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Innovative strategies based on the use of essential oils and their components to improve safety, shelf-life and quality of minimally processed fruits and vegetables

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Innovative strategies based on the use of essential oils and their components to improve safety, shelf-life and quality of minimally processed fruits and vegetables

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| 1  | Innovative strategies based on the use of essential oils and their components to improve safety,   |
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| 2  | shelf-life and quality of minimally processed fruits and vegetables  |
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#### 22 Abstract

Minimally processed fruits and vegetables are one of the major growing sector in food industry. Although important for their nutritional values and convenience, their composition and physicochemical properties affect their microbiological shelf life and overall quality. On the other hand, processing steps as washing, if well performed, can partially reduce the occurring microflora and the use of sanitizers are perceived negatively by the consumers. For this reasons, researchers have proposed some alternatives to the use of traditional sanitizers, such as essential oils which are complex mixtures of volatile compounds, characterized by a strong sensorial impact and produced by many plants as secondary metabolites. In this perspective, this review discusses the growing importance of minimally processed fruits and vegetables and the potential application of essential oils and their components as natural antimicrobial. Finally, the mechanisms of action of these molecules have being reviewed taking into account their use in food systems. 

35 Keywords: minimally processed fruits and vegetables, natural antimicrobials, essential oils, shelf-

36 life

#### 47 Introduction

The market for minimally processed fruits and vegetables has significantly increased in recent years 48 49 and their appeal derives from their convenience and the decrease of generated waste (Rico, Martín-Diana, Barat, & Barry-Ryan, 2007). In particular, as reported by Figure 1, in USA, their 50 consumption covers about 48% of the market. Moreover, because characterized by high levels of 51 vitamins, fibres, minerals and antioxidants (Siroli et al., 2014, 2015a,b,c), they can represent a 52 convenient way of preventing cancers and chronic illnesses such as coronary heart disease (Bhalla, 53 Gupta, & Jaitak, 2013). In fact, the recommended fruit and vegetable consumption for this purpose 54 should be higher than 400 g/day and consumers should be encouraged to eat at least five servings of 55 fruit and vegetables each day (Ragaert, Verbeke, Devlieghere, & Debevere, 2004). However, 56 57 minimally processed fruits and vegetables are susceptible to microbial proliferation due to the loss of their natural resistance and their high water and nutrient content (Rico et al., 2007; Serrano et al., 58 2008). In addition, the fresh produce during processing are subjected to several processing steps 59 such as peeling, cutting or slicing favouring the microbial growth due to the release of nutritive 60 substances and the transport of the microbiota located on fruit and vegetable surfaces to the cut 61 surfaces (Lanciotti, Belletti, Patrignani, Gianotti, Gardini, & Guerzoni, 2003; Rojas-Grau, 62 Raybaudi-Massilia, Soliva-Fortuny, Avena-Bustillos, McHugh, & Martin-Belloso, 2007; Siroli et 63 al., 2014; Siroli et al., 2015a,b). Also the active metabolism of fruit tissue, and the confinement of 64 final product inside the packaging favour the growth of the naturally occurring microorganisms 65 (Lanciotti, Gianotti, Patrignani, Belletti, Guerzoni, & Gardini, 2004). Between 1996 and 2004, the 66 Food Drug and Administration (FDA) responded to 14 outbreaks of foodborne illness for which 67 68 lettuce or tomatoes were confirmed to be the source, where there were 859 cases of reported illness. In 2006 in the United States, there was a multi-state outbreak of E. coli 0157:H7 implicating 69 70 spinach, 276 cases of foodborne illness and three deaths were reported (Centers for Disease Control and Prevention (CDC, 2006). In May 2011, Germany reported an ongoing outbreak of Shiga-toxin 71

72 producing E. coli (STEC), serotype O104:H4, reporting 3785 cases of illness and 45 deaths. Other illness and deaths attributed to this outbreak were reported outside of Germany and sprouted seeds 73 were later identified as the outbreak vehicle (EFSA, 2011). The lack of processing steps or factors 74 able to eradicate microbial contaminants make necessary an efficient temperature control during 75 manufacture, distribution and retailing to ensure the maintaining of the microbiological quality and 76 the safety of minimally processed fruits and vegetables (Siroli et al., 2014 2015a,b). Currently, for 77 minimally processed vegetables, the washing step, performed with sanitizing solution, is the only 78 phase able to reduce the number of pathogenic and spoilage microorganisms (Sao José & Vanetti, 79 2012) and nowadays, chlorine is the most common decontaminant used at industrial level (Rico et 80 al., 2007), although its use is prohibited in some European countries such as the Netherlands, 81 Sweden, Germany and Belgium (Gil, Selma, López-Gálvez, & Allende, 2009). In addition, 82 chlorine-based compounds are corrosive, cause skin and respiratory tract irritation and react with 83 the organic matter present in the water leading to the formation of potentially harmful 84 trihalomethanes (López-Gálvez, Allende, Selma, & Gil, 2009). However, at the concentration 85 86 normally employed (50-200 mg/L) it does not achieve more than a 1-2 log reduction in bacterial populations and it is ineffective in reducing pathogens on vegetables (Oliveira, Viñas, Anguera, & 87 Abadias, 2012). Also the control and maintenance of the cold chain of raw materials are not 88 sufficient to eliminate and significantly delay the microbial spoilage and to guarantee the safety of 89 these products (Soliva-Fortuny & Martín-Belloso, 2003). In fact, foodborne illness relating to the 90 consumption of minimally processed fruit and vegetables is widely reported (Lynch, Tauxe, & 91 Hedberg, 2009) and a wide literature shows the presence on fresh fruit and related minimally 92 processed products of pathogenic species such as Listeria monocytogenes, Salmonella spp., 93 Yersinia enterocolitica, Aeromonas hvdrophila, Staphylococcus aureus and Escherichia coli 94 95 O157:H7 (Abadias, Alegre, Usall, Torres, & Vinas, 2011; Alegre, Abadias, Anguera, Oliveira, & Vinas, 2010; Gunes & Hotchkiss, 2002; Harris et al., 2003; Olaimat & Holley, 2012; Powell & 96 Luedtke, 2000; Van Boxstael et al., 2013). In addition, some literature reports show that emerging 97

