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Seismic-induced damage in historical masonry vaults: a case-study in the 2012 Emilia earthquake-stricken area

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Abstract

The seismic analysis of historical masonry vaults is a challenging task for contemporary engineers as their behavior depends on a very huge number of factors. Among them, the vaults response is also influenced by the seismic behavior of their bearing structures. This paper aims at investigating the capabilities and **limitations** of current finite element-based computational tools to analyze the seismic-induced damage in masonry vaulted structures. The case under study is the Giulio II vault, located in the main tower of the San Felice sul Panaro fortress (Italy) which has been severely damaged by the 2012 Emilia earthquake. Much attention is focused on the interaction between the vault and its bearing tower. The developed finite element model includes the 3D geometry of the vault within the geometry of the tower, based on a before-quake survey. Nonlinear static and dynamic analyses are carried out by using a damage-plasticity constitutive law for masonry. Numerical results are compared to the vault actual crack pattern as well as to its actual-deformed geometry based on a post-quake laser scanner survey.

Keywords: Historical masonry vaults, Seismic damage, FE nonlinear analysis, Architectural Heritage, Laser scanner

1. Introduction

The disasters caused by past and recent earthquakes on both monumental and ordinary historical masonry buildings have induced many researchers to investigate their seismic behavior. Most of the research works were focused on studying the seismic response of vertical masonry structures [1, 2], or to analyze entire buildings also taking into account horizontal structural elements, generally constituted by timber floors or masonry vaults. In particular, these latter elements have been studied aiming at investigating their role in the seismic response of buildings. Indeed, working as horizontal diaphragms, their behavior significantly affects the overall response of the structure in terms of both strength and stiffness [3]. **However**, as their collapse may cause casualties and large artistic and cultural losses (e.g. the

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33 collapse of two frescoed vaults of the upper Basilica of St Francis of Assisi in 1997 [5]), deep
34 investigations of their response under earthquake actions appear of primary importance.
35 The seismic analysis of historical masonry vaults is a challenging task for contemporary
36 engineers as their behavior depends on a very huge number of factors. Among them, the
37 vaults response is also influenced by the seismic behavior of their bearing structures.

38 Another aspect which further makes challenging this topic derives from the complex ge-
39 ometries that characterize masonry vaulted structures. Recently, advanced surveying tech-
40 niques, such as laser scanner, allow to rapidly capture the detailed geometry of complex
41 objects. This technology has been successfully used for generating 3D models and monitor-
42 ing the deformations of very complex masonry domes, see for instance [4].

43 Several studies have been dedicated to the analysis of masonry vaults under static verti-
44 cal actions. Following [6] or [7], it can be affirmed that the modern theory of limit analysis
45 of masonry structures, which has been developed mainly by Heyman [8, 9], is the most
46 reliable tool to understand and analyze masonry curved structures. However, classical man-
47 ual methods of analysis [7] allow to find in a suitable way 1D equilibrium solutions for the
48 different types of vaults. The first research works on the numerical assessment of the static
49 behavior of masonry vaults date back to the early 90s, see for instance the pioneering studies
50 on the Brunelleschi Dome in [10]. As regards recently developed computational methods we
51 can classify them into two broad categories [11]: (i) Thrust network methods, based on the
52 Static Theorem of the limit analysis [12]-[16], and (ii) Finite and Discrete Element Methods,
53 developed both for limit analysis and for nonlinear incremental analysis (see for instance
54 FEM approaches in [17]-[24] and DEM approaches in [25]-[27]).

55 Concerning the studies published since 2000 it is possible to observe that a number
56 of commercial software packages have been often used in the scientific literature to model
57 masonry vaults. These programs are mostly FE codes developed to study concrete structures
58 employing complex plastic-damaging constitutive models: cracks are taken into account as
59 a kind of smeared distortions. The heterogeneity of masonry is not accounted for and
60 isotropic behavior either in the elastic field or at collapse is generally assumed. However, it
61 is worth noting that these techniques of analysis turn out to be adequate if combined with
62 proper engineering reasoning. However, there is still much work to do on the definition of
63 constitutive equations for masonry in the dynamic field. For example, with no claim to be
64 exhaustive, the authors mention that: DIANA TNO [23] and NOSA CNUCE [24] contain
65 specific software developed for studying masonry shells; [17] used ANSYS by assuming for
66 masonry elastic-plastic material models (either Drucker-Prager or Willam-Wranke with low
67 tension strength); [15] used Algor V21 with contact elements; [21] employed Abaqus by
68 adopting a damage-plasticity approach.

69 However, only few of the cited papers are specifically addressed to the seismic analysis
70 of masonry vaults. Moreover, they seem efficient for laboratory samples only, while the
71 seismic behavior of historical masonry vaults strictly relies on the seismic response of the
72 bearing structure. Accordingly, the numerical modeling of a historical masonry vault under
73 earthquake actions cannot disregard the modeling of the bearing structure. Despite the
74 problem importance, the role played by the structure on which the vaults rest has not been
75 thoroughly studied.

76 With this in mind, this paper investigates the capabilities and **limitations** of current
77 computational tools to simulate the seismic-induced damage in complex masonry vaulted
78 structures. The case under study is the Giulio II vault, located in the main tower of the
79 San Felice sul Panaro fortress (Italy) which has been severely damaged by the 2012 Emilia
80 earthquake. The San Felice sul Panaro fortress has been subjected to several recent scientific
81 studies. In particular, advanced FE mesh generation approaches have been proposed and
82 applied to the fortress in [28, 29, 30, 31, 32]. Additionally, thorough numerical investigations
83 on the seismic behavior of the main tower of the fortress have been carried out and some
84 of the results are collected in [33, 34]. Particularly, the influence of adjacent buildings on
85 the dynamic behavior of the main tower have been inspected in [34] where, in order to limit
86 the computational effort, masonry vaults and timber floors have been modeled through
87 equivalent plates (i.e. with the same in-plane stiffness), according to [3].

88 Here, the attention is focused on the modeling and analysis of the Giulio II vault and,
89 particularly, on the interaction between the vault and its bearing system. The developed
90 finite element model includes the 3D geometry of the vault within the geometry of the tower,
91 based on a before-quake survey. Nonlinear static and dynamic analyses are carried out by
92 using a damage-plasticity constitutive law. The results are compared to the vault actual
93 crack pattern as well as to its actual-deformed geometry, based on a post-quake laser scanner
94 survey.

95 The paper is organized as follows. Section 2 presents the case study, Section 3 illustrates
96 the numerical modeling of the vault and Section 4 presents and discusses the numerical
97 results, obtained by both nonlinear static and dynamic analyses. Concluding remarks end
98 the paper (Section 5).

99 **2. Description of the case study**

100 The masonry vault which covers the Giulio II Hall in the main tower of the San Felice sul
101 Panaro fortress (Figure 1), a town located near the city of Modena (Italy), is investigated.
102 Such a cross vault (Figure 2) is located between the II and the III level of the main tower of
103 the fortress (the tower on the right of Figure 1) and is characterized by an almost squared
104 plan of approximately 6.5 meters, small ribs and a fresco (which portraits Saint Francis of
105 Assisi) on its North side (Figure 2(c)). This latter artistic element further increases the
106 heritage value of the case study, as commonly occurs for historical buildings [35, 36].

107 *2.1. Historical notes*

108 The San Felice sul Panaro fortress exhibits a very complex historical evolution along the
109 centuries which also characterizes the Giulio II Hall's vault. Indeed, from the construction,
110 which started in the XIV century, several alterations, modifications and reshuffles have taken
111 place depending on the intended use changes of the parts of the monument. Consequently,
112 the drafting of a very precise and accurate historical reconstruction of the evolution phases
113 of the fortress, and in particular of the studied vault, was substantially impossible due to
114 several lacks in the historical documents.



Figure 1: San Felice sul Panaro fortress.

115 Among the interesting documented events, it is worth noting that in 1511, when San
116 Felice sul Panaro town was involved in conflicts between the Houses of Pico, Pio and Este,
117 Pope Julius II (Giulio II in Italian) sojourned in the fortress and still nowadays there is
118 a room which bears his name (the Giulio II Hall). Indeed, for such an occasion a vaulted
119 room in the main tower of the fortress was prepared and two openings in the room, one as
120 a door (in the North side) and the other as a window (in the South side), were realized by
121 demolishing portions of the walls. Successively, one of the two aforementioned openings was
122 closed.

123 Another relevant event which characterizes the (more recent) history of the main tower
124 (and consequently of the Giulio II Hall's vault) consists of the construction, in 1920, of a
125 heavy cylindrical water reservoir made out of reinforced concrete in the IV level of the tower.
126 The cracking pattern appeared immediately after the first replenishment of the tank (which
127 principally consists in a sub-vertical crack from the V to the III level in the North side)
128 forced the emptying and later the complete dismantlement of the tank and the closing of
129 the cracks.

130 Among the more recent intended use of the Giulio II Hall, in 1999 the room was set
131 up for an archaeological exhibit as depicted in Figure 2(a) and Figure 2(b). In 2012 the
132 fortress, and consequently the Giulio II Hall's vault, was severely damaged by the Emilia
133 earthquake. The last arrangement of the Giulio II Hall before the earthquake is reported in
134 Figure 2(c). More details are reported in the following.

135 2.2. Emilia earthquake damage

136 During the second half of May 2012, the Emilia-Romagna region experienced a strong
137 seismic sequence which particularly damaged the historical architectural heritage of the
138 area [37, 38, 39]. In particular, the biggest quakes occurred within the provinces of Modena
139 and Ferrara. The main shocks have been recorded on May the 20th ($M_w = 5.9$) and May

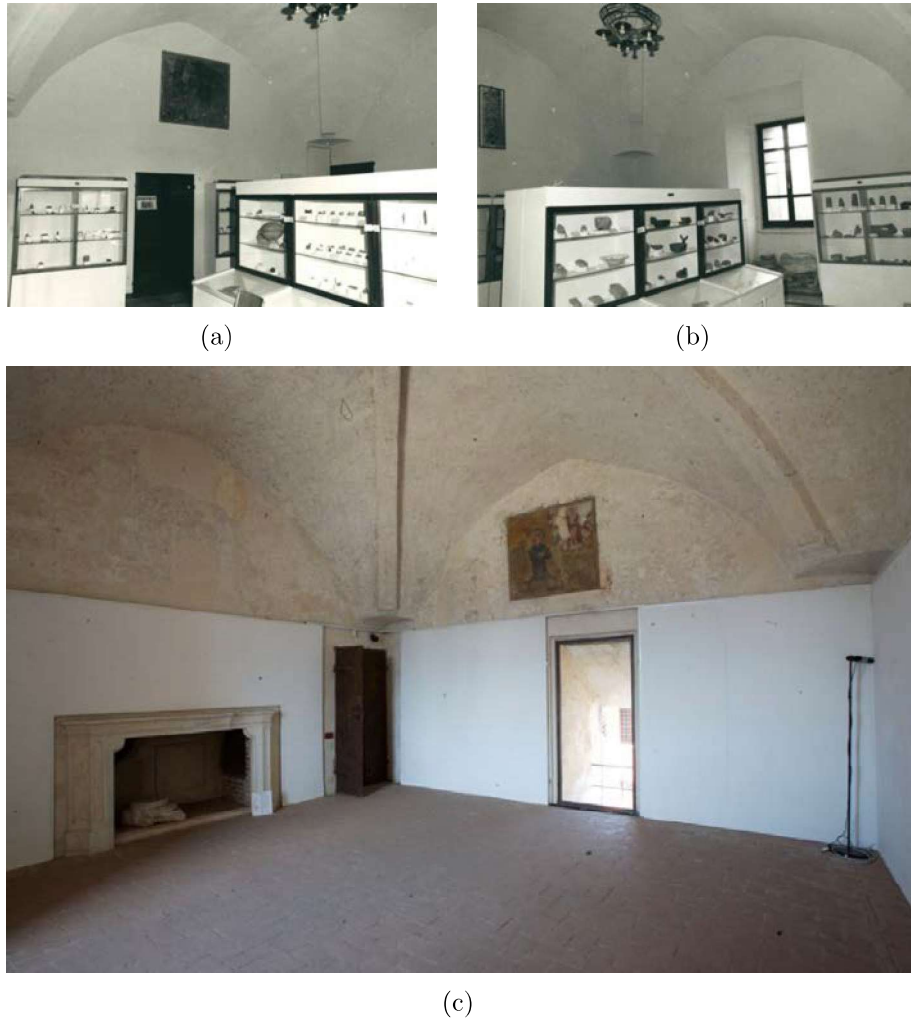


Figure 2: Giulio II Hall before the Emilia Earthquake.

