the photophysical properties of the corresponding aggregates. Also in this case, it is possible to follow both the formation of the helical aggregates in toluene and the slow interconversion of the kinetically trapped non-helical aggregates to the thermodynamically stable CPL emissive helices in the same solvent.

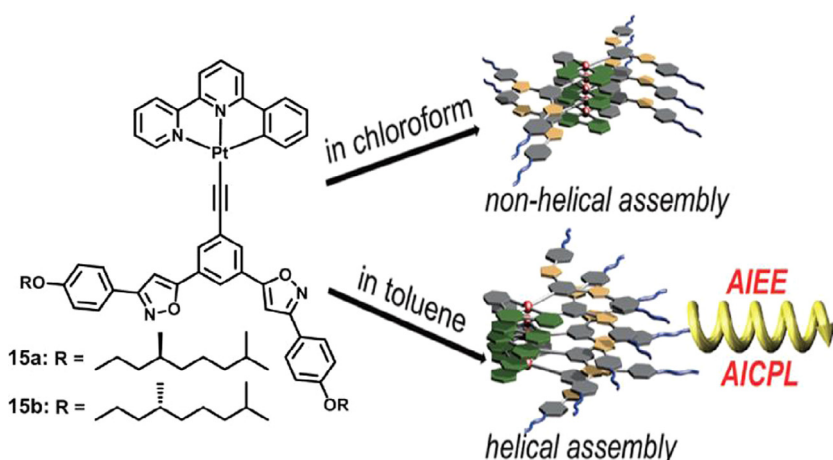


**Fig. 13.** (a) Formula of amphiphilic anionic Pt(II) complexes. (b) A picture of **13a** as potassium salt dissolved in  $\text{H}_2\text{O}$ /acetone mixtures, together with UV–VIS absorption spectral changes and TEM images of the aggregates in pure  $\text{H}_2\text{O}$  (left) and 9:1 acetone/ $\text{H}_2\text{O}$  (right). Solid-state UV–VIS absorption (c) spectra and normalized emission (d) spectra of **13a-K** in yellow and blue forms at room temperature. Adapted with permission from Ref. [71]. Copyright 2011 American Chemical Society.

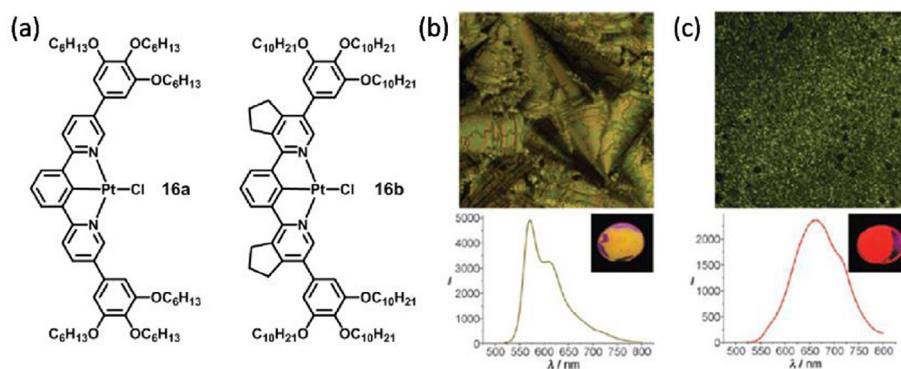




**Fig. 14.** (a) Schematic representation of the supramolecular structures formed by an amphiphilic Pt(II) complex: the colors correspond to the emission color of the aggregates. (b) Fluorescence microscopy ( $\lambda_{\text{exc}} = 405 \text{ nm}$ ) snapshots of the time-dependent evolution of assembly A (red) into B (green) and C (blue). The upper and lower rows were taken at 83 and 79% water, respectively. Figures adapted with permission from Macmillan Publishers Ltd: Nature Chemistry. Ref. [73], copyright 2015.



**Fig. 15.** A diagram showing the formula of two Pt(II) complexes and their solvent-dependent supramolecular assemblies: the helical structure formed in toluene gives rise to aggregation induced circularly polarized luminescence (AICPL). Adapted from Ref. [74] with permission from The Royal Society of Chemistry.