pathogens are more resistant to chlorinated compounds raising further concerns about the 98 effectiveness and the use of chlorine in the minimally processed food industry (Abadias et al., 2011; 99 Allende, Martinez, Selma, Gil, Suarez, & Rodriguez, 2007). These drawbacks have stimulated the 100 research towards non-traditional sanitizers (hydrogen peroxide, peroxyacetic acid and ozone) and 101 other alternative technologies such as physical treatments (UV-C light, ultrasound and gamma rays) 102 (Gil et al., 2009; Manzocco et al., 2011). At the same time, the era of natural food additives has 103 encouraged by the consumers oriented to low and/or natural additives (Carocho, Barreiro, Morales, 104 105 & Ferreira, 2014; Gyawali, & Ibrahim, 2014; Zheng, Bae, Jung, Heu, & Lee, 2013). Although the latter does not always represent a benefit compared to chemical ones, in most cases they are 106 believed to be healthier and able to confer functionality. Natural additives are compounds or groups 107 of compounds that are already used empirically by the population for taste purposes. Fungi, 108 seaweeds, and algae are also interesting sources of natural additives. These natural compounds have 109 110 been around for some time, but in recent years they have gained more interest from the food industry for direct application or in synergy with other natural or chemical additives. Among natural 111 112 additives, essential oils (EOs), complex mixture of volatile compounds characterized by a strong sensorial impact, are produced by many plants as secondary metabolites. Also called volatile oils, 113 they may be obtained from all the organs of the plant, i.e. flowers, buds, seeds, leaves, roots, wood, 114 stems, twigs, fruits or bark, and they are stored in secretory cells, cavities, canals, epidermis cells or 115 glandular trichomes (Bakkali, Averbeck, Averbeck, & Idaomar, 2008). EOs are extracted from 116 various aromatic plants generally located in warm temperate countries such as the Mediterranean 117 and tropical countries where they represent an important part of the traditional medicine and their 118 function in nature may be different. In fact, they can act as internal messengers, as defensive 119 substances against herbivores or as volatiles directing not only natural enemies to these herbivores 120 but also attracting pollinating insects to their host (Bakkali et al., 2008). Among the many effects, 121 they are important for their antimicrobial and antioxidant properties (Brewer, 2011; Carocho et al., 122

2014; Pillai & Ramaswamy, 2012; Tiwari, Valdramidis, O'Donnell, Muthukumarappan, Bourke, &
Cullen, 2009; Rasooli, 2007; Rios & Recio, 2005).

EOs are usually extracted from plants through several different methods, including steam, hydrodistillation or supercritical carbon dioxide. Most of these substances have been recognized as safe (GRAS) (EAFUS, 1998). Initially, EOs have been used to enhance the aroma of foods, but several researches have proved they can be useful for the prolongation of the shelf-life of different food systems, although, for this purpose, it is necessary understanding their mechanisms of action (Belletti, Lanciotti, Patrignani, & Gardini, 2008; Serrano, Martinez-Romero, Castillo, Guillen, & Valero, 2005)

132

#### **133** Applicative potential

In these last two decades, essential oils (EOs) and their components have gained much attention 134 from researcher because gifted of several properties such antimicrobial, antioxidant and anticancer 135 activities (Khan, Huq, Khan, Riedl, & Lacroix, 2014; Runyoro, Ngassapa, Vagionas, Aligiannis, 136 Graikou, & Chinou, 2010). Moreover, recent development in natural food preservatives has led to 137 increase interesting application of EOs or their components into food packaging. Active packaging 138 involves the support, coat, or absorption of active components on a solid matrix from which they 139 can be released to the atmosphere and act as food preservation agents. Antimicrobial active 140 packaging is aimed both to extend the fruit and vegetable shelf-life and to improve consumer safety 141 by reducing, inhibiting, and/or retarding the growth of spoilage and pathogenic bacteria in packed 142 foods and packaging materials (Khan *et al.*, 2014). The highly volatile and antimicrobial nature of 143 144 natural plant EOs or their components make them attractive candidates for this purpose, and provide an alternative to less desirable synthetic additives (Becerril, Gomes-Lus, Goni, Lopez, & Nerin, 145 2007). Also antioxidant activity is one of the most intensively studied property in EO research, 146 because oxidation damages various biological substances and subsequently causes many diseases, 147

including cancer, liver, Parkinson's and Alzheimer's disease, aging, arthritis, inflammation, 148 diabetes, atherosclerosis and AIDS. As a result, many illnesses have been treated with antioxidants 149 to prevent oxidative damage and many researchers have being investigated the antioxidant activity 150 of different EOs and their components in order to search for safe natural antioxidants. However, the 151 largest amount of papers are referred to the study of the antimicrobial activity of EOs and their 152 constituents for a potential application in food sector. Although, most of the papers regard the EO 153 antimicrobial activity in vitro systems, and the application of EOs for antimicrobial purpose in real 154 system is still limited, EOs have been used at lab-scale in bakery (Nielsen & Rios, 2000), cheese 155 (Vazquez, Fente, Franco, Vazquez, & Cepeda, 2001), meat production (Quintavalla & Vicini, 156 2002), seafoods (Kykkidou et al., 2009) and minimally processed fruits and vegetables (Lanciotti et 157 al., 2004; Serrano et al., 2008; Siroli et al., 2014, 2015a,b,c; Soliva-Fortuny & Olga Martin-158 Belloso, 2003). 159