140 the 29th ($M_w = 5.8$) [40, 41], whose epicenters have been located at about 10 and 5 km from
 141 San Felice sul Panaro, respectively [42]. An accurate outline of the damage experienced by
 142 Emilian medieval fortresses due to the seismic sequence is reported in [43].

143 As a result, the fortress presented a widespread damage characterized by the collapse
 144 of the crowning of the minor towers and the appearance of several deep cracks on the
 145 main tower. In particular, the most relevant cracks appeared on the main tower consisted
 146 in diagonal cracks, clearly visible in the lower half of the South (Figure 3(a)) and North
 147 (Figure 3(b)) front. Approximately, these two main cracks are placed in the same plane,
 148 roughly perpendicular to the line individuated by points H and L in Figure 3(c).

149 In the Giulio II Hall (whose position is highlighted by red frames in Figures 3(a) and
 150 3(b) and by a blue dotted frame in Figure 3(c)), the vault which cover the room has been
 151 interested by the presence of deep cracks and partial collapses, see Figures 4 and 5. In
 152 general, it can be noted that the big flue chimney which is present inside the West wall of

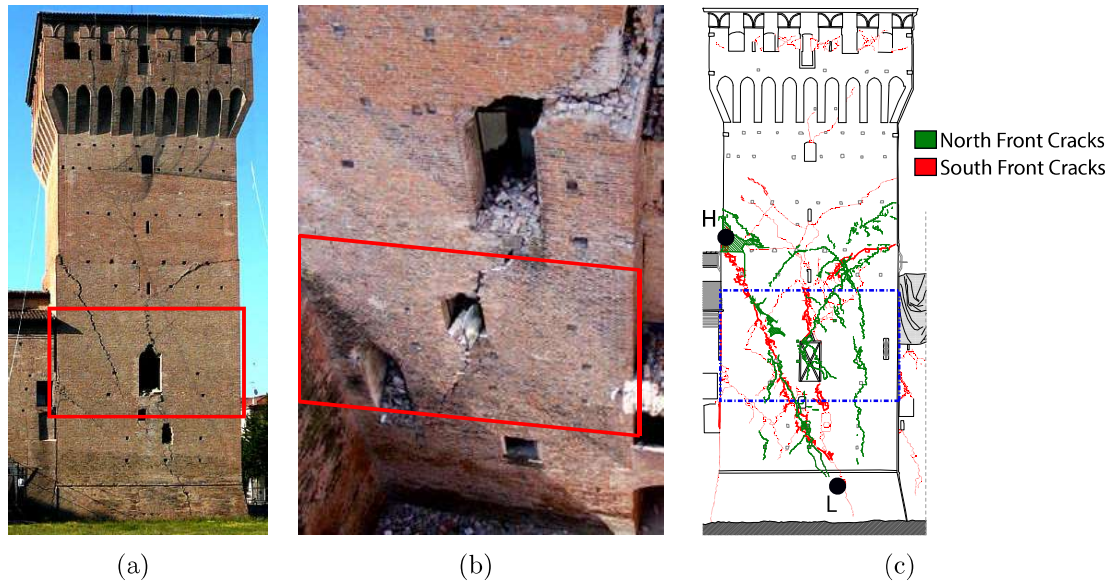


Figure 3: Main tower of San Felice sul Panaro fortress after the Emilia earthquake (2012): (a) Main tower's South front, (b) North front and (c) South and North fronts cracks superposition.

153 the tower could have weakened the masonry structure [43]. More specifically, by inspecting
 154 Figure 4, the presence of four major failures of the vault, which are highlighted by the letters
 155 a, b, c and d and are magnified in Figure 5, can be noted. In particular, by inspecting the
 156 vault intrados the more severe damages can be summarized as follows:

- 157 (a) a collapse of a part of the vault near the flue chimney (West side), see Figure 5(a);
- 158 (b) a main and very severe crack which substantially cut in two parts the Giulio II Hall,
 159 highlighted by blue arrows in Figure 4, see details in Figure 5(b);
- 160 (c) the detachment and collapse of the ribs, see Figure 5(c);
- 161 (d) a deep crack which starts from the upper extremity of the South-side-window, passes
 162 near the vault's keystone and ends in the opposite side, see Figure 5(d).

163 The aforementioned damage pattern could be plausibly explained as follows:

- 164 • the partial collapse (a) could be due to the presence in **previous** times of an opening in
 165 the vault for a stairwell inside the tower. Successively, due to the change of the tower's
 166 intended use from defense purposes to residence the stair could have been removed
 167 and the vault could have been closed. However, a discontinuity between the reshuffled
 168 part and the original one due to a non-optimal tothing could have represented a
 169 weakness zone during the seismic excitation leading to a local collapse. Moreover, the
 170 aforementioned presence of the chimney hole in the West side could have weakened
 171 the purpose of the bearing structure in such vault portion;

- 172 • the main crack (b) which passes through the vault along the N-S direction substantially
173 links the two major diagonal cracks experienced by the main tower's South side (Figure
174 3(a)) and North side (Figure 3(b)), as also shown in Figure 3(c);
- 175 • the detachment and collapse of the ribs (c) could be due to the fact that in this
176 case the vault's ribs were added after the vault's construction. The role of the ribs
177 in the mechanical behavior of masonry cross vaults has been the subject of intense
178 debates since the 19th century [9, 44] and numerical analyses have been employed to
179 investigate their effects [45]. However, the hypothesis of later decorative non-structural
180 ribs is made by the authors due to the presence of an almost regular layer of a relatively
181 recent cementitious mortar between the ribs and the vault (Figure 5(c)).
- 182 • the crack (d) starts from the window in the South side of the tower (Figure 5(d)).
183 Such an opening was obtained by demolishing a portion of the wall, as said before.
184 This fact, as well as the absence of an adequate lintel over the opening, could have
185 weakened this part of the structure.

186 Summing up, it emerges that the damages experienced by the vaulted structure during
187 the earthquake are strictly linked to its historical evolution phases (e.g. failures (a), (c)
188 and (d) in Figures 4 and 5) and to the seismic-induced damage experienced by the masonry
189 tower, i.e. the vault's bearing structure (e.g. failure (b) in Figures 4 and 5).

190 Finally, it has also to be pointed out that the perimeter wall of the East side of the
191 Giulio II Hall detached from the floor of approximately 6 centimeters (Figure 6), while in
192 the other sides no relevant detachments appeared.

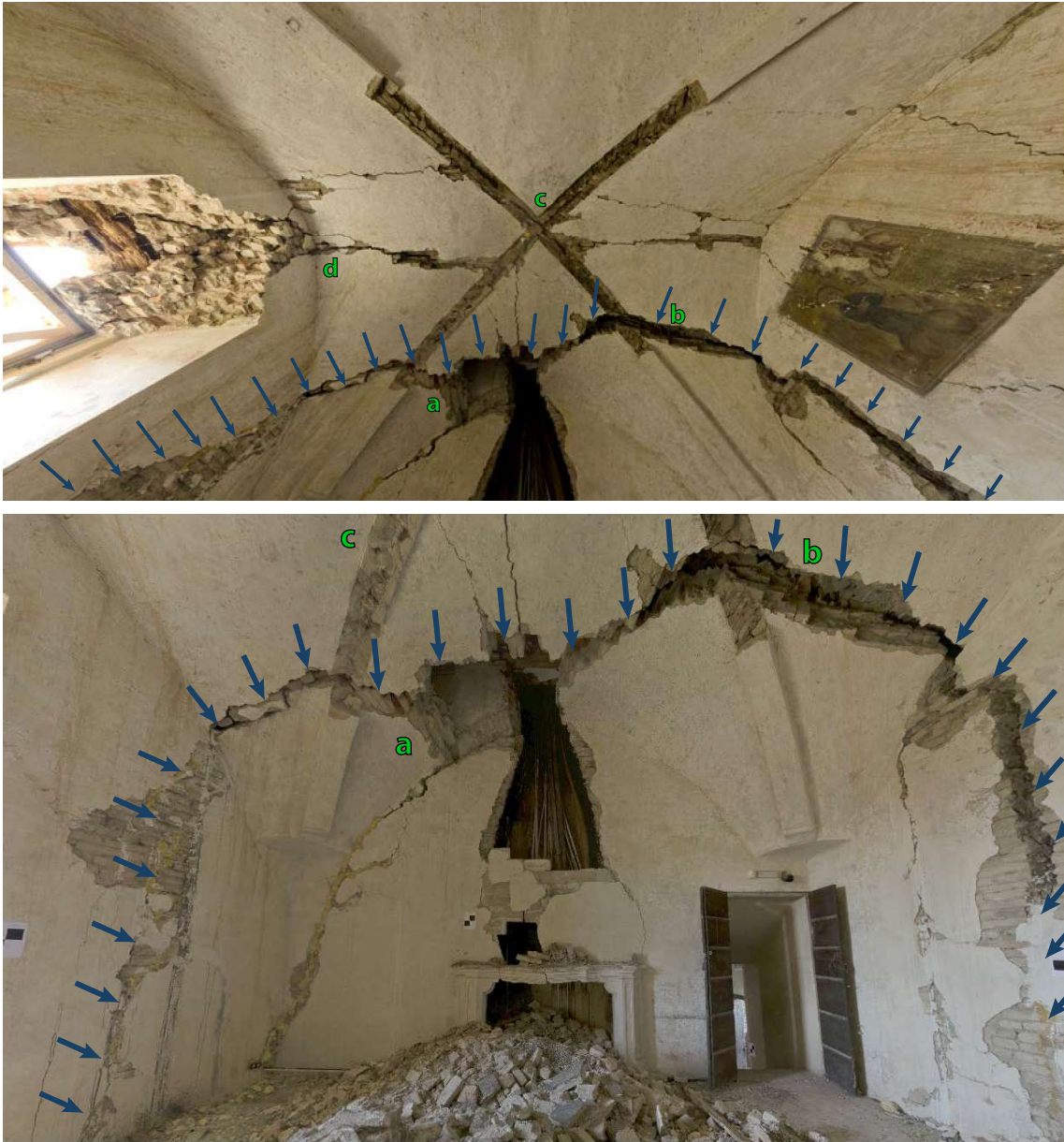
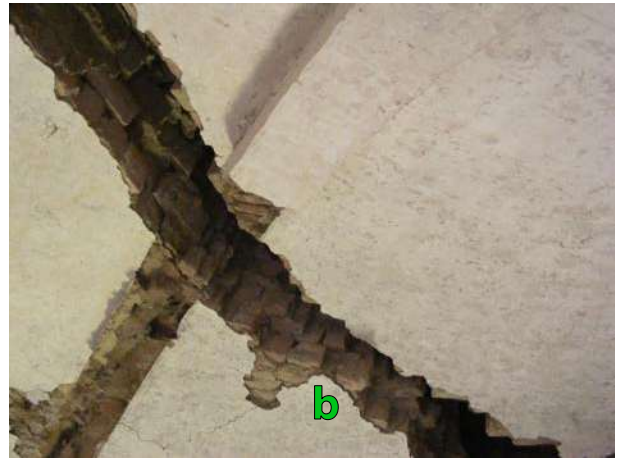


Figure 4: Giulio II Hall's vault after the Emilia earthquake.



(a)



(b)



(c)



(d)

Figure 5: Giulio II Hall's vault major failures. The letters a, b, c and d are referred to Figure 4.

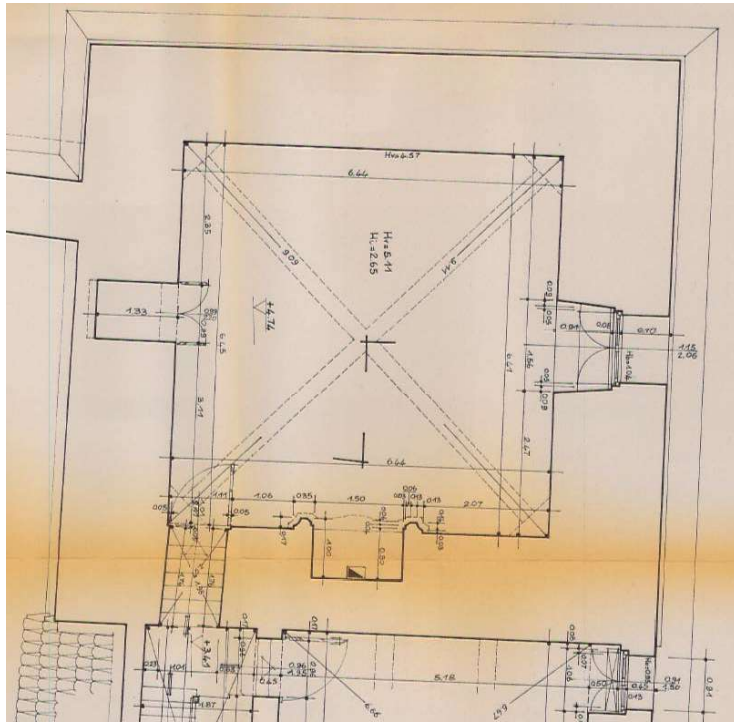


Figure 6: Detachment between the floor and the East wall of the Giulio II Hall after the Emilia earthquake.