**Fig. 16.** (a) Formulae of cyclometalated Pt(II) complexes with terdentate N<sup>^</sup>C<sup>^</sup>N ligands. Photomicrographs (top, taken between crossed polarizers) and emission spectra (bottom,  $\lambda_{\text{exc}} = 420 \text{ nm}$ ) of 16a (b) and 16b (c). Insets show emission of films of 16a (b) fast cooled from the LC phase after the texture is fully developed and 16b (c), fast cooled direct from the isotropic phase. Copyright © 2008 WILEY-VCH Verlag GmbH & Co. KGaA, Weinheim. Adapted from Ref. [58] by permission of John Wiley & Sons, Inc.

Cyclometalated Pt(II) complexes with terdentate N,C,N ligands (Fig. 16a) possessing long alkyl chains were used to form luminescent liquid crystals [75], exploiting the planar geometry of the metal coordination. Compound **16a** is weakly luminescent in solution, in accordance with the LC character of the transition, while in

the liquid crystalline phase has a phosphorescence behavior that depends on the thermal history of the sample. When an isotropic melt is slowly cooled to 170 °C and then rapidly cooled to room temperature, large anisotropic domains are formed, which present an emission spectrum similar to the monomeric one. On the other

hand, when the rapid cooling occurs directly from the melt, small anisotropic domains are obtained, featuring an unstructured emission at longer wavelengths typical of metallophilic interactions. The same emission is observed upon formation of a thin film of **16b** by spin-coating, but the color can be tuned by thermal annealing (110 °C) and cooling cycles, which increase the amount of monomer. Mechanical stimulation (scratching) induces the opposite phenomenon of aggregation, thus assessing the potential of the material in a phosphorescent writable device that can be erased thermally.

### 3.2.2. Au(I) complexes

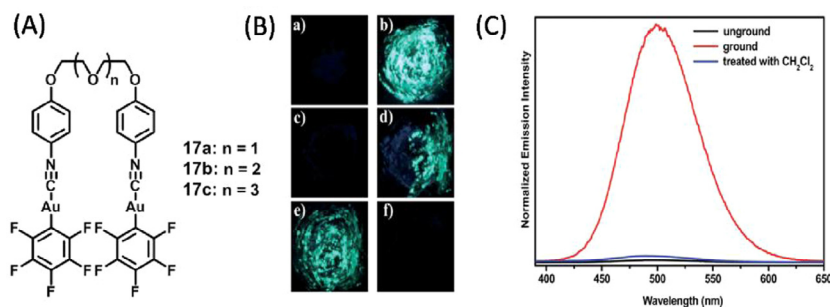
Au(I) complexes [76–80] usually display a linear geometry; metal-metal, named *aurophilic*, interactions can arise in the solid state or in polynuclear Au(I) complexes. For example, mononuclear gold complexes featuring non-sterically demanding ligands undergo intermolecular aggregation *via* short sub-van der Waals gold-gold contacts of *ca.* 3.0 Å. The related bond energy is of the order of 5–10 kcal mol<sup>−1</sup> and it is comparable to that of standard hydrogen bonds [81,82]. In the same way, intramolecular Au-Au interactions yield significant stabilization to multinuclear gold complexes. Moreover, relativistic effects [83] related to the movement of high-speed electrons in close proximity of a heavy atomic nucleus, particularly important in the case of gold, cause an increase in the effective nuclear charge. This results in a lower energy difference between the filled 5d orbitals (Au(I) is a d<sup>10</sup> metal ion) and the empty 6s/6p orbitals and leads to a more extended orbital overlap, favoring metal-metal interactions.

These *aurophilic* interactions are responsible of AIP effects in the examples reported below.