Despite the strong antimicrobial activity against foodborne pathogens and spoilage microorganisms shown by EOs (Oussalah *et al.*, 2007; Rhayour *et al.*, 2003; Tassou, Koutsoumanis, & Nychas, 2000), their practical application is currently limited due to their strong impact and changes they cause in food products (Gutierrez, Barry-Ryan, & Bourke, 2008).

Moreover, the limited use is due to i) the variability of the composition of EOs (due to the 164 geographic origin, agricultural techniques, season, methods of extraction, etc..) able to influence 165 their effective overall antimicrobial activity (Burt, 2004); ii) the interaction of bioactive molecules 166 with the food matrix (in particular with proteins, lipids, starch, etc..) limiting the contact of these 167 molecules with the microbial cells, thereby reducing the effects on cell viability (Gutierrez et al., 168 2008); iii) the lack of knowledge of the mechanisms by which these molecules exert their 169 antimicrobial activity; iv) the lack of knowledge of the interaction between technological and 170 composition parameters and their activity. 171

In this Review, the use of EOs and their components in minimally processed fruits and vegetableswill be discussed.

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175 Potential use of natural antimicrobials to prolong shelf-life and safety of minimally processed176 fruits.

Several investigations have focused on the search for natural antimicrobials able to increase the 177 quality and safety of the minimally processed fruits (Allende et al., 2007; De Azeredo et al., 2011; 178 López-Gálvez et al., 2009; Siroli et al., 2014, 2015a,b; Soliva-Fortuny & Olga Martin-Belloso, 179 2003; Vandekinderen, Devlieghere, De Meulenaer, Ragaert, & Van Camp, 2009) (Table 1). A wide 180 literature shows the great potential as antimicrobials in model and food systems of EOs deriving 181 from citrus fruit peels (Espina, Somolinos, Loran, Conchello, Garcia, & Pagan, 2011; Fisher, & 182 Phillips, 2008; Settanni et al., 2012). In particular, citral (3,7-dimethyl-2-7-octadienal), is an acyclic 183 unsaturated monoterpene aldehyde found naturally in the volatile oils of citrus fruits, lemongrass, 184 and other herbs and spices. It consists of a mixture of two isomers, geranial and neral, and is used 185 186 for flavoring citrus-based beverages. Its antimicrobial properties and pleasant fruity scent could make citral a suitable antimicrobial ingredient for wider use in the food industry (Somolinos, 187 188 García, Pagan, & Mackey, 2008). Citral and citron EO, at concentration compatibles with sensorial 189 features of fruits, were able to significantly prolong the shelf-life of the fruit-based salads in syrup (Belletti, Lanciotti, Patrignani, & Gardini, 2008), and the stability of fruit based soft drink (Belletti, 190 Sado Kamdem, Patrignani, Lanciotti, Covelli, & Gardini, 2007). Also, the antimicrobial activity of 191 hexanal and 2-(E)-hexenal, which are components of the aroma of many fruits and vegetables, has 192 been tested in model (Gardini, Lanciotti, & Guerzoni, 2001; Kubo & Fujita, 2001) and real systems 193 (Corbo, Lanciotti, Gardini, Sinigaglia, & Guerzoni, 2000; Lanciotti et al., 2003; Lanciotti et al., 194 2004). Hexanal, 2-(E)-hexenal, and hexyl acetate improved shelf-life and safety of minimally 195 processed fruits (Lanciotti et al., 2004; Serrano et al., 2008). In particular, the addition of hexanal 196 197 and 2-(E)-hexenal in storage atmosphere of fresh-cut apples resulted in a positive effect on shelflife, due to their antimicrobial activity against naturally occurring spoilage yeasts, and also when 198 deliberately inoculated at levels of  $10^3$  cfu/g. Moreover, these molecules determined the 199

enhancement of the sensorial properties, as well as the retention of the original colour of the 200 packaged products (Corbo et al., 2000). These aldehydes showed a great potential as antimicrobials 201 also against pathogens such as Salmonella spp., E. coli and Pseudeomonas aeruginosa (Kubo et al., 202 2001). Little information is available on the relationship between the outgrowth of spoilage 203 microorganisms, their volatilome, and the perception of the decay of minimally processed 204 vegetables by consumers. Also Siroli et al. (2015a), to increase the shelf-life and quality parameters 205 of sliced apples, proposed the use of these antimicrobials and citron EO in apple dipping solution, 206 207 alone or in combination, as alternative to the traditional sanitization methods. The use of these antimicrobials changed the naturally occurring yeast growth parameters with respect to the control. 208 Samples treated with hexanal/2-(E)-hexenal and citral showed better colour and texture attributes 209 compared to the controls. Siroli et al. (2014) demonstrated also that the optimization of the process 210 and the package in active modified atmosphere (7% O2 and 0% CO2) increased the shelf-life of 211 apples treated with the mixture hexanal/2-(E)-hexenal up to 35 days of storage (Figure 2). 212

Valero, Valverde, Martinez-Romero, Guillen, Castillo, & Serrano (2006) developed an active
packaging by adding eugenol or thymol to table grapes stored 56 days under modified atmosphere
(MAP) showing lower microbial spoilage counts in for samples stored in active packaging.