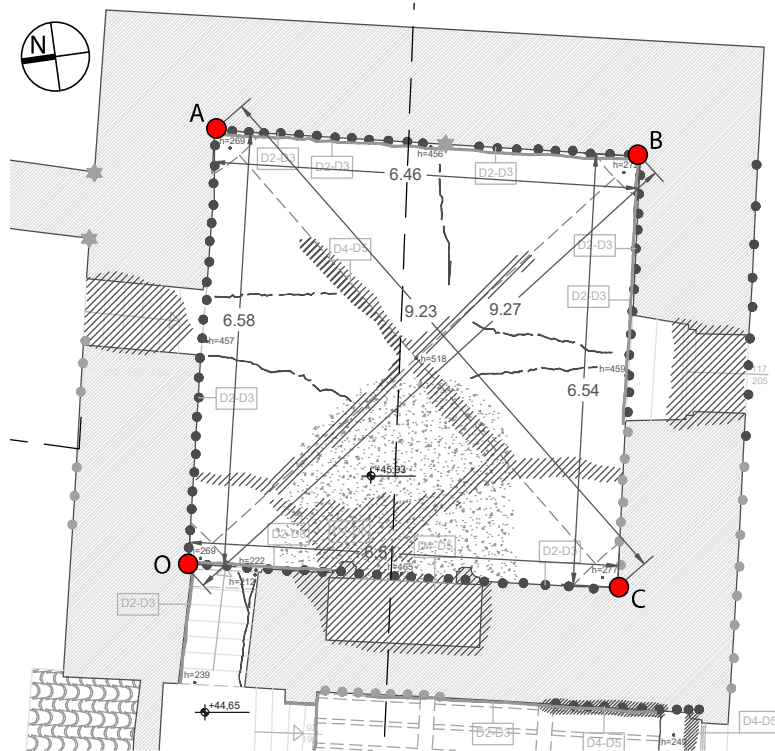
193 *2.3. Vault surveys*

194 Aiming at quantitatively assessing the effects of the seismic action on the vault, a com-
195 parison between two different surveys, one made in 1985 (the only available before the
196 earthquake) and one made in 2012 after the earthquake, has been carried out in terms of
197 vault's abutments relative displacements. However, it has to be pointed out that the two
198 geometrical surveys were conducted in different times with completely different techniques
199 characterized by different accuracy. In particular, the first one was performed in 1985 with
200 classical hand-based survey procedure, whereas the second one was carried out in 2012 with
201 the laser scanner technique. Coarsely, if the first one guarantees the accuracy of some cen-
202 timeters, the second one guarantees the accuracy of some millimeters. The comparison of
203 the Giulio II Hall's vault plan before and after the seismic event is reported in Figure 7, while
204 the comparison of its N-S section and its E-W section is reported in Figure 8. Concerning
205 Figure 7, it should be noted that it is not precisely known at what height the plan of Figure
206 7(a) (1985 survey) is taken (there is about 1 m of uncertainty).

207 The resulting actual relative displacements of the vault's abutments measured between
208 the plan of Figure 7(a) and the plan of Figure 7(b) are collected in Table 1. The letters
209 O, A, B and C are referred to Figure 7(b). As can be noticed in Table 1, relevant relative
210 displacements have been recorded: the two diagonals of the vault (OB and CA) measure an
211 increase of length of more than 14 cm; the sides along the E-W direction measure an increase
212 of length of 13 cm (OA and BC) whereas the sides along the N-S direction presented shorter
213 relative displacements of 7 (CO side) and 2 cm (AB side). Due to the different nature of the
214 two compared surveys, the quantities collected in Table 1 have to be considered as affected by
215 some uncertainties of the order of magnitude of centimeters. No other experimental findings
216 are available for the Giulio II Hall's vault (in terms, for instance, of endoscope tests) since its
217 compromised safety condition did not permit any experimental test. Therefore, no detailed
218 information of the stratigraphy of the vault are available. However, by visually inspecting
219 the vault's deep cracks, the assumption of 42 cm thickness has been made.

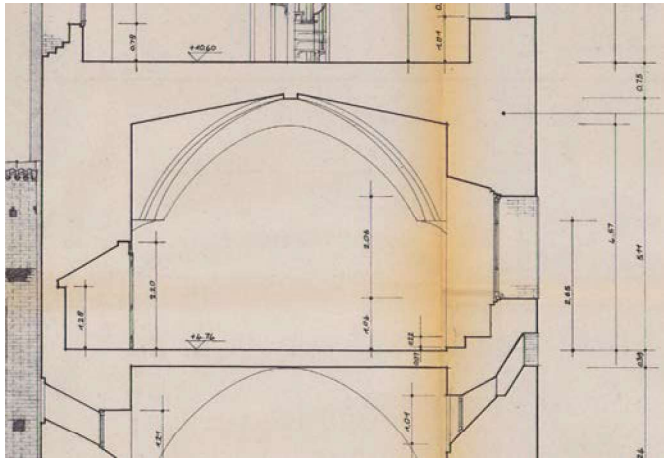


(a)

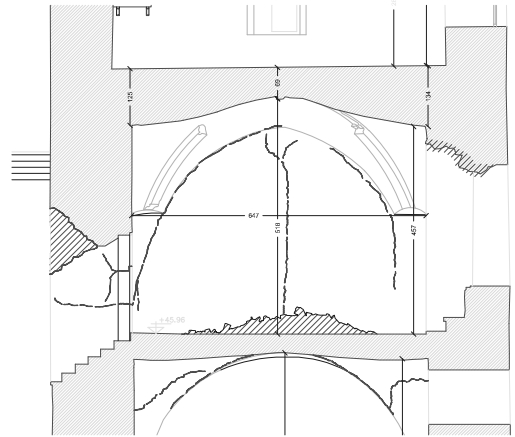


(b)

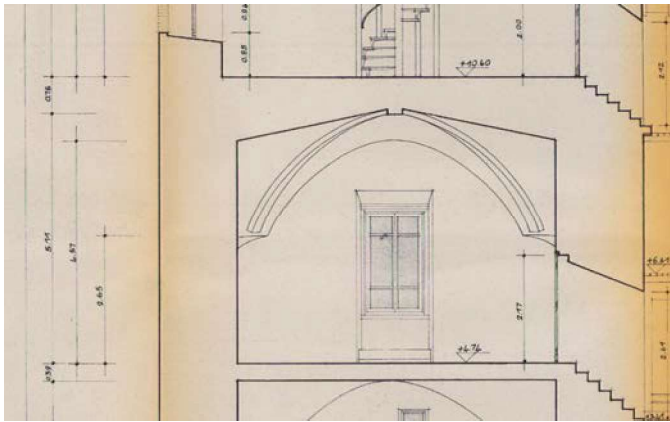
Figure 7: Giulio II Hall's vault plan: (a) before the earthquake (1985 survey) and (b) after the earthquake (2012 laser scanner survey).



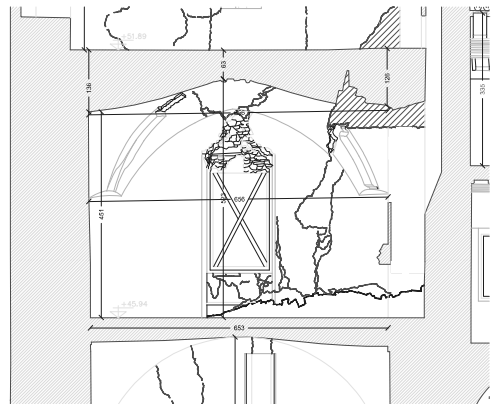
(a)



(b)



(c)



(d)

Figure 8: Giulio II Hall's vault sections: N-S section (a) before (1985 survey) and (b) after the earthquake (2012 laser scanner survey), E-W section (c) before and (d) after the earthquake.

Table 1: Relative displacements of the vault’s abutments according to the measurements made before and after the earthquake, see Figure 7.

Vault’s side	1985 survey	2012 survey	Rel. displ.
OA	645 cm	658 cm	13 cm
AB	644 cm	646 cm	2 cm
BC	641 cm	654 cm	13 cm
CO	644 cm	651 cm	7 cm
OB	911 cm	927 cm	16 cm
CA	909 cm	923 cm	14 cm

220 3. Numerical modeling

221 The numerical modeling of the case-study has been carried out through a 3D FE model.
 222 The geometry has been obtained through a 3D CAD modeling, based on the 1985’s survey
 223 (before earthquake). As anticipated, the vault 3D FE model is included within the 3D FE
 224 model of the tower having, hence, a unique detailed model. This choice is crucial in order
 225 to investigate how the seismic behavior of the tower influences the seismic-induced damage
 226 of secondary elements such as vaults, given their mutual and direct interaction.

227 Based on the vault’s survey, the following assumptions in the numerical modeling of the
 228 vault are made:

- 229 (i) absence of ribs, since they appear to have only a decorative function, see Figure 5(c);
- 230 (ii) vault without any hole, to model the vault condition before the earthquake;
- 231 (iii) equivalent masses have been applied on the vault in order to take into account the infill
 232 weight, made up of a heterogeneous material, and the pavement.

233 The 3D FE mesh of the tower, which includes the vault, is depicted in Figure 9. Hori-
 234 zontal axes of the model (X and Y) substantially correspond to the cardinal directions (S-N
 235 and E-W, respectively). In order to maintain acceptable the computational cost, the mesh
 236 design aimed at guaranteeing a fine description of the vault and a coarser description of the
 237 tower. In any case, the presence of at least three tetrahedron elements on the thickness of
 238 the tower trunk’s walls (Figure 9(c)) guarantees a reasonable accuracy in terms of global
 239 structural behavior of the tower. The adopted FE mesh counts 20,393 nodes and 79,459
 240 tetrahedron elements.

241 As extensively discussed in [34], the seismic behavior of the tower is influenced by the
 242 presence of adjacent structural elements. Therefore, some portions of the adjacent buildings
 243 have been considered in the FE model (Figure 9(a)). Therefore, in order to approximately
 244 take into consideration the stiffness of the non-meshed parts of the fortress while keeping
 245 simple the model and low the computational effort, rollers have been inserted on the surfaces
 246 highlighted by purple arrows, see Figure 9(a).