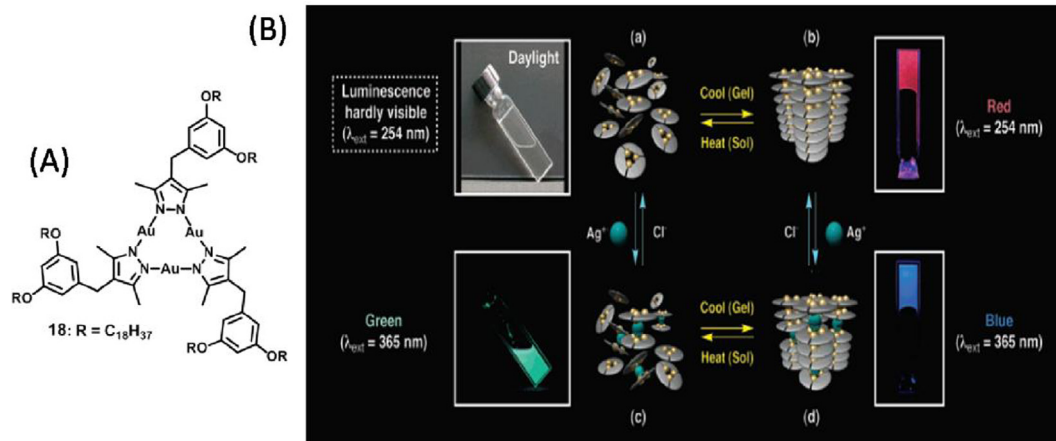
The dinuclear complexes **17a–c** [84] are very weakly emissive in polar organic solvents and brightly yellow-green emissive upon addition of water, due to the formation of aggregates featuring Au-Au interactions. Interestingly, the phosphorescence is very weak in the solid crystalline state and strongly enhanced upon transition to an amorphous state through grinding (mechanochromism, Fig. 17B a–f). The emission can be reversibly switched off by thermal annealing (thermochromism) or exposure to solvent vapors (vapochromism, Fig. 17C).

The trinuclear pyrazolate Au(I) complex **18** [85] (Fig. 18A) has a highly planar structure and is able to gelate by self-assembling into columnar stacks. While the complex is non-emissive in solution, the sol-gel transition is accompanied by a strong AIP effect resulting in a bright red emissive gel. The color of the emitted light could be modulated by addition of Ag<sup>+</sup> ions, that intercalate into the columnar stacks due to heteroatomic metallophilic interactions and turn the system into green emissive in solution and blue emissive in the gel state. Remarkably, the effect can be reversed by addition of Cl<sup>−</sup> ions to sequester Ag<sup>+</sup> ions, thus realizing a reversible RGB color-tunable system based on AIP.

As a proof of the analogies among coinage metals regarding metallophilic interactions, trinuclear pyrazolate complexes of Cu(I), Ag(I) and Au(I) functionalized with dendritic units can all self-assemble into phosphorescent columnar stacks [86] and the Cu(I) species were applied in a rewritable thermosensitive phosphorescent paper [87]: it can be written and erased through ther-

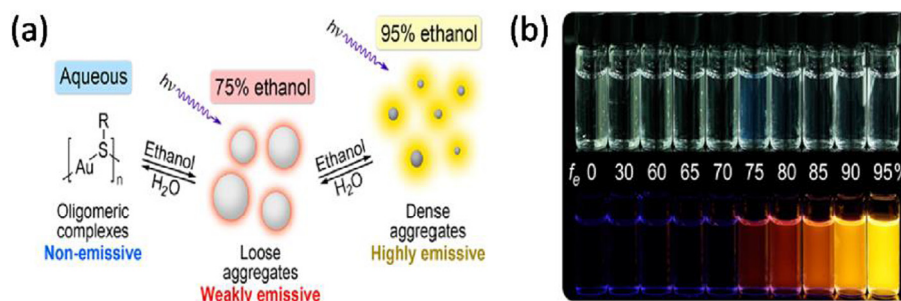


**Fig. 17.** (A) Formulae of dinuclear Au(I) complexes. (B) Photographs of **17a** under 365 nm excitation: (a) before grinding, (b) after grinding, (c) after treatment with dichloromethane, (d) and (e) repetition of the green emission by grinding the powder with a pestle at room temperature, (f) annealing (at 100 °C for 30 s). (C) Emission spectra ( $\lambda_{\text{exc}} = 350$  nm) of **17a** before grinding (black line), after grinding (red line), and after treatment with dichloromethane (blue line). Adapted from Ref. [84] with permission from The Royal Society of Chemistry.