Also Serrano et al. (2005) developed a package based on the addition of eugenol, thymol, menthol
or eucalyptol in MAP. The results showed that all EOs reduced moulds and yeasts and total aerobic
mesophilic colonies by 4 and 2 log cfu/g, respectively, compared with control.

Rojas-Grau et al. (2007) investigated the effect of lemongrass, oregano oil and vanillin incorporated in apple puree-alginate edible coatings, on the shelf-life of fresh-cut 'Fuji' apples. All antimicrobial coatings significantly inhibited the growth of psychrophilic aerobes, yeasts and moulds. The antimicrobial effect of EOs against *L. innocua* inoculated into apple pieces before coating was also examined. Lemongrass (1.0 and 1.5% w/w) and oregano oil containing coatings (0.5% w/w) exhibited the strongest antimicrobial activity against *L. innocua* (4 log reduction).

Abadias et al. (2011) studied alternative agents in order to prevent the risk of undesirable by-225 products from chlorine disinfection in fresh-cut industries. Carvacrol, vanillin, peroxyacetic acid, 226 hydrogen peroxide, Nacetyl-l-cysteine and Citrox were selected for their results in vitro assays 227 against E. coli O157:H7 and Listeria spp., to be tested on fresh-cut apple plugs. Apple flesh was 228 inoculated by dipping in a suspension of a mix of the studied pathogens at  $10^6$  cfu/mL, and then 229 treated with the antimicrobial substances. All treatments were compared to deionized water and a 230 standard sodium hypochlorite treatment (SH, 100mg/L, pH6.5). Pathogen population on apple plugs 231 was monitored for up to 6 days at 10 °C. Bacterial reductions obtained by peroxyacetic acid, 232 vanillin, hydrogen peroxide and N-acetyl-l-cysteine were similar or higher than reduction obtained 233 by SH. 234

Carvacrol and cinnamaldehyde were very effective at reducing the viable count of the natural flora on kiwifruit when used at 0.15  $\mu$ l/mL in dipping solution, but less effective on honeydew melon. It is possible that this difference has to do with the difference in pH between the fruits; the pH of kiwifruit was 3.2–3.6 and of the melon 5.4–5.5 (Rasooli, 2007).

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240 Potential use of natural antimicrobials to prolong shelf-life and safety of minimally processed
241 vegetables

The antimicrobial activity of EOs in vegetable dishes is promoted by the decrease of temperature 242 storage and/or the decrease in pH (Skandamis & Nychas, 2000). Vegetables generally have a low 243 fat content, which may contribute to the successful results obtained with EOs. In fact, due to their 244 lipophilic nature, thev could missing microbial 245 share in fat the targets. As previously reported, the safety and shelf-life of minimally processed vegetables are based on 246 few tools such as modified atmosphere packaging and maintaining of refrigeration chain. Although 247 chlorine is the most common decontaminant used in these products, the concentrations used are 248 quite ineffective in reducing pathogens on vegetables. In addition, chlorine-based compounds bring 249 to the formation of potentially harmful chlorinated by-products such as trihalomethanes. For this, 250

plant EOs and their components have been investigated as natural sanitizer alternative to chlorine to 251 control of foodborne pathogens and spoilage bacteria associated with minimally processed 252 vegetables (2011Gunduz, Gonul, & Karapınar, 2010; Gutierrez, et al., 2008; 2009). The in vitro 253 antimicrobial activity of oregano (Origanum vulgare), thyme (Thymus vulgaris) EOs and their main 254 components (carvacrol and thymol) against a huge variety of Gram-positive, Gram-negative 255 bacteria, yeasts and moulds is well documented (Burt, 2004; Lanciotti et al., 2004; Viuda-Martos, 256 Ruiz-Navajas, Fernandez-Lopez, & Perez-Alvarez, 2007). However, there are very limited studies 257 that investigate the antimicrobial efficacy of these natural antimicrobials alone or in combinations 258 with other hurdles on vegetable produce. (De Azeredo et al., 2011; Gutierrez et al., 2008; Gutierrez 259 et al., 2009; Muriel-Galet et al., 2013; Siroli et al., 2015 b,c). In particular, as reported by Table 1, 260 oregano and thyme were the most studied for this application. Siroli et al. (2015b,c) evaluated the 261 efficacy of oregano and thyme EOs in comparison with chlorine for lamb's lettuce decontamination 262 263 using them in the product washing solution. The data obtained showed that these EOs were able to assure a product shelf-life similar to that obtained with chlorine. Moreover, Siroli et al (2015b) 264 demonstrated that increasing the temperature of the washing solution up to 13 °C, the EOs could be 265 266 better exploited. In fact, it is well known that the temperature increase results in the vapour pressure increase of volatile molecules enhancing, consequently, their affinity for the cell membranes, main 267 and primary target of antimicrobials (Gardini et al., 1997). In fact, while in the first experimental 268 phase chlorine (120 mg  $L^{-1}$ ) and the natural antimicrobial showed the same reduction of the 269 naturally occurring microbial population, in the second trial, thyme and oregano reduced the cell 270 loads of mesophilic aerobic bacteria of about 1 log cfu/g more than the chlorine solution. In the 271 272 experimental conditions of Siroli et al. (2015b,c), the initial reduction of the naturally occurring microbiota due to the use of EOs did not affect negatively the safety of the products. In fact, the 273 274 pathogenic species, most frequently associated to minimally processed vegetables, such as L. monocytogenes, E. coli, S. enteritidis and S. aureus, were not detected after 14 d of storage at 6°C. 275 also when inoculated. Also the colour and the withering data showed that the treatments applied can 276