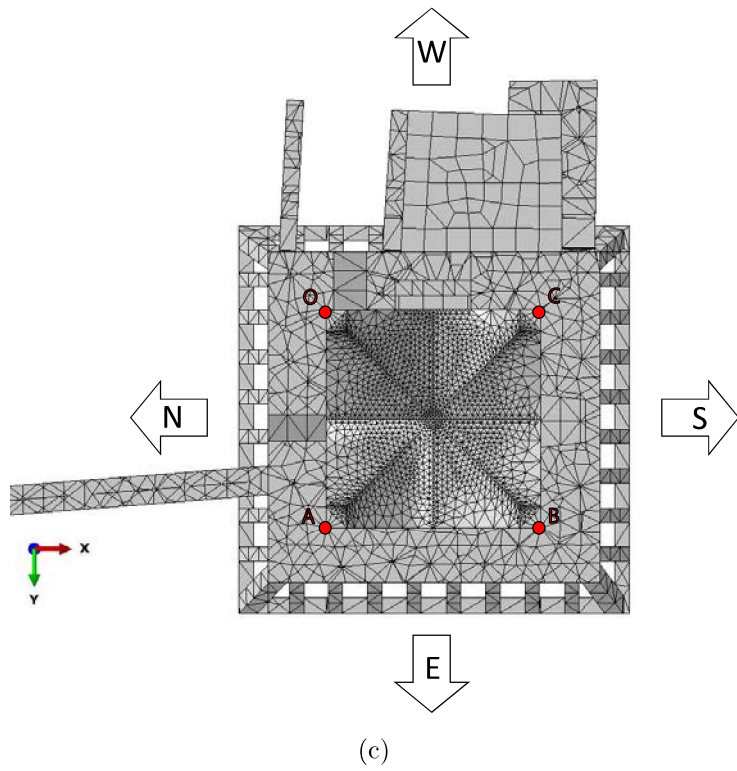
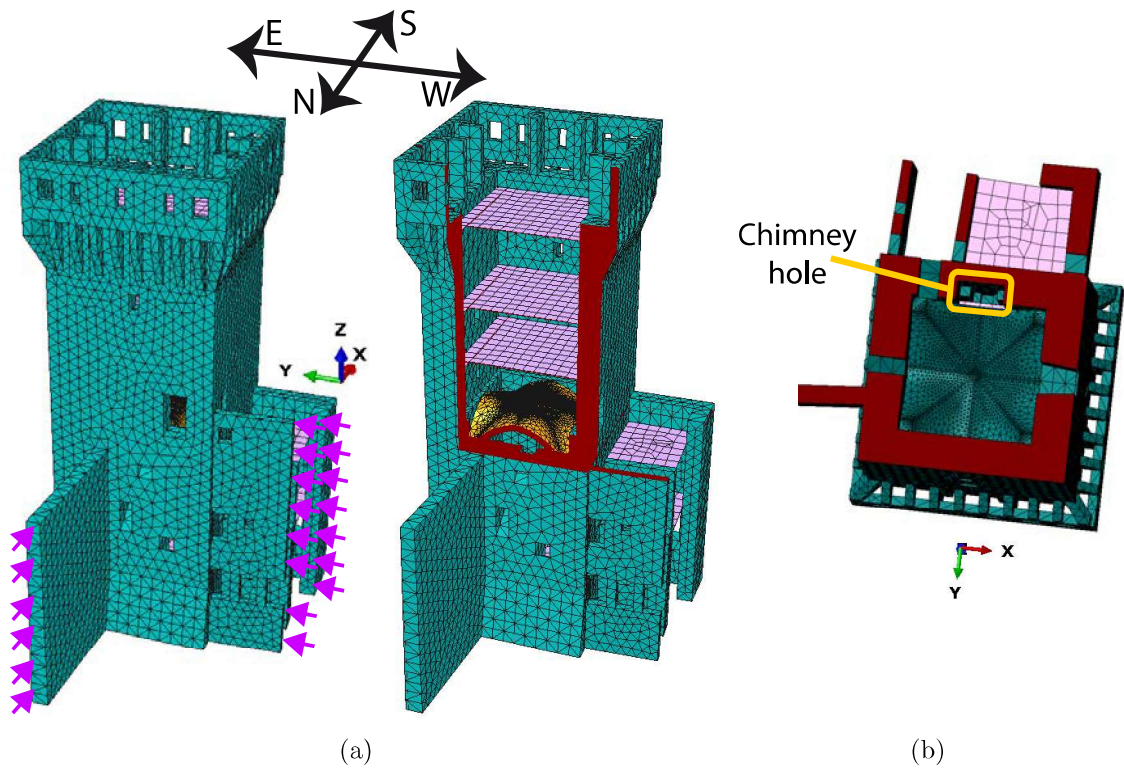


Figure 9: Vault and the tower's 3D FE numerical modeling: (a) 3D FE mesh, (b) particular of the chimney hole and (c) Giulio II Hall's vault mesh with the considered points of the model, bottom view.

247 *3.1. Constitutive model for masonry*

248 The implementation of constitutive models able to simulate the mechanical behavior of
 249 masonry is a challenging task, especially in dynamic simulations. Masonry is characterized
 250 by an orthotropic behavior, both in the linear and nonlinear fields [46]. Interesting progresses
 251 have been achieved in masonry orthotropic damage models [47], even if their application to
 252 3D large-scale problems appears inefficient due to their high computational demand and,
 253 hence, their limitation to small-scale structures. For this reason, the use of isotropic damage
 254 models for full-scale masonry structures is commonly accepted [29]. **In particular, the case
 255 study presents very thick walls with a chaotic masonry texture, for which a orthotropic
 256 model appears as questionable as an isotropic one.**

257 In this paper, isotropic material behavior has been assumed and the Concrete Damage
 258 Plasticity (CDP) model, available within the Abaqus software [48], has been used to perform
 259 nonlinear static and dynamic analyses. Even though such a model has been designed for
 260 concrete, the authors experienced successful results for masonry structures, see for instance
 261 [29, 34]. The material properties as well as the model parameters used in numerical analyses
 262 are collected in Table 2. In the following, the main model parameters are briefly discussed.
 263 The interested reader is referred to [48, 29] for more details.

Table 2: Material properties and model parameters used in numerical analyses.

Material properties and parameters		Values	
Young's modulus E_0 [MPa]		1500	
Poisson's ratio		0.2	
Dilatation angle		10	
Eccentricity		0.1	
f_{b0}/f_{c0}		1.16	
K_c		2/3	
Viscosity Parameter		0.002	
Tensile mono-axial curve		Compression mono-axial curve	
Stress [MPa]	Inelastic strain	Stress [MPa]	Inelastic strain
0.12	0	2.0	0
0.0012	0.001	2.4	0.002
0.0012	0.003	0.2	0.007

264 The CDP model uses a Drucker-Prager strength criterion, modified through a parameter,
 265 K_c , which represents the ratio between the distance from the hydrostatic axis of the maxi-
 266 mum compression and tensile stress [48, 49, 50]. Furthermore, the CDP model considers **an**
 267 eccentricity parameter, which serves to regularize the tensile corner, and a nonassociated po-
 268 tential flow rule for the elasto-plastic deformation part. Such a feature gives the possibility
 269 to account for the dilatance phenomenon, governed by the dilatation angle, **which has been**
 270 **assumed equal to 10 degrees** [51, 52]. The ratio between the bi-axial, f_{b0} , and mono-axial,
 271 f_{c0} , compression strength has been supposed according to [46]. In order to better ensure the
 272 algorithm convergence in the nonlinear range, viscoplastic regularization is implemented and

273 is defined through a viscosity parameter, assumed in agreement with the outcomes emerged
274 in [29].

The damage effects consist in the gradually reduction of the Young's modulus every time the strain reaches a critical value. The following standard relationships define the mono-axial tensile σ_t and compressive σ_c stresses:

$$\sigma_t = (1 - d_t)E_0(\varepsilon_t - \varepsilon_t^{pl})$$

$$\sigma_c = (1 - d_c)E_0(\varepsilon_c - \varepsilon_c^{pl})$$

275 where E_0 is the initial elastic modulus, d_t and d_c are the scalar damage variables in tension
276 and in compression, ε_t and ε_c are the total strain in tension and in compression, ε_t^{pl} and ε_c^{pl}
277 are the equivalent plastic strain in tension and in compression. In addition, such a constitutive
278 model accounts for the effect of closing of previously formed cracks under dynamic loading
279 conditions, which results in the recovery of the compression stiffness.

280 **The choice of mechanical properties for historical masonry is a challenging task. The**
281 **availability of extensive destructive and non-destructive in situ tests should help the analyst**
282 **in calibrating the mechanical model. However, such tests are generally expensive and inva-**
283 **sive. Furthermore, their reliability for historical buildings is reduced due to the irregularities**
284 **which characterize such structures.**

285 No comprehensive results of experimental tests were available for the case study and,
286 aiming at defining the material mechanical properties, it was essential to refer to national
287 codes for existing buildings [54, 55, 56]. Material properties have been assumed considering
288 the lowest level of knowledge (the so-called LC1 in [54]) and a clay bricks masonry with
289 very poor mortar and quite regular texture. In addition, tensile and compression mono-
290 axial curves (see Table 2) have been implemented according to [53], where the numerical
291 investigations of two coeval case studies of similar masonry towers, located approximately
292 at 10 km far from the case study under consideration, have been presented. Indeed, it is
293 worthy to point out that medieval buildings in the area stricken by the 2012 seismic sequence
294 exhibited a quite similar masonry strength [57]. Finally, a linear reduction until 90% of the
295 Young's modulus with respect to E_0 for an inelastic deformation equal to the lower extremity
296 of compressive and tensile softening branches (Table 2) has been assumed.

297 4. Numerical analyses

298 In order to investigate the seismic-induced damage in the considered historical cross
299 vault, nonlinear static and dynamic analyses are performed on the 3D FE model depicted
300 in Figure 9. The analyses are especially focused on the mutual interaction between the
301 tower and the vault and, hence, particular attention is devoted to the vault abutments
302 displacements. Figure 9(c) shows the considered points of the vault abutments used to
303 compute displacements in the following analyses.

304 4.1. Nonlinear static analyses

305 Nonlinear static analyses have been performed by applying along the tower's principal
306 axes horizontal forces derived by the assumption of a linear variation of acceleration along
307 the height (called G1 in [54]). This distribution is, generally, the most critical for such kind
308 of structures [52]. Such analyses are pushed over a drop of 20% of the base shear of the
309 tower.

310 Figures 10, 11, 12 and 13 illustrate the base shear-vault abutments displacements curves
311 for the East, West, South and North load cases, respectively. On one hand, in the East
312 (Figure 10) and South (Figure 12) load cases the vault's abutments tend to significantly
313 distance themselves. In particular, points belonging to the side which is pushed outwards
314 (i.e. A and B for the East load case and B and C for the South load case) show larger
315 displacement than the others of the same load case. Furthermore, points belonging to the
316 same side perpendicular to the force direction record similar displacement in this two load
317 cases. On the other hand, the West load case (Figure 11) shows a quasi-linear behavior
318 and, also after the failure of the tower, the relative displacements of the abutments are
319 considerably lower than the other load cases. As can be particularly noted in Figure 11, the
320 displacements at null base shear are different from zero. This is due the fact that before
321 pushing the structure horizontally, the model is subjected to a vertical gravitational load
322 which produces slight horizontal displacements of the vault abutments. Finally, concerning
323 the North load case (Figure 13), it appears that Point O's displacement is clearly larger than
324 Point A's one, although they belong to the same side perpendicular to the horizontal force,
325 highlighting a torsion of the tower. This phenomenon could be addressed to the presence of
326 the curtain wall in the North side, as further discussed in the following.

327 Summing up the results, Figure 14 illustrates the base shear-relative displacements curves
328 between the points which belong to vault sides parallel to the applied force. It is worth-
329 noting that for the East directed force the abutments tend to distance themselves for base
330 shear values slightly lower than the other cases. In addition, both the load cases East and
331 South show similar relative displacement between the two sides parallel to the applied force
332 for each analysis (i.e. between AO and BC and between CO and BA). In particular, in the
333 East load case the side AO gains distance earlier than side BC, plausibly due to the fact
334 that the North side of the tower presents three almost aligned openings (see Figure 9) which
335 reduce the capacity of the structure's side. Furthermore, concerning the North load case it
336 can be noted that, as already mentioned before, the side OC records relative displacement
337 considerably greater than the other side AB. This effect could be reasonably addressed to
338 the presence of the curtain wall in the North side of the tower (see Figure 9(a)) which
339 acts as a North directed displacement constraint and limits the abutment A displacements.
340 Finally, in the West load case the effect of the constraint offered by the tower's adjacent
341 building in the West side (Figure 1) is essential and consists in a substantial limitation of the
342 absolute displacement of the vault abutments. In particular, such a constraint almost exactly
343 acts until the height of the vault abutments, see Figure 9. Consequently, the abutments'
344 displacements are considerably limited (Figure 11). Moreover, the constraint offered by the
345 adjacent building is overall uniform and no significant torsion of the tower is recorded, see
346 Figure 14.

347 Table 3 collects the relative displacements of the vault's abutments at the ultimate
348 displacement of the tower, i.e. when the base shear shows a drop of 20%. Such displacements
349 are also highlighted on the curves of Figure 14 through a hexagon. As can be noted, the East
350 and South load cases show greater relative displacements at the ultimate condition than the
351 other load cases (included between 5.8 and 7.4 cm). This fact could also be addressed to
352 the presence of adjacent structural elements in the other directions.

353 In Figures 15, 16, 17 and 18, damage contour plots of the four load cases (East, West,
354 South and North, respectively) are reported for three subsequent simulation instants. In
355 particular, in order to compare the evolution of the damage for each analysis these plots
356 have been taken (a) at a step right after leaving the linear regime, (b) at an intermediate
357 step between the first instant and the ultimate condition and (c) at the ultimate condition
358 of the tower.