**Fig. 18.** (A) Formula of a trinuclear pyrazolate Au(I) complex. (B) Pictures and schematic self-assembling structures of complex **18** in different states: (a) solution, (b) gel, (c) solution containing AgOTf (0.01 equiv) and (d) gel containing AgOTf (0.01 equiv). Adapted with permission from Ref. [85]. Copyright 2005 American Chemical Society.





**Fig. 19.** (a) Schematic illustration of solvent-induced AIE properties of oligomeric Au(I)–thiolate complexes. (b) Pictures of the Au(I)–thiolate complexes in ethanol/water mixtures with different volumetric ratios under visible (top row) and UV (bottom row) light. Adapted with permission from Ref. [88]. Copyright 2012 American Chemical Society.

mal annealing and cooling cycles that influence the Cu–Cu distances in the stacks by exploiting the melting of a polymeric matrix.

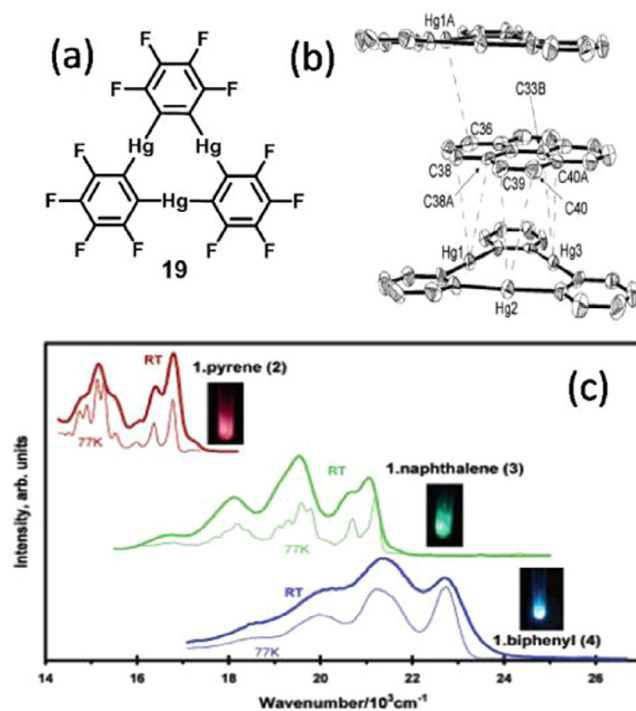
Oligomeric Au(I) thiolate complexes containing 10–12 gold atoms and glutathione (a tripeptide containing a thiol and two carboxylic groups) are non-luminescent in aqueous solution and brightly phosphorescent upon solvent or cation-induced aggregation [88] (see Fig. 19). When the fraction of EtOH reached 75% a weak red phosphorescence appears, which progressively turns into a bright yellow one increasing the EtOH content to 95% [89]. The authors suggested that this peculiar behavior can arise from the interplay of intracomplex and intercomplex metallophilic interactions and polarity effects. In the same work, it was found that heating up the aqueous solution of oligomeric Au(I) complexes at 70 °C for 24 h resulted in the formation of highly luminescent small (diameter <2 nm) gold nanoclusters composed of an Au(0) core surrounded by a shell of Au(I)-thiolate oligomeric complexes. The photophysical properties of the nanoclusters are similar to those obtained by solvent induced aggregation. Blue shifted emissions observed upon decreasing the size of the Au(0) core. This observation, together with the high ratio of Au(I)-thiolate complexes with respect to Au(0) atoms, prompted the authors to suggest that the observed emission may arise from the AIP effect of the Au(I) complexes on the Au(0) core. Accordingly, with the AIP mechanism, the nanocrystals were emissive also in the solid state. AIP effects were observed also for other metal nanoclusters, e.g. Ag [90,91] and Cu [92,93].

### 3.3. Induction of aggregation induced phosphorescence of organic molecules

In the previous sections we focused on mechanisms in which a metal containing species was the actual phosphorescent species. However, a few examples have been reported in which a metal complex induces the AIP effect on a nearby purely organic molecule or moiety.

Triangular Hg(II) pyrazolate complexes are highly planar and present a high affinity to organic  $\pi$ -extended systems, such as benzene [94]. This property was exploited to form phosphorescent columnar stacks of alternated Hg(II) complexes and aromatic hydrocarbons (see Fig. 20).