guarantee the maintenance of the main quality parameters affecting the consumer choice. In fact, by improving the condition of the washing process, the products treated with thyme and oregano, similarly to chlorine, were able to maintain good colour and turgidity attributes over 12 days of storage at 6°C (Figure 3). Also the sensorial analysis confirmed that the organoleptic features of the Lamb's lettuce treated with oregano and thyme instead of chlorine was not significantly affected.

Gunduz et al. (2010) conducted a study aimed to determine the efficacy of oregano oil in the 282 inactivation of Salmonella typhimurium inoculated onto iceberg lettuce. The effects of washing with 283 oregano oil (Oreganum onites), typical of Turkey, at three different concentrations and four 284 different treatment times on survival of S. typhimurium inoculated to fresh cut iceberg lettuce were 285 determined at 20 °C storage temperature and compared with chlorine. Reductions of S. typhimurium 286 by washing with oregano did not exceed 1.92 logarithmic units regardless of the washing times and 287 concentrations. The effectiveness of washing lettuce with 75 ppm oregano oil on inactivation of S. 288 289 *typhimurium* was comparable with that affected by 50 ppm chlorine.

Muriel-Galet, Cerisuelo, López-Carballo Aucejo, Gavara, & Hernández-Muñoz (2013) tried to 290 improve the packaging of salad by combining modified atmosphere packaging with a new 291 antimicrobial active bag consisting of PP/EVOH film with oregano EO or citral, with the purpose of 292 extending shelf-life and reducing possible microbiological risks. The results showed that 293 microorganism counts decreased especially at the beginning of the storage period. Oregano and 294 citral samples had reductions of 1.38 log and 2.13 log respectively against enterobacterias, about 2 295 log against yeasts and moulds. The total aerobic counts reduced 1.08 log with oregano EO and 1.23 296 log with citral and the reduction of lactic acid bacteria and psychrotrophic was about 2 log. Citral-297 based films appeared to be more effective than materials containing oregano EO in reducing 298 spoilage flora during storage time. Sensory studies also showed that the package with citral was the 299 most accepted by customers at the end of the shelf-life. 300

301 Gutierrez *et al.* (2009) studied the efficacy of plant EOs for control of the natural spoilage 302 microflora on ready-to-eat lettuce and carrots whilst also considering their impact on sensory

properties. Initial decontamination effects, achieved using EOs, were comparable to that observed with chlorine and solution containing oregano recorded a significantly lower initial total count level than the water treatment on carrots. No significant difference was found between the EO treatments and chlorine considering gas composition, colour, texture and water activity of samples. The sensory panel found EO treatments acceptable for carrots throughout storage, while lettuce washed with the EO solutions were rejected for overall appreciation by day 7.

Valero & Giner (2006) studied the possible use of antimicrobials from seven plant EOs as food 309 preservatives by examining their effects on the growth kinetics of activated Bacillus cereus INRA 310 L2104 spores inoculated into tyndallized carrot broth. The effects of various concentrations of 311 borneol, carvacrol, cinnamaldehyde, eugenol, menthol, thymol, and vanillin were determined. 312 Lower concentrations of the three antimicrobials prolonged the lag phase and reduced both the 313 exponential growth rate and the final population densities of cultures. The study of the sensory 314 315 characteristics of the supplemented broths suggested that low concentration of cinnamaldehyde enhanced the taste of carrot broth, and that it did not have any adverse effect on the taste and smell 316 of carrot broth at concentrations less than  $6 \mu l/100 mL$ . 317

318

#### 319 Mechanisms of action

Although the antimicrobial properties of EOs and their components have been tested in the past (Holley & Patel, 2005), their mechanisms of action has not being studied in detail (Nazzaro, Fratianni, De Martino, Coppola, & De Feo, 2013). Considering the large number of different groups of chemical compounds present in the EOs, it is likely that their antibacterial activity is not attributable to a specific mechanism but there are more ways and targets in the microbial cell. The locations or mechanisms inside the bacterial cells seem to be the major sites of action of the components of the EOs (Picone, Laghi, Gardini, Lanciotti, Siroli, & Capozzi, 2013).