359 Concerning the East load case, the damage contour plots (Figure 15) show the develop-
360 ment of a crack in the intrados which is perpendicular to the East direction. In particular,
361 the development of this crack is already clear at the first considered instant, see Figure 15(a).
362 In addition, such a crack is in good agreement with the actual crack pattern experienced
363 by the vault, for instance compare Figure 15(b) with Figure 4 (failure (b)). Furthermore,
364 from the second instant on out it appears a crack perpendicular to the East direction also in
365 the vault extrados, see the section view of Figures 15(b) and 15(c). Although no reference
366 is available for the vault extrados actual crack pattern, by inspecting Figure 6 it clearly
367 appears that the main crack (b) crosses the whole thickness of the vault.

368 As far as the West directed force analysis is concerned, the strong interaction with the
369 adjacent building, which deeply limits the structure displacements along the West direction,
370 restricts the damage in the higher part of the tower's trunk and no significant cracks appear
371 in the vault (Figure 16).

372 For what concern the South load case, as already noted in Figure 14, the points of the
373 sides parallel to the force direction tend to distance themselves in a similar way. This is also
374 confirmed by the damage contour plots of Figure 17 which show the progressive development
375 of a crack in the intrados perpendicular to the South direction.

376 Concerning the North load case, due to the strong interaction with the curtain wall, the
377 torsion of the tower is recorded (as reported in Figure 14) and the damage pattern of the
378 vault (Figure 18) is conditioned by this effect. Indeed, in the vault intrados it emerges a
379 crack which approximately tends to link the contact point with the curtain wall and the
380 point C (Figure 9(c)) of the vault, see the progression of such a crack in Figure 18. This
381 crack is in agreement with the actual crack specular to the failure (d) in Figure 4.

382 Summing up, nonlinear static analyses seem to be qualitatively able to investigate the
383 main weaknesses of the vault.

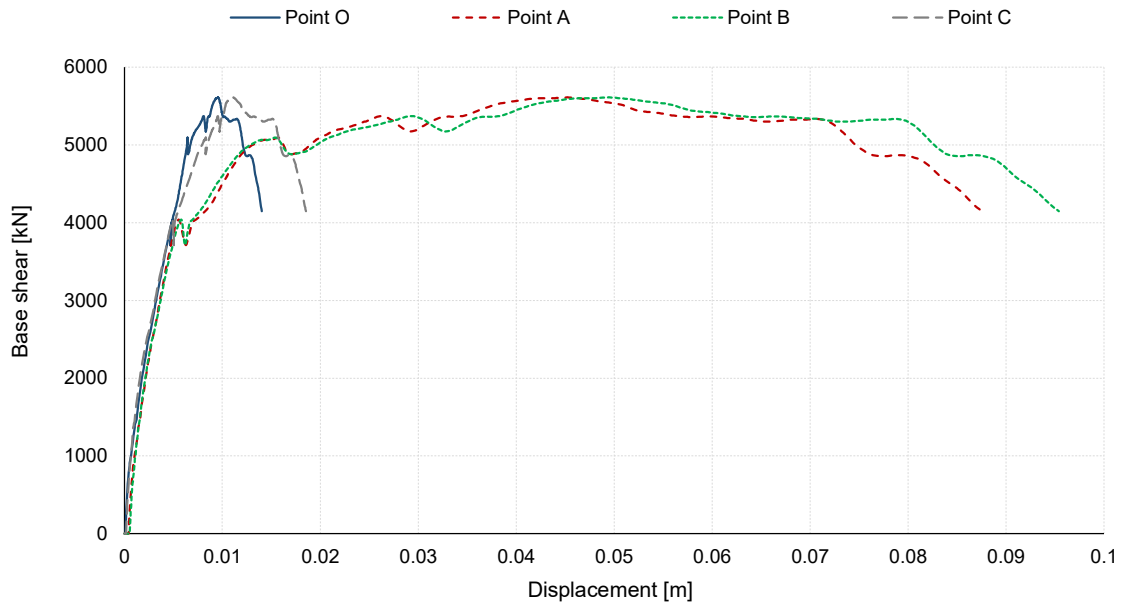


Figure 10: Base shear-displacement of the vault's abutments curve of the points depicted in Figure 9(c), East directed force.

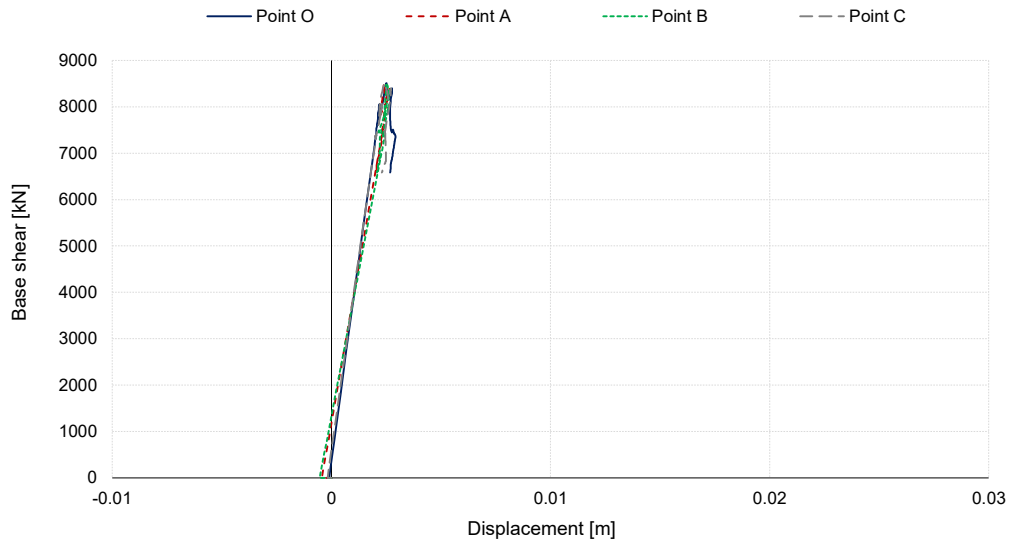


Figure 11: Base shear-displacement of the vault's abutments curve of the points depicted in Figure 9(c), West directed force.

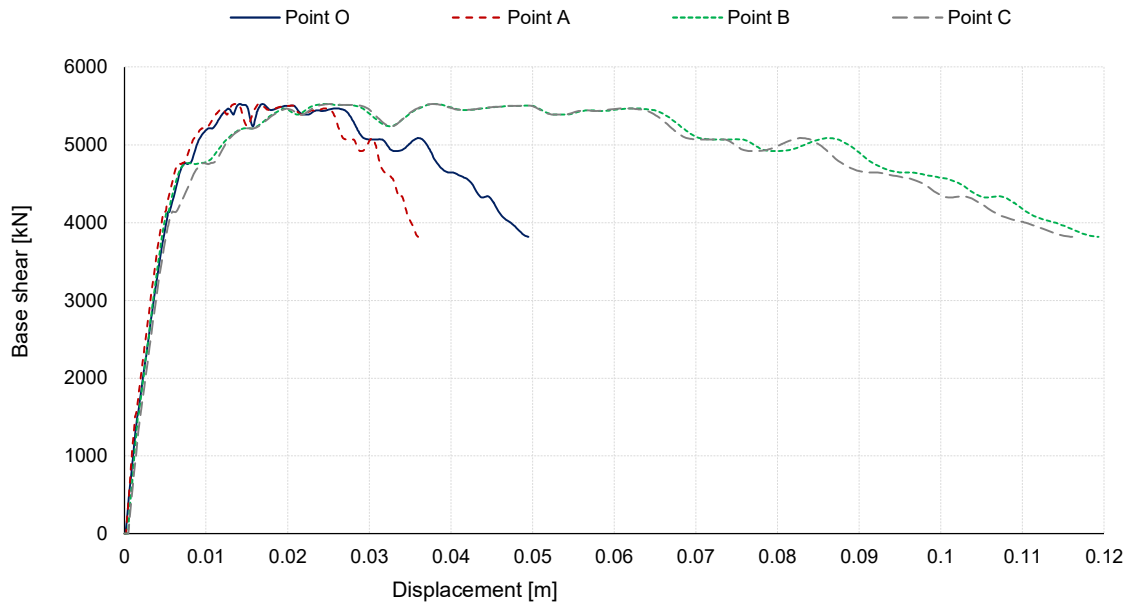


Figure 12: Base shear-displacement of the vault's abutments curve of the points depicted in Figure 9(c), South directed force.

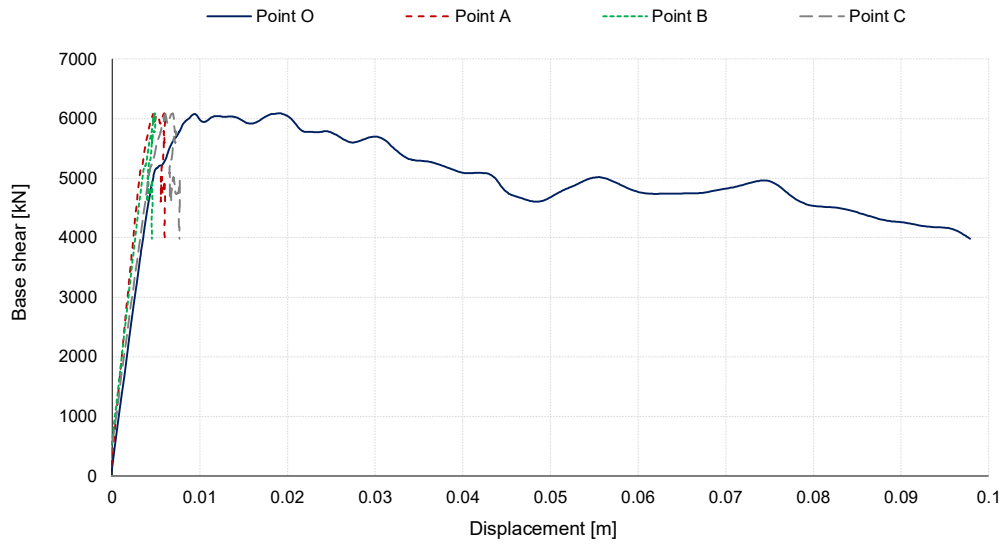


Figure 13: Base shear-displacement of the vault's abutments curve of the points depicted in Figure 9(c), North directed force.

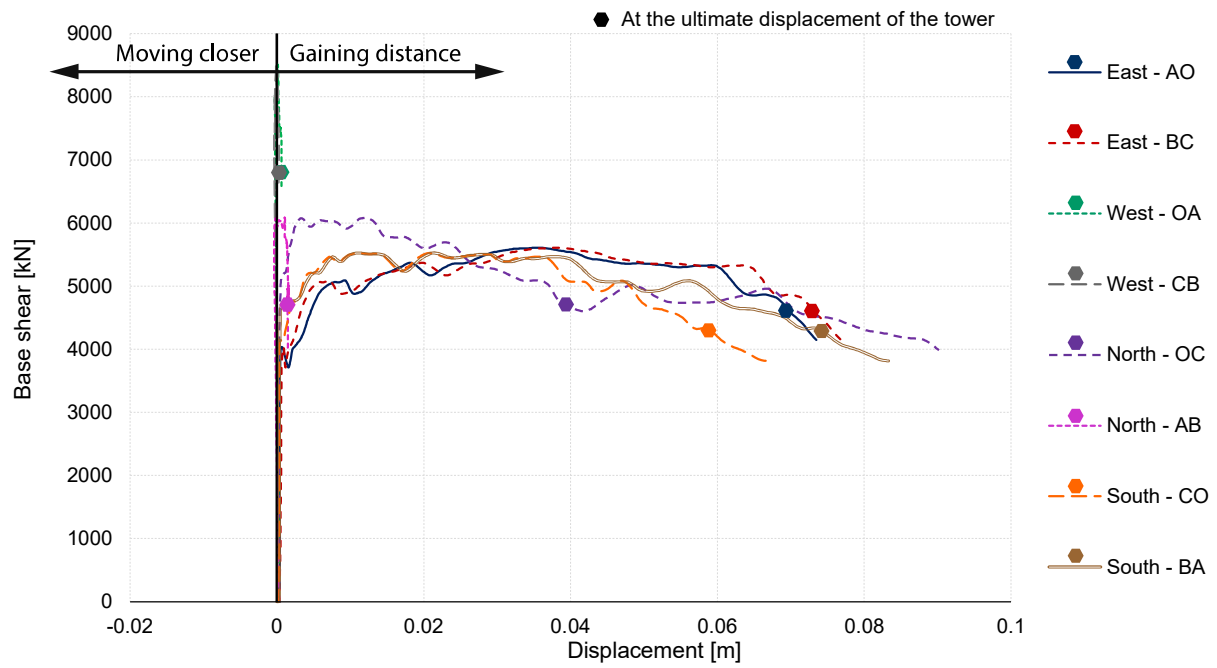


Figure 14: Base shear-relative displacement of the vault's abutments curves between the points depicted in Figure 9(c).