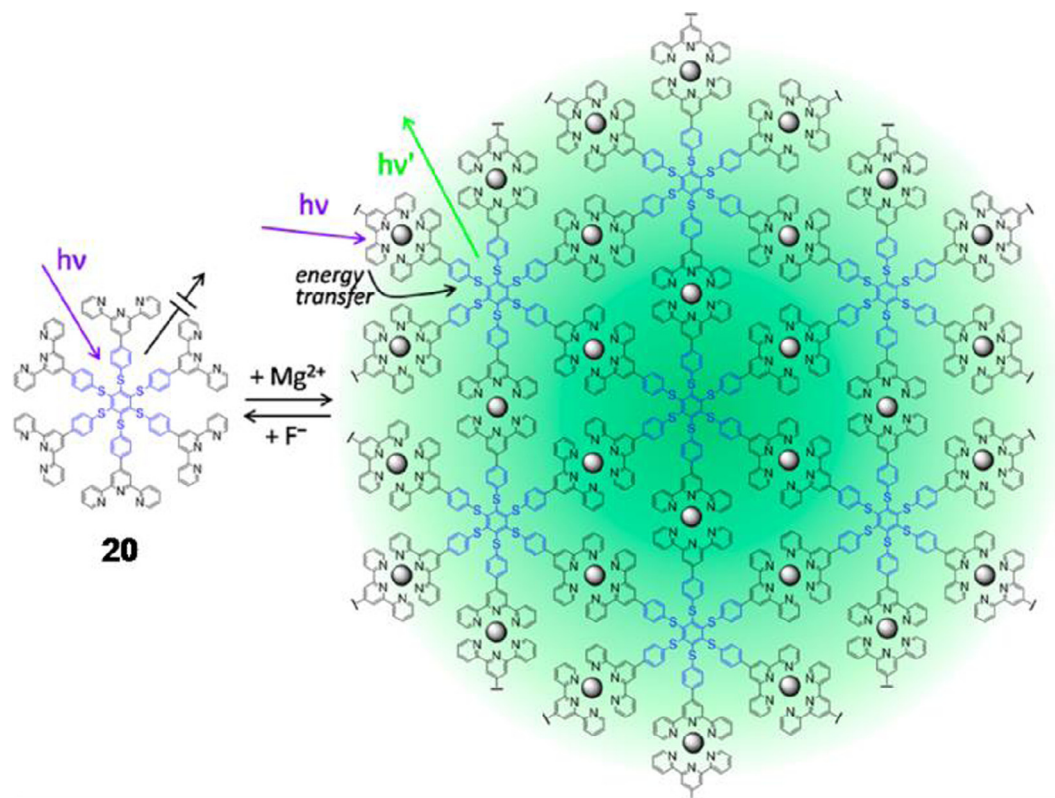
The trinuclear Hg(II) pyrazolate complex **19** is non-emissive in solution and features a bright red-orange emission in the solid state, due to Hg–Hg interactions among the stacked complexes [96]. Upon evaporation of a solution containing the Hg(II) complex and an aromatic hydrocarbon, phosphorescent solids were obtained in which the two species associate with 1:1 stoichiometry and form alternate columnar assemblies [95,96]. The close match between the emission spectra of the solid sample of **19** and the



**Fig. 20.** (a) Formula of a trinuclear Hg(II) complex. (b) Crystal structure of the columnar stacks formed by complex **19** and pyrene. (c) Phosphorescence spectra of the columnar stacks containing pyrene, naphthalene and biphenyl at 77 K and room temperature (photos at RT under UV irradiation). Adapted with permission from Ref. [95]. Copyright 2003 American Chemical Society.

phosphorescence of the aromatic hydrocarbons in frozen matrix at 77 K prompted the authors to assign the emission to the organic molecule. The much shorter lifetimes of the columnar stacks at room temperature with respect to the aromatic hydrocarbons in 77 K frozen matrix (e.g. about 500  $\mu$ s vs 700 ms for pyrene) were attributed by the authors to the higher rate of the intersystem crossing process induced by the heavy atom effect of Hg on the aromatic hydrocarbon.