The antimicrobial activity of the EOs seems to be related to their composition, to the structural configuration of the constituents and to their functional groups, as well as to the possible synergistic

interactions among the components. Consequently, the chemical structure of the individual 329 compounds present in the EOs affects their precise mode of action and their antibacterial activity 330 (Picone et al., 2013; Viuda-Martos et al., 2008). Some works on Saccharomyces cerevisiae have 331 shown that the cytotoxicity of some EOs, on the basis of the ability to form colonies, was 332 considerably different depending on their chemical composition. Treatments with EOs on cells in 333 stationary growth phase showed 50% mortality with 0.45 µL / mL of EO of Origanum compactum, 334 1.6  $\mu$ L / mL of EO of Coriandrum sativum, > 8  $\mu$ L / mL of EO of Cinnamomum camphora, 335 Artemisia herba-alba and Helichrysum italicum (Bakkali et al., 2008). 336

337 As previously mentioned, the aromatic molecules among the various physical properties, are characterized by a poor solubility in water and a high hydrophobicity. For this reason, many studies 338 indicate their antimicrobial effects as dependent on these characteristics and on their ability to act 339 on the cell membrane. In addition, the bioactivity of many aromatic compounds may depend on the 340 vapour pressure, which can be considered an indirect measure of hydrophobicity (Picone et al., 341 2013). The factors responsible for the increase of the vapour pressure of the aromatic molecules 342 lead to a rise of the antimicrobial activity, since it increases their solubility in cell membranes 343 (Picone et al., 2013). Precisely, their hydrophobicity permits them to have a good partition in the 344 lipids of cell membranes and mitochondria, altering the structures and making them more 345 permeable and leading to the loss of ions and other cell contents (Nazzarro et al., 2013). The 346 bacterial cell can tolerate, up to a certain limit, the loss of some cell contents, but their excessive 347 leakage or the loss of critical molecules and ions lead to the cell death. 348

Many studies indicate the cell membrane as the primary target of bioactive aromatic compounds. Membranes disrupted by the action of terpenes can be observed both on bacteria and fungi (Holey & Patel, 2005; Lanciotti *et al.*, 2004, Liolios *et al.*, 2009; Nazzarro *et al.*, 2013; Viuda-Martos *et al.*, 2008). The antimicrobial action of many EOs appears to be connected with the presence of phenolic compounds. Various studies, concerning oregano species have shown that their oils possess strong antimicrobial activity; this activity could be attributed to their high percentage of phenolic

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compounds and, specifically, carvacrol, thymol, p-cymene and their precursor c-terpinene (Liolios 355 et al., 2009). It was hypothesized that the inhibition against S. typhimurium and S. aureus by the 356 thyme EO, was dependent on the hydrophobicity and the nature of the present phenolic constituents, 357 which determined alteration of the functionality of membrane proteins after partitioning in the 358 phospholipid bilayer. The inhibitory effect of phenols can be explained with the interaction with the 359 cell membrane of microorganisms, and it is often correlated with the hydrophobicity of the 360 compounds (Liolios et al., 2009). The lipophilic structure of cyclic monoterpenes promotes their 361 partition from the aqueous phase to cell membranes resulting in expansion and increase in fluidity 362 and permeability of the membrane, which leads ultimately to an inhibition of membrane enzymes 363 (Nazzarro et al., 2013). In some microorganisms, mild heat treatments increase the inhibitory effect 364 of carvone, altering the membrane composition, the fluidity and favouring the partition of these 365 molecules in the membrane phospholipids. In bacteria, the permeabilization of membranes is 366 367 associated with the loss of ions and the reduction of the membrane potential, the collapse of the proton pump and the depletion of the ATP pool (Nazzarro et al., 2013). EOs can coagulate the 368 369 cytoplasm (Picone et al., 2013) and cause damage to lipids and proteins (Burt, 2004). The damages to the cell wall and in the membranes, may lead to loss of macromolecules up to cell lysis. In 370 particular, the loss of specific ions, due to the action of the aromatic molecules on the cell 371 membrane, has dramatic effects on the proton motive force, by decreasing the content of 372 373 intracellular ATP. In this manner, the total activity of the cells is greatly compromised, as well as the cellular turgor (osmotic pressure), the transport of solutes and the regulation of metabolism 374 (Lanciotti et al., 2004). The oregano EO, for example, creates an alteration of membrane 375 permeability with a consequent loss of protons, phosphorus and potassium. Carvacrol leads to a 376 dissipation of intracellular ATP in B. cereus due to the reduction of the synthesis or hydrolysis, 377 378 accompanied by the increase of permeability of the membrane to ATP. Also Picone et al. (2013), who evaluated the effect of four levels of carvacrol (0-2 mM) on *Escherichia coli* 555 metabolome 379 by using 1H-NMR spectroscopy, showed clearly that the cells exposed to the highest carvacrol 380

concentrations were unable to recover the damages caused by the terpenic molecule. In fact, the 381 interaction of hydrophobic molecules with cell membranes is known to affect the activity of 382 membrane bound or embedded enzymes. The inhibition of membrane bound ATPases in Listeria 383 monocytogenes and E. coli was also demonstrated (Gill & Holley, 2006). Moreover, dissipation of 384 pH gradients, K and P leakage from *Pseudomonas aeruginosa* and *Staphylococcus aureus* were 385 reported following treatment with carvacrol-containing oregano EO. The result of the NMR 386 experiments showed a chemical shift of metabolites as a consequence of the perturbation induced 387 by increasing amount of carvacrol. The first striking evidence involved glucose, which tends to be 388 accumulated in the cells treated with carvacrol. 389