Table 3: Relative displacements of the vault's abutments at the ultimate displacement of the tower.

Direction	Side	Relative displacement
E	ΔAO	6.9 cm
E	ΔBC	7.3 cm
W	ΔOA	0.1 cm
W	ΔCB	0.1 cm
N	ΔOC	3.9 cm
N	ΔAB	0.2 cm
S	ΔCO	5.8 cm
S	ΔBA	7.4 cm

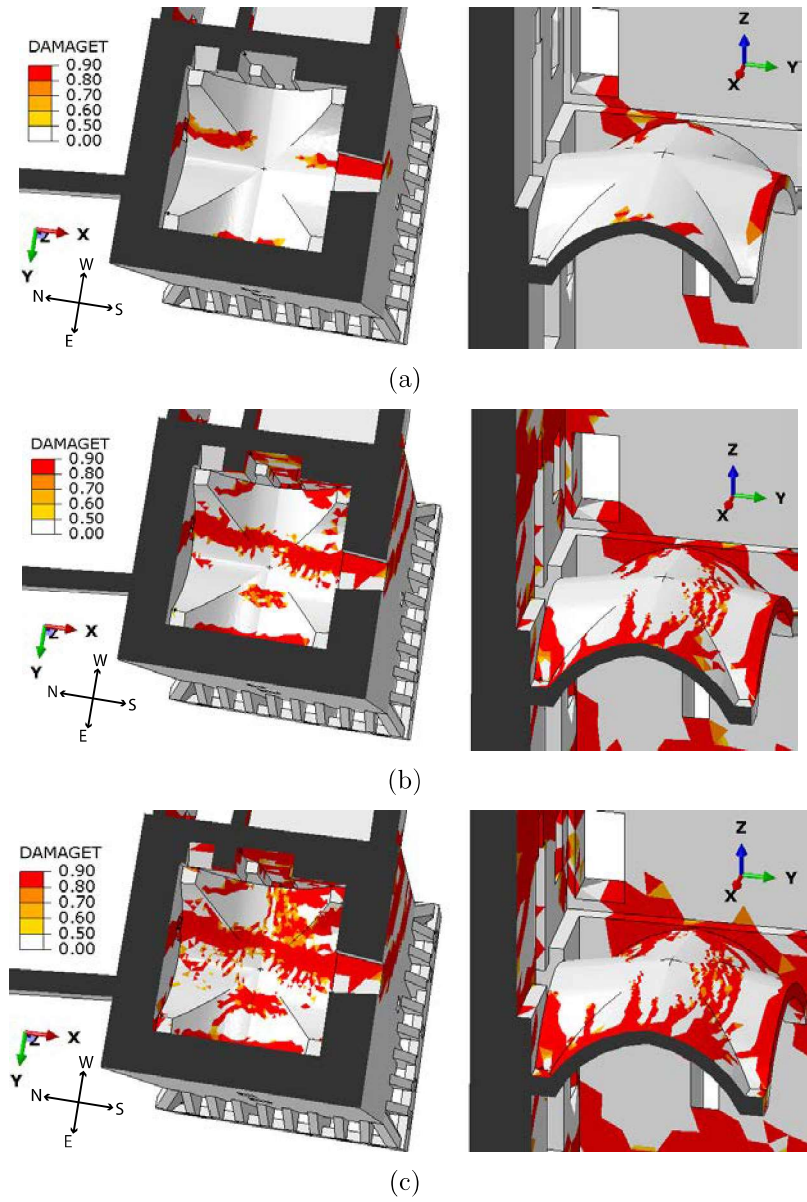


Figure 15: Nonlinear static damage contour plots of the Giulio II Hall's vault, East directed force. Bottom views (left) and section views (right).

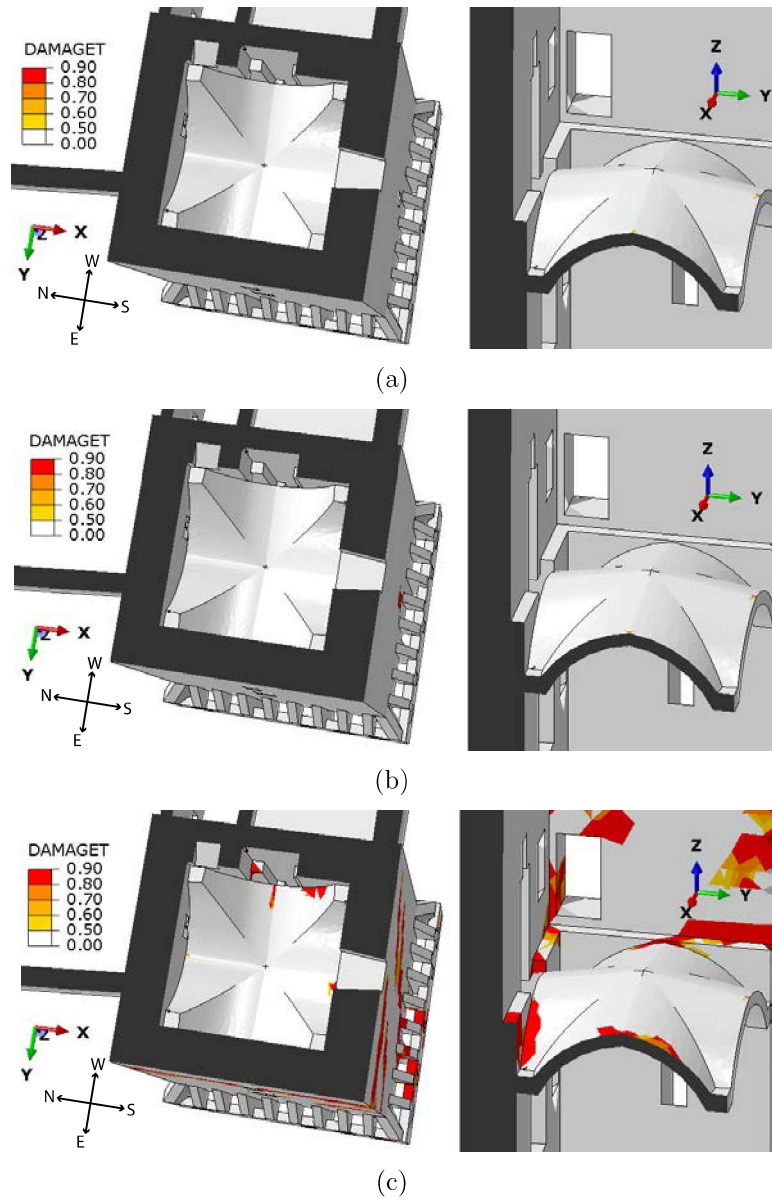


Figure 16: Nonlinear static damage contour plots of the Giulio II Hall's vault, West directed force. Bottom views (left) and section views (right).

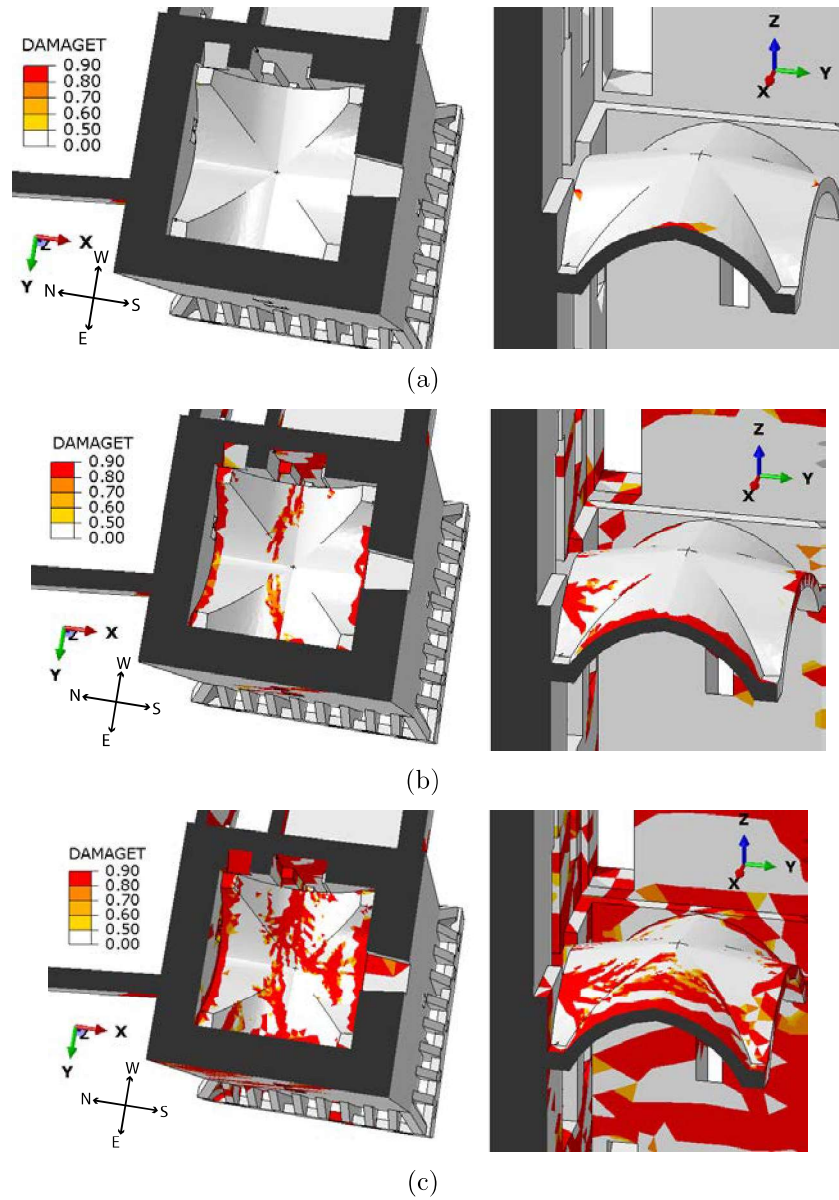


Figure 17: Nonlinear static damage contour plots of the Giulio II Hall's vault, South directed force. Bottom views (left) and section views (right).

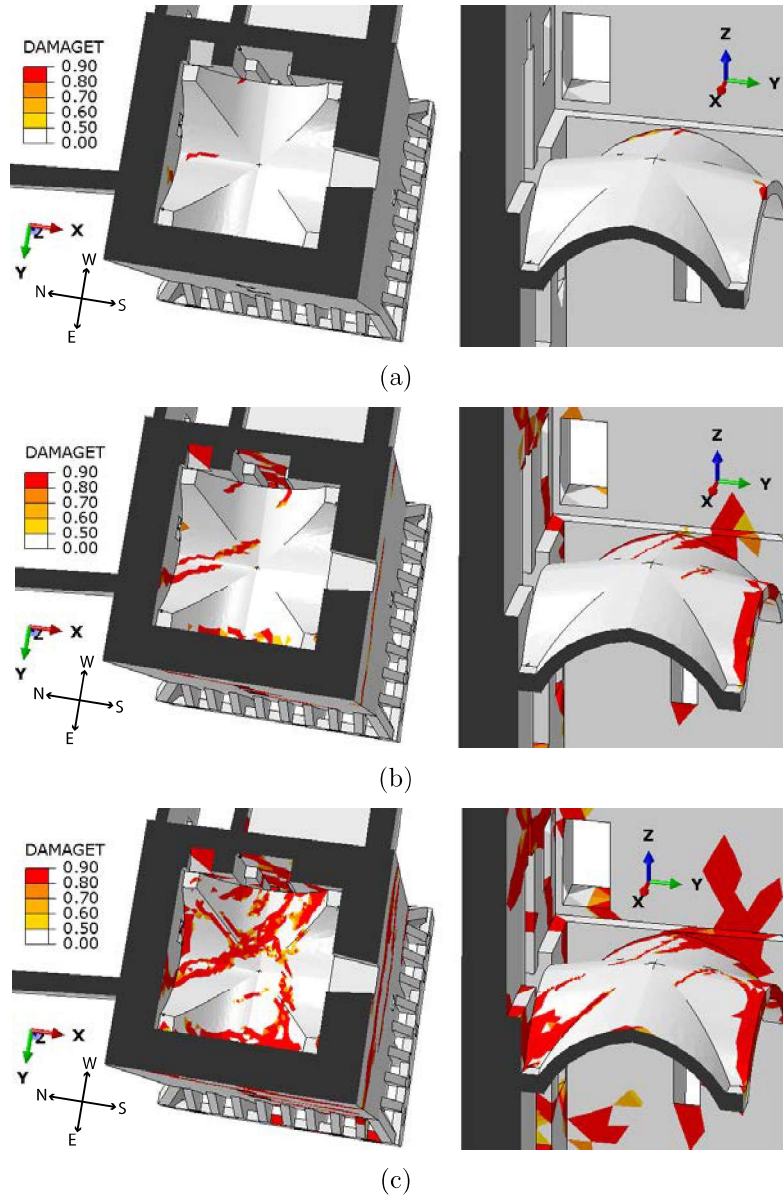


Figure 18: Nonlinear static damage contour plots of the Giulio II Hall's vault, North directed force. Bottom views (left) and section views (right).