An alternative approach to switch on the phosphorescence of an organic chromophore by metal complexation is reported in Fig. 21 [97]. The hexathiobenzene chromophore (blue part in Fig. 21) is not phosphorescent in fluid solution and becomes highly phosphorescent upon crystallization or rigidification of the matrix [98,99]. Analogously, the terpyridine appended persulfurated chromophore shown in Fig. 21 is non-emissive in solution, but displays a green phosphorescence emission in the solid state ( $\Phi = 0.02$ ,  $\tau = 11.6 \mu$ s) due to the restriction of intermolecular motion in the solid state.



**Fig. 21.** Schematic representation of the terpyridine appended persulfurated benzene **20** and the phosphorescent aggregate formed upon addition of  $\text{Mg}^{2+}$  ions. The arrows indicate the photophysical processes occurring in the system. Adapted with permission from Ref. [97]. Copyright 2014 American Chemical Society.

Upon addition of  $\text{Mg}^{2+}$  to an air-equilibrated THF solution of **20**, a supramolecular polymer is formed (Fig. 21), in which the reduced mobility of the organic moiety is reflected by the appearance of a bright phosphorescence ( $\Phi = 0.10$ ,  $\tau = 5.3 \mu\text{s}$ ) with band shape very similar to that of the ligand in the solid state. The polymer formation is confirmed by DLS and AFM analysis: nanoparticles with a diameter of approximately 60 nm are present. The peculiarity of this system is that, although it contains metal complexes, the metal doesn't play an "active" role in the phosphorescence ( $\text{Mg}^{2+}$  is unable to induce phosphorescence by heavy atom effect), but acts only as a "rigidifier", while the AIP arises from the organic ligand due to a mechanism of restriction of intermolecular motion. The polymer can be reversibly disassembled by addition of fluoride anions ("off" state). Excitation spectra reveal also that more than 90% of the light energy absorbed by the Mg complexes is funneled to the AIP emissive persulfurated benzene by energy transfer, thus realizing the first example of light harvesting antenna based on the AIP phenomenon, able to sense both  $\text{Mg}^{2+}$  and  $\text{F}^-$  ions.

#### 4. Conclusions and outlooks

Phosphorescent materials and devices are usually based on metal complexes rather than on organic chromophores. This is due to the enhanced spin-orbit coupling typical of metal complexes: the presence of a heavy metal ion and, in some cases, of charge-transfer (CT) transitions with a very small energy difference between states of different multiplicity promotes spin-orbit coupling to populate the phosphorescent excited state.

In the last few years, a great attention has been devoted to metal complexes that are weakly or non-phosphorescent in deaerated fluid solution and strongly phosphorescent upon aggregation in solid state or in nanoaggregates dispersed in solution. This phe-

nomenon has been named aggregation induced phosphorescence. The present review reports the most relevant examples from a tutorial point of view, divided in two classes on the basis of the AIP mechanisms: (i) aggregation causes a rigidification of the metal complex both in the ground- and in the excited states; (ii) aggregation brings metal complexes in close proximity, thus facilitating metallophilic interactions. The last section deals with a special case in which phosphorescence of organic chromophores is switched on upon metal complexation.

The mechanism (i) is shared with AIP organic chromophores, while mechanism (ii) is typical of metal complexes. Metallophilic interactions are strongly dependent on the complex geometry: square planar or linear geometry enables a close contact between metal centers and thus favors metallophilic interactions, while in octahedral geometry, the metal center is buried within the ligand cage.

The great attention that AIP has recently attracted is mainly related to the fact that phosphorescent metal complexes are mostly used in solid-state devices, like OLEDs. Beyond this, a stimulating and emerging field of research is that of luminescent sensors, in which phosphorescence is switched on by the addition of an analyte, which causes aggregation or modify the crystal structure. Some examples of vapoluminescent compounds and sensing in living cells have been presented in this review, but certainly much more research is expected in the future.

The main challenge, at the moment, is to make a clear correlation between phosphorescent properties and structure, particularly in the case of nanostructures dispersed in solution since luminescence and structural characterizations (SEM, TEM, X-ray diffraction) are made under different experimental conditions. Therefore, new studies in this direction are expected to provide a clearer correlation and to drive the design of AIP metal complexes with new applications.

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