Some studied have examined the antifungal activity of citrus EOs (Viuda-Martos et al., 2008). 390 Citrus EOs are a complex mixture of volatile compounds that show, among other properties, 391 antifungal activity by reducing or totally inhibiting fungal growth in a dose-response manner. Some 392 393 authors have attributed the antifungal capacity of citrus EOs to the presence of components such as D-limonene, linalool or citral (Siroli et al., a,b) which are present in differing concentrations in 394 citric Eos, although this function can be attributed to the phenolic compounds. The hydrophilic part 395 396 of the molecule interacts with the polar part of the membrane, while the hydrophobic benzene ring and the aliphatic side chains are buried in the hydrophobic inner part of the bacterial membrane. 397 Furthermore, the involvement of the hydroxyl group in the formation of hydrogen bonds and the 398 acidity of these phenolic compounds may have other possible explanations (Nazzarro et al., 2013). 399 Possible action mechanisms by which mycelial growth may be reduced or totally inhibited have 400 been proposed. It is commonly accepted that it is the toxic effects of the EO components on the 401 functionality and structure of the cell membrane that is responsible for the aforesaid activity. 402 Serrano et al., (2013) related low EO concentrations with changes in the cell structure, which would 403 404 inhibit respiration and alter the permeability of the microbe cell membrane, while high concentrations would provoke severe damage to the membrane and the loss of homeostasis, leading 405 to cell death. Viuda-Martos et al. (2007) suggested that components of the EOs cross the cell 406

407 membrane, interacting with the enzymes and proteins of the membrane, so producing a flux of 408 protons towards the cell exterior which induces changes in the cells and, ultimately, their death. 409 Cristani et al. (2007) reported that the antimicrobial activity is related to ability of terpenes to affect 410 not only permeability but also other functions of cell membranes, these compounds might cross the 411 cell membranes, thus penetrating into the interior of the cell and interacting with critical 412 intracellular sites.

A number of characteristics of Gram-negative bacteria including the virulence and pathogenicity are 413 regulated through the quorum sensing, a mechanism by which the bacterial population measure its 414 cell density. It is a communication from cell to cell, based on the synthesis, the exchange and the 415 perception of small signal molecules between bacteria, and that regulate the expression of certain 416 sets of genes. Its interruption is an example of anti-pathogenic effect. Several EOs including 417 cinnamon (*Cinnamomum zeylanicum*), mint (*Mentha piperita*) and lavender (*Lavandula officinalis*) 418 419 have shown a potential anti-quorum sensing activity on pigment production by C. violaceum (Khan et al., 2009). It is not clear if acting on the quorum sensing system are the larger or the smaller 420 421 constituent of the EOs. The common mechanism of interference with quorum sensing includes:

- 422 i) the inhibition of the biosynthesis of signals or the inhibition of the activity of enzymes that
  423 produce N-acyl-homoserine lactones (AHLs) (small molecules that act as signals for the
  424 quorum sensing).
- 425 ii) the degradation of enzymatic signals;
- 426 iii) the inhibition of molecules of signal reception. It is also possible that the final effect on the
  427 inhibition of particular traits related to quorum sensing may be the result of an action of the
  428 various multi-target components of EOs on bacterial quorum sensing system.

429 Future perspective

430 The results reported in this review provided encouraging information concerning the use of EO in 431 food sector. However, their effect on food constituents and microorganisms remains a focal area for 432 future research. Moreover, a deeper knowledge of the effects of their physicochemical properties

| 433                      | on their bio-activities needs to be better investigated. The study of the synergistic effects among   |
|--------------------------|---|
| 434                      | EOs and/or their components could be utilized both to make best use of their antibacterial activity   |
| 435                      | and to reduce their concentrations required to achieve a particular antibacterial effect for food safety  |
| 436                      | and for health purposes.  |
| 437                      | In addition, also the role of EOs on the human gut needs to be investigated since the publications on   |
| 438                      | this topic are still few.   |
| 439                      | Acknowledgment  |
| 440                      | Several data described in this review were obtained in the framework of the "AGER -   |
| 441                      | Agroalimentare e Ricerca Project 2010 2370"   |
| 442                      | Figure Caption  |
| 443                      | Figure 1. Market of fresh and minimally processed fruits and vegetables in USA. Adapted from  |
| 444                      | (Cook, 2008).   |
|                          |   |
| 445                      | Figure 2. Evolution of colour in minimally processed apples treated with traditional dipping in   |
| 445<br>446               | <b>Figure 2.</b> Evolution of colour in minimally processed apples treated with traditional dipping in 0.5% ascorbic acid and 1% citric acid (a), citral/2-(E)-hexenal (b) (125mg/L each one) and   |
|                          |   |
| 446                      | 0.5% ascorbic acid and 1% citric acid (a), citral/2-(E)-hexenal (b) (125mg/L each one) and  |
| 446<br>447               | 0.5% ascorbic acid and 1% citric acid (a), citral/2-(E)-hexenal (b) (125mg/L each one) and hexanal/2-(E)-hexenal (c) (125mg/L each one).  |
| 446<br>447<br>448        | <ul> <li>0.5% ascorbic acid and 1% citric acid (a), citral/2-(E)-hexenal (b) (125mg/L each one) and hexanal/2-(E)-hexenal (c) (125mg/L each one).</li> <li>Figure 3. Evolution of colour in minimally processed lamb's lettuce treated with chorine 120 mg/L</li> </ul>   |
| 446<br>447<br>448<br>449 | <ul> <li>0.5% ascorbic acid and 1% citric acid (a), citral/2-(E)-hexenal (b) (125mg/L each one) and hexanal/2-(E)-hexenal (c) (125mg/L each one).</li> <li>Figure 3. Evolution of colour in minimally processed lamb's lettuce treated with chorine 120 mg/L (control), thyme 250 mg/L and oregano 250 mg/L.</li> </ul> |