384 *4.2. Nonlinear dynamic analyses*

385 Nonlinear dynamic analyses have been performed using the only actual accelerogram
 386 available near to the fortress, recorded on May 29th at the *SANO* station (installed after the
 387 first seismic shock [40, 41]) located at approximately 150 m from the fortress. In particular,
 388 11-seconds simulations have been performed by considering either the horizontal components
 389 only or also the vertical component of the accelerogram [42], see Figure 19.

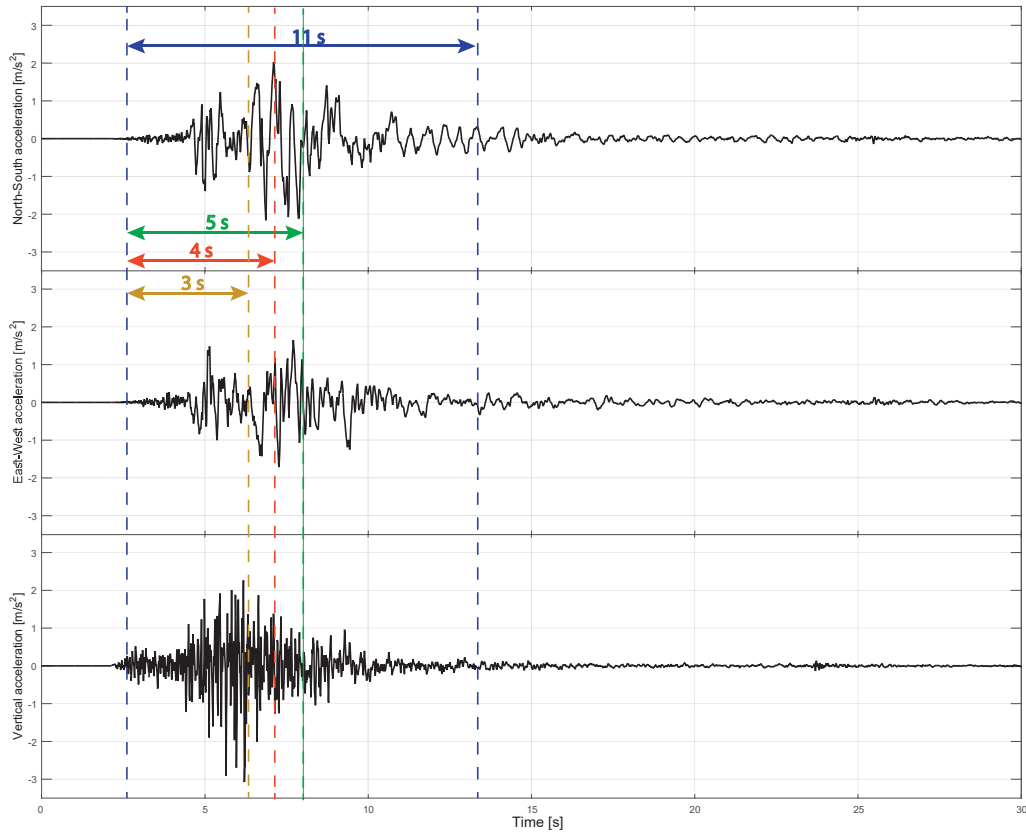


Figure 19: Accelerograms recorded the May 29th 2012 at *SANO* station.

390 It is well-known that the dynamic structural response is significantly conditioned by the
 391 damping. However, the implementation of damping models for masonry structures in the
 392 inelastic regime is still a challenging issue. In dynamic simulations, a Rayleigh damping
 393 model has been used, as adopted for masonry structures in [58, 59].

394 The time-histories of the Giulio II Hall's vault abutments relative displacements are
 395 reported in Figure 20 (computed with respect to the point O, see Figure 9(c)). As can
 396 be observed in Figure 20, the time-histories of the relative displacements of the vault's
 397 abutments of the case without vertical component of the earthquake (Figure 20(a)), are
 398 quite similar to the ones of the case with vertical component (Figure 20(b)). However, in
 399 the case with vertical component the relative and residual displacements are systematically
 400 greater than the case without. By inspecting such time-histories it appears that after the 3rd
 401 second of simulation (which corresponds more or less to the peak of the seismic input, see

402 Figure 19) the vault's abutments tend to significantly distance themselves until the second 5
403 of the simulation (which corresponds more or less to a significant decrease of the magnitude
404 of the seismic input, see Figure 19), and then they tend to stabilize in residual displacements
405 of several centimeters. Therefore, residual relative displacements have been recorded during
406 the simulations. Table 4 collects such residual displacements of the vault's abutments at the
407 end of the dynamic simulation for both the cases with and without the vertical component
408 of the earthquake. As can be noticed, for both the cases all the sides and diagonals present
409 an increase of length of more than 3 centimeters, exception made for the side AB which
410 presents lower elongations.

411 Comparing the computed residual relative displacements of Table 4 with the actual
412 one obtained by correlating two different surveys conducted before and after the Emilia
413 earthquake (Table 1), it emerges a quite good agreement between the results even though
414 the computed relative displacements are quite lower than the actual ones. However, it has
415 to be pointed out that the vault has been hit by two main seismic shocks, of which the
416 intensity and the accelerogram of the first one are not available in proximity of the fortress,
417 and no documentation about the crack pattern of the vault between the May 20th and May
418 29th 2012 is available. Moreover, by also considering the uncertainties in the measures which
419 characterize the 1985's survey, with an order of magnitude of some centimeters, the dynamic
420 simulations results seems reasonably reliable.

421 The damage contour plots provided by the nonlinear dynamic analyses for subsequent
422 time instants using N-S and E-W components and N-S, E-W and vertical components are
423 depicted in Figures 21 and 22, respectively. In particular, the simulation time instants at 3s,
424 4s, 5s and 11s are reported since between 3s and 5s the most significant relative displacements
425 occur and the instant 11s represents the end of the simulation.

426 As far as it is concerned the damage evolution of the case without vertical component
427 (Figure 21), after 3s (Figure 21(a)), cracks in the intrados of the vault begin to develop
428 from the sides parallel to the E-W direction. Then, these cracks evolve and, at 4s (Figure
429 21(b)), the two limbs tend to link together in the central part. In addition, such limbs
430 join the extremities of the chimney and, at 5s, they are completely connected creating a
431 sort of "rectangle" just in front of the chimney (Figure 21(c)). This finding is in good
432 agreement with the actual crack pattern experienced by the vault, i.e. with the collapse of
433 the portion (a) which presents a pseudo-rectangular shape, see Figure 5(a). Such cracks are
434 also present on the extrados, in a very similar way. Moreover, there is very a good agreement
435 between the crack quasi-perpendicular to the E-W direction, which divides the vault in two
436 parts, with the actual main crack (b) (Figure 4). Finally, the damage pattern at the end
437 of the simulation (11s, see Figure 21(d)) is similar to the one at 5s (Figure 21(c)), as also
438 confirmed by the time-history of the abutments relative displacements of Figure 20 where
439 no substantial changes of displacements are recorded from 5s to 11s.

440 The damage pattern evolution in the simulation with vertical component (Figure 22)
441 is similar to the one without vertical component (Figure 21). Indeed, also in this case a
442 crack in the intrados of the vault arises (Figure 22(a)), which evolves in a sort of rectangle
443 close to the chimney passing also through the vault in a direction quasi-perpendicular to the
444 East direction. Therefore, also in this case there is a good agreement with the actual crack

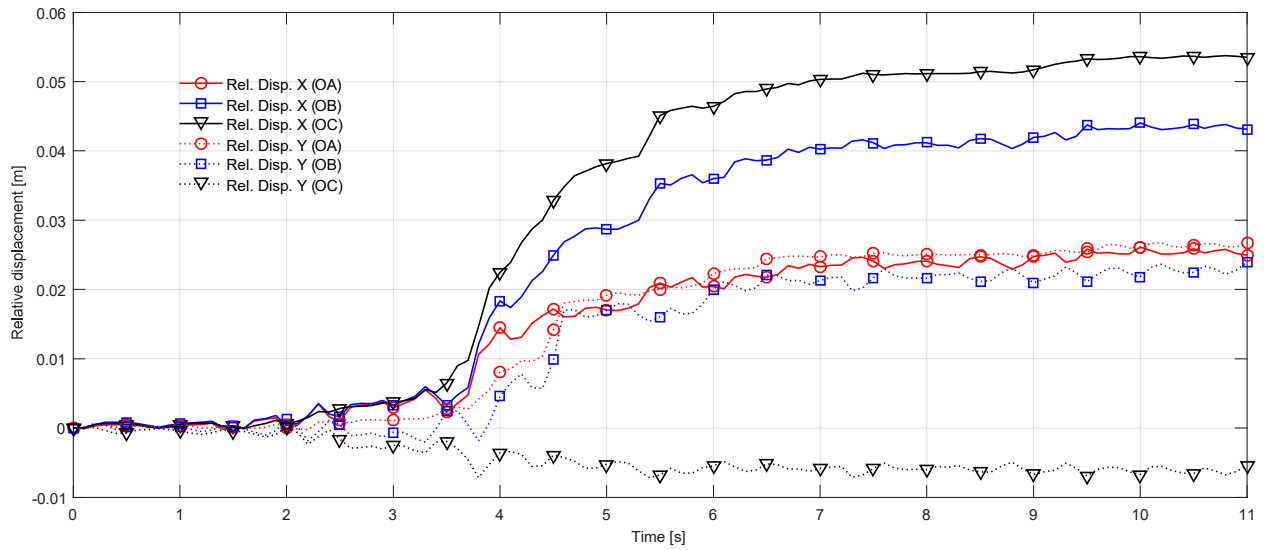
445 pattern. By comparing the damage patterns of the simulations without and with vertical
 446 component (Figures 21 and 22, respectively) it appears that the latter is slightly wider than
 447 the first.

448 Finally, it has to be pointed out that, although the failure (a) of Figure 4 seems to be also
 449 due to a preexisting stairwell, both the dynamic simulations showed a damage pattern which
 450 tends to cut out a pseudo-rectangular portion of the vault in proximity to the chimney hole.
 451 Plausibly, this finding could be addressed to the interaction with the chimney hole itself
 452 (Figure 9(b)), which represents a weakness of the bearing system. Therefore, in the authors
 453 opinion, the likely presence of a preexisting stairwell (and hence of reshuffled material) in
 454 such a portion of the vault could have made worse a condition which would already be
 455 critical, as arisen from nonlinear dynamic analyses.