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Table 1. Overview of cases testing the antimicrobial activity of essential oils (EO) or their components in minimally processed fruits and vegetables.

|        | Food                | EO or component  | Concentration applied  | Microbial targets  | References               |
|--------|---------------------|--|--|--|--------------------------|
| Fruits | Kiwifruits          | Carvacrol  | 1mM in dipping solution                                      | Natural microflora   | Roller and Seedhar, 2002 |
|        | Honeydew melon      | Carvacrol and cinnamic acid                            | 1mM in dipping solution                                      | Natural microflora   | Roller and Seedhar, 2002 |
|        | Fresh sliced apples | Hexanal, hexyl acetate<br>E(2)hexenal,                 | 50-250 ppm<br>20-200 ppm                                     | Salmonella enteritidis,<br>Escherichia coli, Listeria<br>monocytogenes | Lanciotti et al., 2003   |
|        | Sweet cherries      | Eugenol, thymol,<br>menthol, eucalyptol                | 1000µL in gas used for<br>MAP                                | Natural microflora   | Serrano et al., 2005     |
|        | Table Grape         | eugenol<br>thymol                                      | 75-150μL in gas used<br>for MAP                              | Natural microflora   | Valero et al., 2006      |
|        | Fresh sliced apples | Oregano<br>lemongrass<br>vanillin<br>used encapsulated | 0.1 and 0.5% (w/w)<br>1 and 1.5% (w/w)<br>0.2 and 0.6% (w/w) | Natural microflora and inoculated <i>L. innocua</i>                    | Rojas-Grau et al., 2007  |
|        | Fruit salads        | Citral   | 25-125 ppm   | Salmonella enteritidis,  | Belletti et al., 2008    |

|            |                         | Citron EO  | 300-600 ppm                            | Escherichia coli, Listeria   |   |
|------------|-------------------------|--|--|--|---|
|            |                         |  |  | monocytogenes  |   |
|            | Fresh cut apples        | Vanillin   | 12 g/L                                 | E. coli O157:H7 Listeria<br>spp  | Abadias et al. 2011   |
|            | Avocado                 | Thyme EO in MAP  | 75 μL in filter                        | Natural microflora   | Sellamuthu et al., 2013   |
|            | Fresh cut apples in MAP | Citron EO, Hexanal<br>E(2)hexenal, Citral<br>carvacrol | Alone 250 mg/L<br>Combination 125 mg/L | Natural microflora<br>Listeria monocytogenes,<br>Escherichia coli,<br>Salmonella enteritidis | Siroli et al., 2014<br>Siroli et al., 2015<br>Siroli et al., 2015 |
| Vegetables | Lettuce                 | Thyme EO   | 0.1-10 mL/L                            | <i>E. coli</i> O157:H7   | Singh et al., 2002  |
|            | Carrots                 | Thyme EO   | 0.1-10 mL/L                            | <i>E. coli</i> O157:H7   | Singh et al., 2002  |
|            | Eggplant salad          | Oregano EO   | 0.7-2.1% v/w                           | <i>E. coli</i> O157:H7   | Skandamis and Nychas,<br>2000                                     |
|            | Lettuce and carrots     | Oregano and thyme EOs                                  | Alone 250 mg/L<br>Combination 125 mg/L | Natural microflora   | Gutierrez et al., 2009  |
|            | Lettuce                 | Oregano EO   | 25-75 mg/L                             | Salmonella tiphymurium   | Gunduz et al., 2010   |

| Iceberg lettuce   | Oregano and rosemary               | 0.003 to 80 µL/m | Listeria monocytogenes,<br>Yersinia enterocolitica<br>and Aeromonas<br>hydrophilla | De Azeredo et al., 2011   |
|-------------------|------------------------------------|------------------|--|---------------------------|
| Four season salad | Oregano EO and citral in packaging | 7.5% w/w         | Natural microflora   | Muriel-Galet et al., 2013 |
| Lamb's lettuce    | Oregano and Thyme<br>EOs           | 250 mg/L         | Natural microflora<br>Listeria monocytogenes,<br>Escherichia coli                  | Siroli et al., 2015a, b   |

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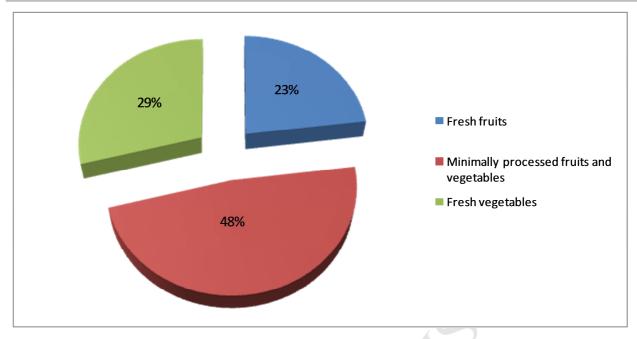


Figure 1.









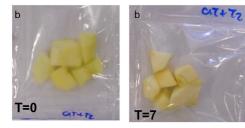


















Figure 2.





Control T0



**Control T3** 





**Control T8** 

Control T10



Oregano T0





Oregano T3

ThymeT3



ThymeT8





Thyme T10

Figure 3.

- Natural antimicrobials represents a useful tool for decontamination of minimally processed fruits
- Essential oils represent a useful tool for decontamination of minimally processed vegetables
- Mechanisms of action of Essential oils need to be more investigated.