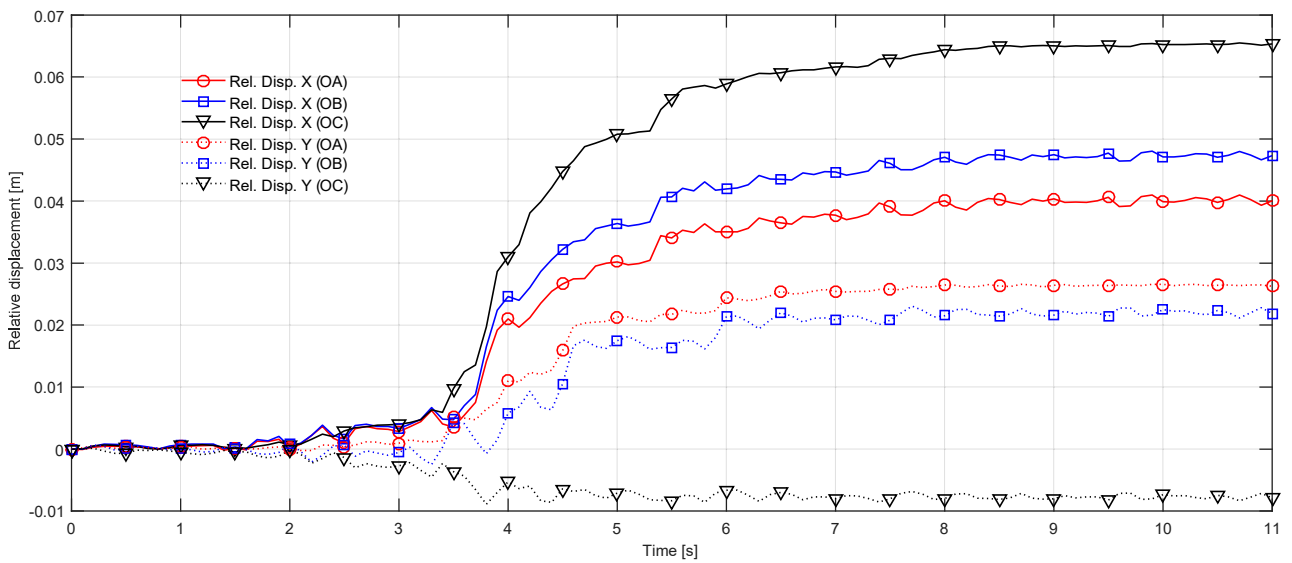
456 Summing up the results, it emerges that the effect of the vertical component of the
 457 earthquake is not so significant in studying the seismic response of the vault, even if for strong
 458 vertical components (as the studied case), differently for what occurs for overhang structures
 459 such as corbels [33]. However, it appears that by considering the vertical component of the
 460 earthquake in nonlinear dynamic analyses the obtained results are a bit more conservative.

Table 4: Numerical relative displacements of the vault’s abutments at the end of the dynamic simulations.

Without vert. comp.		With vert. comp.	
Rel. displ.	Value	Rel. displ.	Value
ΔOA	3.8 cm	ΔOA	4.9 cm
ΔAB	1.8 cm	ΔAB	0.9 cm
ΔBC	3.1 cm	ΔBC	3.5 cm
ΔCO	5.4 cm	ΔCO	6.6 cm
ΔOB	5.1 cm	ΔOB	5.3 cm
ΔCA	4.3 cm	ΔCA	4.3 cm



(a)



(b)

Figure 20: Vault's abutments relative displacements time-histories measured in nonlinear dynamic analyses: (a) without and (b) with vertical component of the earthquake.

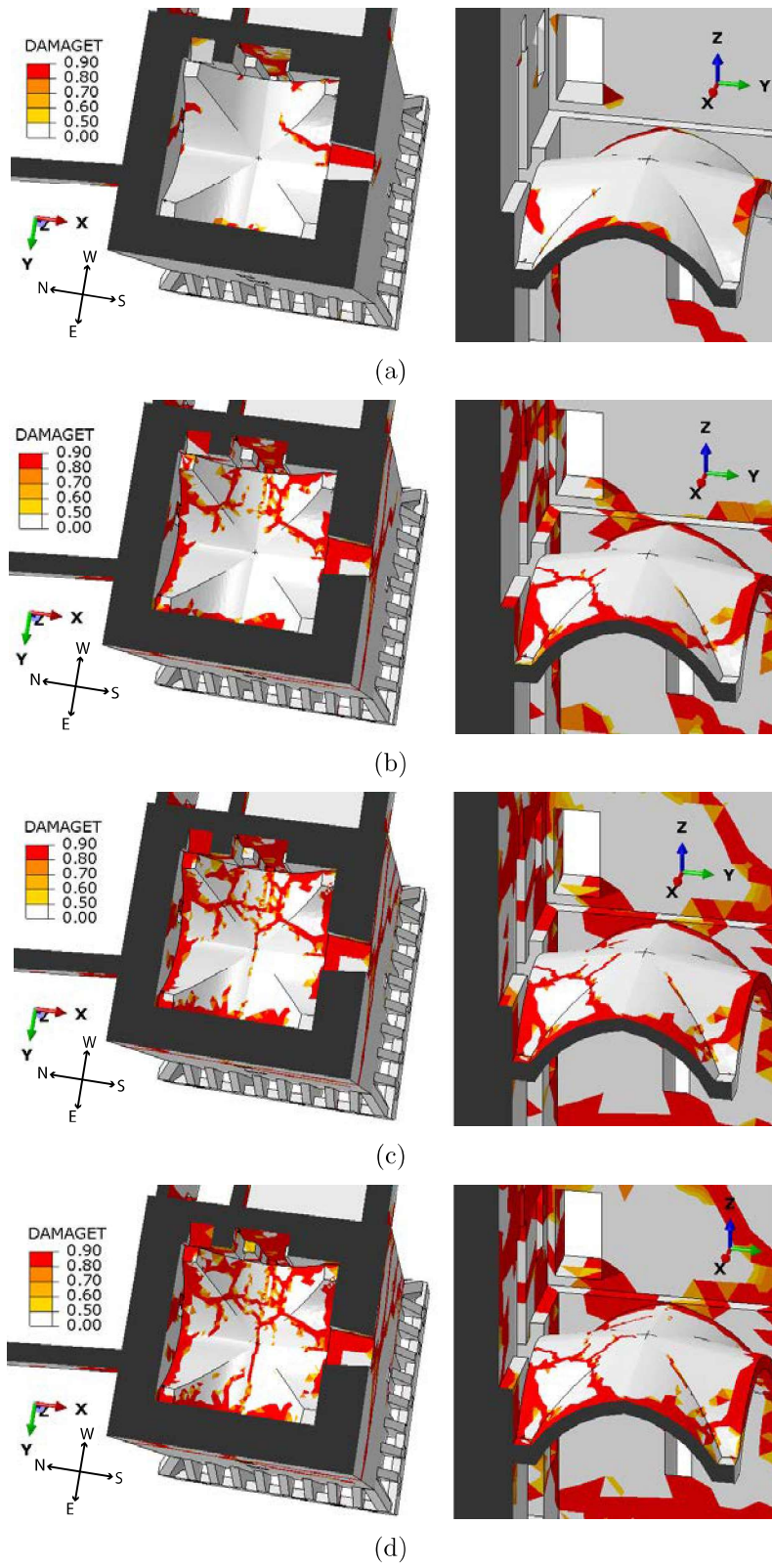


Figure 21: Nonlinear dynamic damage contour plots of the Giulio II Hall's vault, simulation without vertical component. Bottom views (left) and section views (right) at instants (a) 3s, (b) 4s, (c) 5s and (d) 11s.

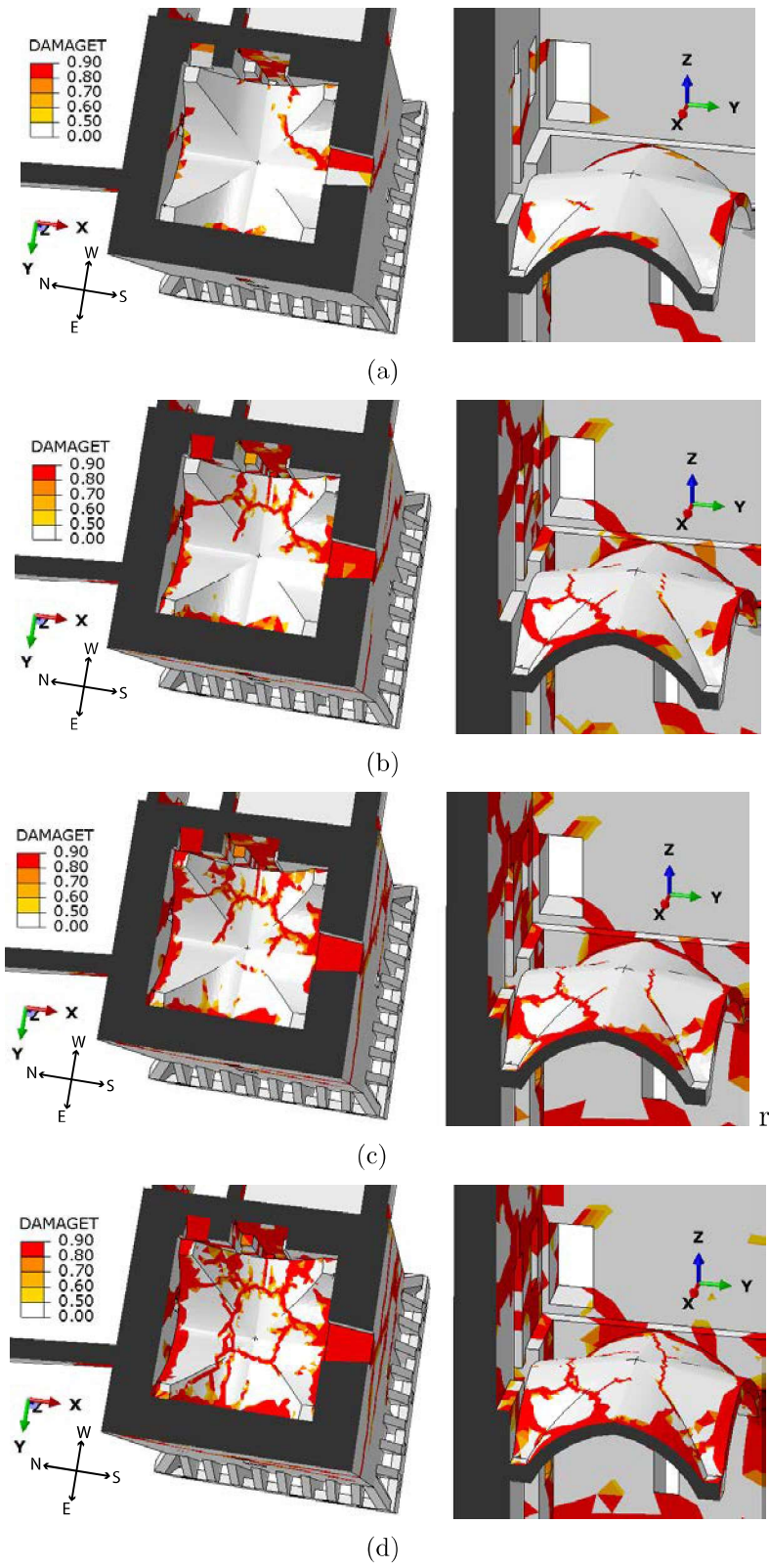


Figure 22: Nonlinear dynamic damage contour plots of the Giulio II Hall's vault, simulation with vertical component. Bottom views (left) and section views (right) at instants (a) 3s, (b) 4s, (c) 5s and (d) 11s.

5. Concluding remarks

In this paper, an investigation of the capabilities and limitations of current computational tools to analyze the seismic-induced damage in a masonry vaulted structure has been presented. The case under study was the Giulio II vault, located within the main tower of the San Felice sul Panaro fortress (Italy) which has been severely damaged by the 2012 Emilia earthquake. Attention has been focused on the interaction between the vault and its bearing tower. The developed finite element model included the 3D geometry of the vault within the geometry of the tower, based on a before-quake survey.

Nonlinear static and dynamic analyses have been carried out by using a damage-plasticity constitutive law for masonry. The results have been compared to the vault actual crack pattern as well as to its actual-deformed geometry based on a post-quake laser scanner survey. On the one hand, concerning the damage contour plots, it emerged that both those of nonlinear static and dynamic analyses were in good agreement with the main failures experienced by the vault. Conversely, coarse findings has been obtained with respect to smaller cracks, for which the masonry arrangement, not contemplated in the implemented damage model, plays a more considerable role than for main failures. On the other hand, lower accuracy has been obtained in the prediction of the relative displacements of the vault's abutments. Although nonlinear static analyses are a conventional procedure for the safety evaluation of structures, they are qualitatively able to investigate the main weaknesses of the vault, helping the interpretation of (more comprehensive) dynamic analyses results. Therefore, both nonlinear static and dynamic FE analyses appear to be useful tools for the seismic-induced damage investigation of masonry vaults, and for assessing the main weaknesses of such structures.

Finally, it emerged that the seismic behavior of the tower (and also the presence of adjacent buildings) strongly influence the damage of the vault. Thereby, in order to investigate the seismic-induced damage of a masonry vault, the numerical modeling of the vault should be considered within the model of the bearing structure (walls, columns, etc.), given their mutual remarkable interaction.

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